

DYDD MERCHER, 27 IONAWR 2021

**AT: HOLL AELODAU'R PWYLLGOR CRAFFU POLISI AC
ADNODDAU**

**YR WYF DRWY HYN YN EICH GALW I FYNYSCHU CYFARFOD
RHITHWIR O'R PWYLLGOR CRAFFU POLISI AC ADNODDAU
SYDD I'W GYNNAL AM 10.00 YB AR DYDD MERCHER, 3YDD
CHWEFROR, 2021 ER MWYN CYFLAWNI'R MATERION A
AMLINELLIR AR YR AGENDA ATODEDIG.**

Wendy Walters

PRIF WEITHREDWR

Swyddog Democrataidd:	Martin Davies
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PWYLLGOR CRAFFU POLISI AC ADNODDAU

13 AELOD

GRŴP PLAID CYMRU – 6 AELOD

- | | | |
|----|--------------|----------------------------|
| 1. | Y Cynghorydd | Kim Broom |
| 2. | Y Cynghorydd | Handel Davies |
| 3. | Y Cynghorydd | Ken Howell |
| 4. | Y Cynghorydd | Gareth John (Is-Gadeirydd) |
| 5. | Y Cynghorydd | Carys Jones |
| 6. | Y Cynghorydd | Elwyn Williams |

GRŴP LLAFUR – 3 AELOD

- | | | |
|----|--------------|--------------|
| 1. | Y Cynghorydd | Deryk Cundy |
| 2. | Y Cynghorydd | Kevin Madge |
| 3. | Y Cynghorydd | John Prosser |

GRŴP ANNIBYNNOL – 3 AELOD

- | | | |
|----|--------------|--------------------------|
| 1. | Y Cynghorydd | Sue Allen |
| 2. | Y Cynghorydd | Arwel Davies |
| 3. | Y Cynghorydd | Giles Morgan (Cadeirydd) |

GRŴP ANNIBYNNOL NEWYDD – 1 AELOD

- | | | |
|----|--------------|--------------|
| 1. | Y Cynghorydd | Jeff Edmunds |
|----|--------------|--------------|

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2. DATGANIADAU O FUDDIANNAU PERSONOL GAN GYNNWYS UNRHYW CHWIPIAU PLEIDIAU A RODDIR MEWN YMATEB I UNRHYW EITEM AR YR AGENDA
3. CWESTIYNAU GAN Y CYHOEDD (NID OEDD DIM WEDI DOD I LAW)
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Mae'r dudalen hon yn wag yn fwriadol

PWYLLGOR CRAFFU POLISI AC ADNODDAU 3ydd CHWEFROR 2021

YMGYNGHORI YNGHYLCH STRATEGAETH Y GYLLIDEB REFENIW 2021/22 TAN 2023/24

Atodiad A - Strategaeth ar gyfer y Gyllideb Gorfforaethol o 2021/22 tan 2023/24

Atodiad A (i) - Crynodeb effeithlonrwydd sy'n ymwneud â'r Adrannau Prif Weithredwr a Gwasanaethau Corfforaethol

Atodiad A (ii) - Twf Gwasgedd sy'n ymwneud â'r Adrannau Prif Weithredwr a Gwasanaethau Corfforaethol

Atodiad B - Adroddiad Monitro Cyllideb sy'n ymwneud â'r Adrannau Prif Weithredwr a Gwasanaethau Corfforaethol

Atodiad C - Crynhoad o'r Taliadau sy'n ymwneud â'r Adrannau Prif Weithredwr a Gwasanaethau Corfforaethol

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

- Bod yr aelodau yn ystyried ac yn gwneud sylwadau ar gynlluniau strategaeth y gyllideb
- Bod yr aelodau yn ystyried ac yn gwneud sylwadau ar gynlluniau ar gyfer arbedion effeithlonrwydd yn eu meysydd gwasanaeth hwy, a glustnodir yn Atodiad A(i)
- Hefyd, bod yr aelodau'n cael gwahoddiad i gyflwyno unrhyw gynlluniau eraill ar gyfer arbedion effeithlonrwydd.
- Bod yr aelodau'n edrych yn fanwl ar y Gyllidebau Adrannol
- Bod yr aelodau'n cymeradwyo'r Crynhodau o'r Taliadau

Rhesymau:

- Yn ei gyfarfod ar 18^{fed} Ionawr 2021, bydd y Bwrdd Gweithredol yn ystyried Strategaeth y Gyllideb Refeniw o 2021/22 tan 2023/24 (Atodiad A) ac yn cymeradwyo'r adroddiad at ddibenion ymgynghori. Yn y cyfarfod caiff y wybodaeth ddiweddaraf ei rhoi ar lafar ynghylch unrhyw newidiadau neu gynlluniau penodol gan y Bwrdd Gweithredol, os bydd hynny'n briodol.

Angen cyfeirio'r mater at y Bwrdd Gweithredol er mwyn gwneud penderfyniad:
NAC OES

Aelodau'r Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:

- Cyng. Emlyn Dole (Arweinydd)
- Cyng. Mair Stephens (Dirprwy Arweinydd)
- Cyng. David Jenkins (Adnoddau)
- Cyng. Cefin Campbell (Cymunedau a Materion Gwledig)

Y Gyfarwyddiaeth:

Gwasanaethau Corfforaethol

Enw'r Gyfarwyddwr:

Chris Moore

Awdur yr adroddiad:

Randal Hemingway

Swydd:

Pennaeth y Gwasanaethau
Corfforaethol

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EXECUTIVE SUMMARY
POLICY & RESOURCES SCRUTINY COMMITTEE
3rd FEBRUARY 2021

REVENUE BUDGET STRATEGY CONSULTATION
2021/22 to 2023/24

The objective of the report is to allow members to consider the corporate budget strategy for the financial years 2021/22 to 2023/24 to consider the service delivery impact and options for the forthcoming years.

The report is set out as follows:-

Appendix A

- The attached report is a copy of the Revenue Budget Strategy 2021/22 to 2023/24 that has been presented to the Executive Board. As part of the budget consultation process the report is presented to this Scrutiny Committee for your consideration.
- The report provides members with an initial view of the revenue budget issues for the forthcoming year and also reflects departmental submissions.

Appendix B

Current budget extracts for the Chief Executive and Corporate Services departments.

Appendix C

Charging Digest for the Chief Executive and Corporate Services departments. The charges for 2021/22 have yet to be adopted and any change to the proposed charges will impact on the budget/efficiency proposals.

DETAILED REPORT ATTACHED?	YES
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IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: Chris Moore Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	NONE	YES	NONE	NONE	NONE	NONE

1. Policy and Crime & Disorder

The budget is being prepared having regard to the Improvement Plan.

3. Finance

The report provides an initial view of the Budget Strategy for 2021/22, together with indicative figures for the 2022/23 and 2023/24 financial years. The impact on departmental spending will be dependent upon the final settlement from Welsh Government and the resultant final Budget adopted by County Council.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Chris Moore Director of Corporate Services

1. Local Member(s) – N/A

2. Community / Town Council – N/A

3. Relevant Partners – Consultation with relevant partners will be undertaken and results will be reported during the budget process.

4. Staff Side Representatives and other Organisations – Consultation with other organisations will be undertaken and results will be reported during the budget process.

**EXECUTIVE BOARD PORTFOLIO
HOLDERS AWARE/CONSULTED?**
YES

(Include any observations here)

**Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:**

THESE ARE DETAILED BELOW:

Title of Document	File Ref No. / Locations that the papers are available for public inspection
2021/22 3 year Revenue Budget	Corporate Services Department, County Hall, Carmarthen

Mae'r dudalen hon yn wag yn fwriadol

REPORT OF DIRECTOR OF CORPORATE SERVICES

Policy & Resources Scrutiny Committee

3rd February 2021

REVENUE BUDGET STRATEGY 2021/22 to 2023/24

(Copy of Executive Board report 18/01/2021)

HEAD OF SERVICE & DESIGNATION.	DIRECTORATE	TELEPHONE NO.
C Moore, Director of Corporate Services	Corporate Services	01267 224121
AUTHOR & DESIGNATION	DIRECTORATE	TELEPHONE NO
R Hemingway, Head of Financial Services	Corporate Services	01267 224886

1. INTRODUCTION

- 1.1. Executive Board in November 2020 received a report on the Revenue Budget Outlook for 2021/22 to 2023/24 which appraised Members of the financial outlook and the proposals for taking forward the budget preparation for the three year period.
- 1.2. This report provides Members with the current view of the Revenue Budget for 2021/22 together with indicative figures for the 2022/23 and 2023/24 financial years. The report is based on officers' projections of spending requirements and takes account of the provisional settlement issued by Welsh Government on 22nd December 2020. It also reflects the current departmental submissions for savings proposals after taking account of the impact of pandemic on the delivery of these savings.
- 1.3. Whilst significant work has already been undertaken in preparing the budget, this represents an initial position statement which will be updated over the coming month as the budget is further developed, council members are engaged and public consultation takes place. The final settlement is due to be received from Welsh Government on 2nd March.
- 1.4. The report is broken down into 5 parts:
 - Funding Projections
 - Budget Requirement
 - Impact on the Authority's budget requirement
 - Consultation
 - Conclusion

- Recommendations

2. PROVISIONAL SETTLEMENT

2.1. The provisional settlement was announced on Tuesday 22nd December 2020. Indicative figures for individual Local Authorities were provided for one financial year only, 2021/22, with no further information about future years' settlements.

2.2. The Westminster Comprehensive Spending Review announced on 25 November 2020 included a significant Barnett consequential, reported at £1.3 billion, though it is understood this includes Covid-19 expenditure. There were also the following announcements which have a material impact on our budget considerations:

2.2.1. An announcement of a “pay freeze” for public sector workers excluding the NHS, but with “at least £250” for anyone earning below £24,000. Whilst unquestionably below the 2.75% allowed for previously, members should note that not only has no further information been published on this, but it is also stressed that this should be considered as an opening negotiating position in what is ordinarily subject to national pay bargaining. Unions have reacted negatively calling it a “kick in the teeth for the key workers”.

2.2.2. A national living wage of £8.91, an increase of 2.2% on the current £8.72, much less than anticipated.

2.3. The main points of the Provisional Settlement 2021/22 on an all Wales basis are as follows:

2.3.1. Local government revenue funding for 2021/22 set at £4.651 billion, an increase of 4.0% (£177 million) compared to 2020/21, but this is after transfers into the settlement of £5 million.

2.3.2. Our analysis suggests there are however inconsistencies in this:

The settlement allows for £4 million of transfers in respect of the September 2020 Teachers pay award, which represented only partial funding of the part year (7 months) financial effect of the pay award. We estimate the full year effect of the award is approximately £40 million across

Wales, which will need to be met from the improved settlement.

WG also provided £1.6 million funding in 2020/21 to support the sixth form element of the September 2020 Teachers pay award. By contrast, WG have neither added this to the settlement nor increased sixth form grant funding, which remains at a cash flat position.

2.3.3. Unlike previous years, there is no funding floor. Individual settlements range from +2.0% (Ceredigion) to +5.6% (Newport), although there is some disquiet about this across Wales.

2.4. The Settlement figures for Carmarthenshire are:

2.4.1. After adjustments for WG identified transfers, the increase in the provisional settlement is 3.8% (£10.466 million). The Aggregate External Finance (AEF) therefore increases to £284.820 million in 2021/22. This includes £244k in respect of Teachers pay.

This settlement is significantly above our previous assumption of +2.0%, however there remains significant risk to our funding position as it provides no additional funding either in respect of any future pay award or Covid-19 related costs and/or continuing income reduction (discussed in paragraph 3.4.3 below)

On the basis of this experience, and in the absence of any forward guidance for future years, the MTFP assumptions for 2022 and beyond maintain our current assumptions of annual settlement increases of +2.0%, but increases to the assumed level of pay, price and other inescapable pressures, leading to a savings target of £17 million over the three year MTFP period.

2.5. Details of the Welsh Government Service Specific Grants were provided alongside the provisional settlement on 22 December 2020 at an all Wales level. Many remain at broadly at similar level (cash value level), however there are however some important updates :

- The social care workforce grant has been increased from £40m to £50 million across Wales. As was the case last year, we assume that the increase, estimated at £600k for Carmarthenshire, can be used in full towards the obvious and unavoidable service pressures.
- The Additional Learning Needs grant of £7.2 million across Wales which was introduced last year has been continued. This

continuation is welcome as it can be applied to the department's recurrent need of £400k

- A reduction of £1.0 million to the Sustainable Waste Management Grant, which will reduce the support from Carmarthenshire's core waste budgets by around £55k.

There are a number of grant changes referred to in narrative to the main WG budget narrative which are not reflected in the local government provisional grant tables, which we understand are due to be updated for the final settlement.

3. BUDGET REQUIREMENT 2021/22

3.1. Current Years performance (2020/21)

3.1.1. As the Authority's core spending requirements remains constant year on year, a review of current year's performance is important in identifying whether there are any underlying problems within the base budget

3.1.2. The current projection for the Revenue Outturn for 2020/21 (based on the October 2020 monitoring) is as follows

Service	Approved Budget £'000	Total Expenditure Forecast £'000	Variance Forecast For Year £'000
Chief Executive	12,994	12,436	-558
Communities	102,882	103,794	912
Corporate Services	27,637	27,186	-452
Education and Children's Services	178,291	179,798	1,506
Environment	59,190	60,207	1,017
Departmental Expenditure	380,995	383,420	2,426
Cont from Dept/Earmarked Reserves			0
Capital Charges	-19,940	-21,140	-1,200
Levies and Contributions	10,538	10,538	0
Transfer to/ from Reserves	0	0	0
Net Expenditure	371,593	372,818	1,226

The main reasons for the departmental overspends are as follows:

- Communities Department: overspends against budget in the areas of Physical Disabilities, Learning Disabilities and Mental Health.

- Education and Children's Services: overspend is due mainly to the forecast increase in school deficit balances.
- Environment Department: overspends are due to a shortfall in income across parking and property maintenance, together with additional costs within Waste Services.

The Authority is currently forecasting a variance of £1.2 million at the year-end that will have to be met from Reserves

3.2. Validation

- 3.2.1. Validation reflects the changes in expenditure requirements to deliver the **current level** of services in future years. Primarily this is inflation, but also includes some service specific changes. The key validation factors are as follows:

	<u>2021/22</u> <u>Original</u>	<u>2021/22</u> <u>Proposed</u>	<u>2022/23</u>	<u>2023/24</u>
General inflation	2.0%	2.0%	2.0%	2.0%
Electricity	5.0%	5.0%	5.0%	5.0%
Gas	5.0%	5.0%	5.0%	5.0%
Fuel	5.0%	5.0%	5.0%	5.0%
Pay Inflation - non teaching	2.75%	2.75%	2.75%	2.75%
Pay Inflation - Teaching	2.75%	2.75%	2.75%	2.75%
Levies	2.9%	2.4%	2.5%	2.5%
Pension Contributions	nil	nil	nil	nil
Capital Charges	£500k	£500k	£500k	£500k

- 3.2.2. Our previous planning assumptions include future annual pay awards of 2.75% for all staff. Since then, the Chancellor's Autumn Budget statement included a pay freeze for public sector workers, with the exception of NHS staff and other public sector workers earning below £24,000 who would receive "at least £250". Without any detail beyond this, it is impossible to accurately estimate the cost of this. Teachers pay is similarly uncertain - the additional part year effect of the September 2020 pay award adds around £1 million to schools expenditure above 2020/21 levels.

The Chancellor's proposal is in effect an opening employer's position – our staff remuneration levels are subject to national pay bargaining, further complicated by WG assuming responsibility for Welsh Teachers pay decisions in 2019. The minister's letter which accompanied the provisional settlement stated that WG itself had received no Barnett consequential in respect of pay and therefore authorities should provide for this in their budgets.

Given the current scale of uncertainty and that pay represents the single largest expenditure item in our budgets, it is deemed prudent to retain the pay award assumption in our draft budget at this time. This will also enable Carmarthenshire to uphold its commitment to being a living wage employer.

3.2.3. We have received confirmation from the fire authority that their indicative budget assumes a levy increase of 2.43%. This is based on the explicit understanding that firefighters pension cost increases continue to be met through direct grant award.

3.2.4. As a result of these factors, validation is at a similar level to last year and adds £11.1 million to the current year's budget.

3.3. Cost Reduction Programme

3.3.1. In anticipation of the settlement challenges, significant work to review service efficiencies/rationalisation proposals has been undertaken.

In ordinary times, delivery of current savings proposals and development/preparation for future years forms a significant proportion of services activity and in particular, senior management capacity. The impact of the pandemic, and our response to it, has severely curtailed our ability to make normal levels of progress in this area.

Officers have reviewed savings and deferred delivery of a total of £3.043m from 2020/21 and 2021/22 to the outer two years of the plan.

3.3.2. The savings targets set for each financial year are as follows:

	2021/22 £m	2022/23 £m	2023/24 £m
Original targets (November Budget Outlook report)	5.930	5.209	5.208
Updated targets (following Provisional Settlement)	2.511	5.209	5.208

3.3.3. Accordingly, departments have developed a range of proposals, and these efficiencies are included in **Appendix A** of this report.

- The efficiency proposals are categorised as follows:
 Managerial – Efficiencies that result in no perceivable change to the overall level of service delivery.
 Policy – Efficiency or service rationalisation proposals that will directly affect service delivery.

	2021/22 £m	2022/23 £m	2023/24 £m
Managerial	2.438	4.346	2.429
Existing Policy	0.073	0.565	1.115
New Policy	Nil	Nil	Nil
Total	2.511	4.911	3.544
Shortfall	Nil	0.298	1.664

(Detail at **Appendix A**)

3.3.4. The summary sheet at Appendix A sets out the savings targets set for individual departments and the value of savings currently identified.

3.4. New Expenditure Pressures

3.4.1. New expenditure pressures are the combination of additional cost to meet existing service needs e.g. increased client base/greater service take up and the costs of meeting change in service provision e.g. policy changes.

3.4.2. The original budget outlook report included £6 million in 2021/22 per annum to meet growth pressures.

Initial growth bids in excess of £11 million have been submitted by departments for 2021/22. This figure does NOT include any continuing Covid-19 response and/or income loss. Based on an evaluation of the value and unavoidable nature of pressures submitted as well as additional funding identified through grants as explained in paragraph 2.5 above, core funding for specific pressures totalling £4.689 million have been funded.

For this budget round, social care pressures are particularly difficult to quantify, for the following reasons:

- Funding required for the National Living Wage increase is less than expected, as the Chancellor's announcement of £8.91/hour was much less than the figure forecast by the Office of Budget Responsibility. However, the sector has been through unprecedented turmoil over the last year, and

cost pressures may persist even as Covid-19 hopefully recedes.

- The social care workforce impact of the new trading arrangements with Europe post Brexit could be significant
- There is a clear and unprecedented increase in need for Mental Health services. Whilst £500k has been provided for in the budget, it is hard to predict how demand will evolve.

Based on this assessment, a contingency sum of £500k has been set aside specifically against Social care. Members should further note that the budget figures contained within this report do not allow for any future funding pressures in respect of waste. To achieve increasingly challenging statutory Welsh Government recycling targets, it is likely that changes will need to be made to the waste collection methodology in the coming years which will require significant one off capital funding as well as increases to recurrent revenue budgets.

Information will continue to be reviewed and updated between draft and final budgets, and where grant funding can be identified to meet any of these pressures in part or full, this will be adjusted.

- 3.4.3 Covid-19 has led not just to unprecedented additional costs, but also a collapse of important income, particularly during periods of lockdown. Taken together, it is anticipated that the combination of additional expenditure and income loss will have a £30 million impact on Carmarthenshire's current year budgets. Authorities have submitted monthly claims, which have been assessed, and to a very significant extent, fully refunded by WG. Looking ahead to next year, continued WG funding in this way has not been committed at this stage, not least because WG itself does not yet have funding confirmed as a consequence of Westminster Covid-19 related expenditure.

At the time of writing, the national picture appears bleak with the highest ever reported daily cases and daily deaths at the same peak as the first wave. Whilst the rollout of both approved vaccines is clearly the "way out", the speed of vaccination is highly ambitious, and it has already been suggested that restrictions may continue to be needed next Winter. In this context, an additional £1 million corporate contingency is set aside in our budget.

In total, pressures funding adds £6.189 million to the budget. The detail is provided at **Appendix B**.

- 3.4.4 In addition to the authority's own expenditure pressures, there is an urgent need to expand support to local businesses to support

economic recovery. This is primarily capital grant expenditure and is discussed in the separate capital programme report to be considered on the agenda today. However, as there is insufficient additional capital funding available, the draft revenue budget includes a £1 million one-off allocation to support this expenditure.

3.5. Schools Delegated Budgets

- 3.5.1 The last two budgets have provided in full for known pressures to school budgets including inflationary costs and pay awards. Over that time, school balances have continued to worsen. Prior to the pandemic, there was a significant focus on addressing the root causes of this, and to support individual schools to return to financial sustainability. It was recognised this would inevitably take some time to see the full effect of the changes being made. The unrelenting pressure on schools which the pandemic has caused has delayed this significantly. During the spring and summer, there was a moratorium on school redundancies, and the Autumn term has seen TTP activity for school staff grow to an unimagined scale. In recognition of this, next year's budget provides funding for known pressures in full. This includes the full year effect of the September 2020 pay award, and funding will be retained centrally against the any unfunded award in September 2021.

Members should however note that schools funding in 2020/21 received additional one-off support from the "Accelerated Learning Programme" in response to Covid-19 of £16.6m across Wales. WG have been able to continue this grant, though the value drops by around 30%. No information is yet available for the equivalent sixth form funding.

3.6. Internal Funding

- 3.6.1. Generally speaking whilst the use of reserves to support annual budgets should not be summarily discounted, it must be treated with caution. Funding on-going expenditure from such funds merely defers and compounds difficult financial problems to the following year. One-off items of expenditure within any budget proposal lend themselves better for such funding support.

3.6.2. In deliberating this point however, members must bear in mind any **inherent risks** that may be built into the budget strategy. These include:

- Uncertainty around the true economic impact of the new trading arrangements with Europe
- The pace of deployment and efficacy of Covid-19 vaccine upon the need for continuing public health restrictions
- Future inflation/interest rates
- The timing and severity of fiscal or expenditure measures brought in by Westminster Government to balance public sector finances.
- Additional pressure on demand lead Services

In addition as mentioned previously Welsh Government have only been able to provide a one year settlement and we have no knowledge of future settlement, which therefore means it is difficult to construct multi-year budgets in the absence of any clear forecasts.

3.6.3. The following table summarises the main categories of reserves held by the Authority.

	1 st Apr 2020 £'000	31 st Mch 2021 £'000	31 st Mch 2022 £'000	31 st Mch 2023 £'000
Schools Reserves	-2,001	-3,496	-2,496	-1,496
General Reserves	11,221	11,490	11,490	11,490
Earmarked Reserves	91,617	75,887	37,028	29,369

3.6.4. School Reserves

- Schools have delegated responsibility for the management of their own finances. The level of reserves held by an individual school at any point in time will depend on a number of factors including the level of contingency fund that the school governing body considers appropriate, and the particular plans each school has for expenditure. Officers have yet to be informed of any transfers to/from these reserves by individual schools for future years.
- Legislation allows schools to carry forward reserves from one financial period to another. The School Funding (Wales) Regulations 2010 requires schools to limit their accumulated reserves at year end to £50,000 for Primary Schools and £100,000 for Secondary and Special Schools or 5% of their budget dependant

on what is greater. School Improvement officers are currently working with schools to ensure they comply with the guidance. As at 31st March 2020, 45 primary, 6 secondary and 1 special schools were in deficit.

3.6.5. General Reserves

- In the changeable and challenging environment currently facing Local Government the Authority is committed to maintaining a reasonable level of General reserves or Balances. Whilst there is no prescribed minimum level for Balances, Council has previously deemed 3% of net expenditure as being a prudent level, which has been accepted by our Auditors as being reasonable
- The overall level of balances is taken into consideration each year when the annual budget is set and has on occasions been utilised to augment expenditure/reduce council tax. The 2020/21 budget was set on the basis of no transfers from the General Reserves. Based upon the October Budget monitoring (outlined in paragraph 3.1.2 above) there could be a small increase of £269k to General Reserves and a worsening of £1.5m on school deficit balances at the end of the current financial year.
- Given ongoing impact of the pandemic response on our budgets and the uncertainty of continued WG support into next year, therefore it is deemed imprudent at this stage to assume any further support for future years budgets from the current General Reserves.
- Taking account of reduction in overall reserves forecasted, consideration needs to be given to how these balances are built back up over future years.

3.6.6. Earmarked Reserves

- The Authority holds earmarked reserves which have been set up to finance the delivery of specific projects, or in protecting the authority

against future liabilities or issues. The reserves can be summarised as follows:

Reserve	31 March 2020 £'000	31 March 2021 £'000	31 March 2022 £'000	31 March 2023 £'000
Insurance	12,194	12,944	13,694	14,944
Capital Funds	47,234	41,285	8,449	2,241
Development Fund (inc. Schools Dev Fund)	1,637	440	815	2,090
Corporate Retirement Fund	4,299	4,299	3,299	2,299
Joint Ventures	1,306	418	350	316
Other	24,947	16,501	10,421	7,479
TOTAL	91,617	75,887	37,028	29,369

- As can be seen from the table above the level of earmarked reserves fluctuates greatly year on year, and whilst the level in each fund is not an exact science it is based on an informed estimate and past experience of the likely call on the authority in future years in line with the intended purpose of each reserve. Great care must therefore be taken when considering utilising such funds for purposes other than those which they were created as this could lead to the authority being faced with substantial unfunded liabilities in the future
- The budget proposals therefore assume nil contribution from reserves in support of the revenue budget in 2021/22 and a further analysis of the reserves held will be undertaken over the coming months with any further proposed utilisation being considered at the budget finalisation stage.
- Taking account of the proposals within this report, the Director of Corporate Services confirms that overall the estimated level of financial reserves (as indicated above) is adequate for the financial year 2021/22, with the General Reserves being at the minimum that could be supported. However the Director is very conscious of the ongoing commitment to capital projects and of the demand on future services

and therefore feels the reserves will need to be monitored closely going forward.

4. IMPACT ON THE AUTHORITY'S BUDGET STRATEGY

The table below provides an updated position on the current financial outlook taking account of the provisional settlement and also other recent validation changes.

- 4.1.** The Current Financial Outlook (updated for the Provisional Settlement) is set out in the table below:

	Current MTFP		Proposed Financial Model		
	2021/22 £'000	2022/23 £'000	2021/22 £'000	2022/23 £'000	2023/24 £'000
Previous Year's Budget	371,593	382,147	371,593	387,328	398,356
General Inflation	2,462	2,462	2,451	2,534	2,728
Pay Inflation	6,688	6,849	6,512	6,848	7,035
Transfers in & other*	1,334	1,326	3,094	1,355	1,367
Growth	6,000	5,500	6,189	5,500	5,500
Savings proposals	-5,297	-4,694	-2,511	-4,911	-3,544
Further savings to be identified	-633	-515	0	-298	-1,664
Net Expenditure	382,147	393,075	387,328	398,356	409,778
Funded by:					
Revenue Settlement	279,643	285,235	284,820	290,517	296,327
Council Tax Receipts	102,505	107,840	102,507	107,839	113,451
Council Tax Increase:	4.89%	4.89%	4.89%	4.89%	4.89%

*includes funding allocation to Economy Recovery capital (paragraph 3.4.4)

4.2. The total of cost reductions now required for 2021/22 is £2.5m and for the 3 year period are estimated at £13m.

4.3. The 2021/22 draft budget currently includes a contingency sum of £1.5m in respect of risks around Brexit, Covid-19 and further pressures. Should the level of risk or uncertainty reduce before the final budget setting, it may allow members scope to consider additional information forthcoming in respect of:

4.3.1. Responses to the consultation process

4.3.2. Clarification of specific grants

4.3.3. Further growth pressures not currently addressed

5. CONSULTATION

Budget consultation has been planned for the coming month and a summary of the individual approaches are as follows:

5.1. Members seminars. (18-22 January 2021)

5.2. The consultation process will commence online from 18 January 2021.

5.3. Town & Community Councils and commercial ratepayers consultation in January 2021.

5.4. Consultation with Scrutiny Committees during January 2020.

5.5. Consultation with the Schools Budget Forum on 11 February 2021.

5.6. Trade Union Consultation meeting on 22 January 2021

6. WELLBEING OF FUTURE GENERATIONS (WALES) ACT 2015

6.1. In considering the budget proposals, members need to take into consideration the requirements of the Wellbeing of Future Generations (Wales) Act 2015. The Act requires that we must carry out sustainable development, improving the economic, social environmental and cultural well-being of Wales.

‘... the public body must act in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs’

6.2. In doing so, we must demonstrate the following 5 ways of working:

- Looking at the long-term so that we do not compromise the ability of future generations to meet their own needs

- Understanding the root causes of the issues to prevent them recurring
- Taking an integrated approach so that we look at all well-being goals and objectives of other services and partners
- Collaboration – Working with others in a collaborative way to find shared sustainable solutions
- Involving a diversity of population in decisions that affect them

6.3. Carmarthenshire's Well Being objectives:

Start Well

1. Help to give every child the best start in life and improve their early life experiences
2. Help children live healthy lifestyles
3. Continue to improve learner attainment for all
4. Reduce the number of young adults that are Not in Education, Employment or Training

Live Well

5. Tackle poverty by doing all we can to prevent it, help people into work and improve the lives of those living in poverty
6. Create more jobs and growth throughout the county
7. Increase the availability of rented and affordable homes
8. Help people live healthy lives (tackling risky behaviour and obesity)
9. Support good connections with friends, family and safer communities

Age Well

10. Support the growing numbers of older people to maintain dignity and independence in their later years
11. A Council wide approach to support Ageing Well in Carmarthenshire

In a Healthy and Safe Environment

12. Look after the environment now and for the future
13. Improve the highway and transport infrastructure and connectivity
14. Promote Welsh Language and Culture

Governance

15. Building a Better Council and Making Better Use of Resources

7. CONCLUSION

- 7.1.** Currently the budget proposals assume the full delivery of all of the savings proposals submitted, together with the identification and delivery of the shortfall in savings proposals 2022/23 and 2023/24.
- 7.2.** Further cost reductions need to be identified for years 2022/23 and 2023/24 to be able to maintain the current Budget Strategy and level of council tax. The savings to be identified in years 2 and 3 of this Strategy amounts to £1.96 million and departments will be working over the forthcoming year to identify these savings.
- 7.3.** It is recognised the critical importance of minimising the Council Tax increase for our residents whilst maintaining a balanced budget in these unprecedented and challenging times.
- 7.4.** Given the scale of the current budget gap forecast, Council Tax increases have been maintained at the previous MTFP levels of 4.89% in each of the three financial years. This provides at least some mitigation to the savings proposals which the council needs to consider deferring to future years of the Medium Term Financial Plan.
- 7.5.** Over the coming weeks and part of the refining of the Medium Term Financial where the Authority gets further clarification on costs and grant funding and feedback on the consultation, the Authority will aim to limit the Council Tax increase as far as possible.

8. RECOMMENDATION

- 8.1.** That Executive Board:
- 8.2.** Note the contents of the report and approve the three year Budget Strategy as a basis for consultation. Specifically seek comments from consultees on the efficiency proposals in Appendix A.
- 8.3.** Give consideration as to what additional savings proposals can be identified to deliver a balanced budget over the full 3 year financial planning period.

POLICY & RESOURCES SCRUTINY COMMITTEE

3rd February 2021

Efficiency Summary

	PREVIOUS TARGETS			
	2021/22	2022/23	2023/24	Total
	£'000	£'000	£'000	£'000
Chief Executive	492	432	432	1,356
Education & Children	1,029	904	904	2,837
Schools Delegated	-	-	-	0
Corporate Services	205	180	180	565
Communities	2,813	2,471	2,470	7,754
Environment	1,391	1,222	1,222	3,835
	5,930	5,209	5,208	16,347

	REVISED TARGETS			
	2021/22	2022/23	2023/24	Total
	£'000	£'000	£'000	£'000
Chief Executive	451	432	432	1,315
Education & Children	50	904	904	1,858
Schools Delegated	-	-	-	0
Corporate Services	-	180	180	360
Communities	1,318	2,471	2,470	6,259
Environment	692	1,222	1,222	3,136
	2,511	5,209	5,208	12,928

Proposals

	MANAGERIAL				EXISTING POLICY PROPOSALS				NEW POLICY PROPOSALS				TOTAL PROPOSALS			
	2021/22	2022/23	2023/24	Total	2021/22	2022/23	2023/24	Total	2021/22	2022/23	2023/24	Total	2021/22	2022/23	2023/24	Total
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Chief Executive	451	434	0	885	0	0	0	0	0	0	0	0	451	434	0	885
Education	50	350	140	540	0	520	960	1,480	0	0	0	0	50	870	1,100	2,020
Schools Delegated	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Corporate Services	0	210	212	422	0	0	0	0	0	0	0	0	0	210	212	422
Communities	1,268	2,304	1,497	5,069	50	45	95	190	0	0	0	0	1,318	2,349	1,592	5,259
Environment	669	1,049	580	2,298	23	0	60	83	0	0	0	0	692	1,049	640	2,381
	2,438	4,346	2,429	9,214	73	565	1,115	1,753	0	0	0	0	2,511	4,911	3,544	10,967

	SHORTFALL - to be identified			
	2021/22	2022/23	2023/24	Total
	£'000	£'000	£'000	£'000
Chief Executive	0	-2	432	430
Education & Children	0	34	-196	-162
Schools Delegated	0	0	0	0
Corporate Services	0	-30	-32	-62
Communities	0	122	878	1,000
Environment	0	173	582	755
	0	298	1,664	1,961

DEPARTMENT	2020/21 Budget	FACT FILE	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000		
Chief Executive							
Chief Executive	259	Office of the Chief Executive and secretariat	4	4	0	8	Reduction in supplies / postages
Information Technology	3,915	ICT Services underpins and contributes towards all that the Council delivers both internally as an organisation and externally to service users and communities, independently or in partnership. It is a vital function providing innovative opportunities for improving services and achieving our priorities in an efficient and effective way. IT Services is pivotal as an enabler of change and a vehicle for driving forward transformational improvement to all services. As we continually strive to deliver our solutions in an efficient manner and in line with our key Digital Strategies (Digital Transformation Strategy, Digital Technology Strategy, Digital Schools Strategy) our major savings in future years however will have to be found from our staffing budget. The work the service does significantly contribute to financial savings being delivered from revenue budgets held across the Authority by other service areas.	121	155	0	276	<u>2021/22</u> - £73k This will be delivered by further streamlining the software solutions used and reduction in annual maintenance costs through exploiting the Microsoft Enterprise Agreement. £27k - Further improvements work will be carried out by ICT Services on the Corporate network that should deliver increased revenue savings. £21k - further reduction in operations costs. <u>2022/23</u> - At present this can only be delivered by reducing the size of the workforce. Advances in technology through the use of Chatbots, Robotics Automation and Artificial Intelligence may assist here to ensure certain services can continue to be delivered whilst delivering the savings.
Corporate Policy	818	Policy & Partnership team deal with Council policy (in relation to Welsh language, sustainable development, equalities and tackling poverty), consultation & engagement with elected members and public, data & information, and public service collaboration through the Local Service Board (to be a statutory requirement) and related strategic partnerships	39	0	0	39	<u>2021/22</u> - £20k reduction in grants; £3k reduction in postages; £16k this will be delivered by taking a different approach to the way projects are undertaken within Corporate Policy.
Statutory services / Coroners	384	The Coroner is an independent Judicial Officer and discharges his duties in accordance with the Coroners Act 1988. He has a duty to investigate deaths reported to him where he has reasonable cause to suspect that the death was violent, unnatural or of unknown cause or which occurs in prison.	19	18	0	37	Reduction in staffing costs
People Management division	2,947	Includes Payroll, People Services, Organisational Development, Employee Wellbeing , HR Development Team, Business and Project Support	88	85	0	173	<u>2021/22</u> - £40k service review/regional working; £20k income generation provision of People Management services; £28k review of training provision (part 1). <u>2022/23</u> - £85k review of training provision (part 2)
Regeneration division	3,475	Regeneration is a key priority for the council. The Division provides Business, employability, grant funding and skills support and advice. We also deliver physical regeneration projects throughout the county, including the Swansea Bay City Deal Pentre Awel Life Science and Wellness Village planned for Delta Lakes. The Regeneration Division is responsible for the management of land assets (those within the economic/commercial portfolio) of the Council, taking a strategic commercial view to ensure the portfolio is managed to meet the Council's economic development needs. The Division is also responsible for the delivery of the Council's Net Zero Carbon agenda.	118	113	0	231	<u>2021/22</u> - Service review. <u>2022/23</u> - Continuous review of service / income generation / regional working
Marketing & Media	2,015	Business Unit comprising of translation, marketing and tourism, contact centres, customer services, press and communications.	62	59	0	121	<u>2021/22</u> - Relocation of Tourist Information Centre from Castle House. Reduction in supplies & services. <u>2022/23</u> - Continuous review of service / income generation / partnership working
Chief Executive Total			451	434	0	885	

DEPARTMENT	2020/21 Budget	FACT FILE	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	£'000	
Corporate Services							
Financial Services							
Accountancy	1,237	The provision of a decentralised accounting and financial management service, covering: • Technical Accounting (Preparation of final accounts, corporate accounting and taxation), • Management Accounting (Month end close, maintenance of financial records and budgeting) • Strategic Finance functions (projects, planning and financial advice to members)	0	97	119	216	<u>2022/23</u> - Increase in SLAs £50k; Restructuring £47k <u>2023/24</u> - Receiverships £50k; Restructuring £69k
Total Financial Services			0	97	119	216	
Revenues & Financial Compliance							
Audit& Risk	1,265	The Division's costs are primarily made up of staffing costs: Internal Audit, Risk Management / Insurance, Corporate Procurement Unit and Corporate Services Business Support Unit. Audit Committee have made consistent representations to maintain and strengthen the Internal Audit provision. The Risk Unit maintains the Corporate Risk Register and co-ordinates the approach to Risk Management across the Authority whilst also dealing with the Authority's Insurances (including management of all "live claims" made against the Council by employees and the public). The Corporate Procurement Unit supports Departments in ensuring cost effective legally compliant procurement of the array of goods and services utilised by the Authority's various Services . Finally a small Departmental Business Support Unit supports the whole Corporate Services Department's approach to Performance & Development work and the range of administrative requirements including FOIA and Appraisal Monitoring.	0	20	50	70	Income generation from work undertaken on behalf of external organisations.
Revenues & Benefits	Various cost centres within Division	Revenue Services Unit is responsible for the administration, billing and collection of Council Tax and Non Domestic Rates from householders and businesses, respectively. The unit also undertakes on behalf of all the Authority's departments, the billing, collection and recovery of miscellaneous income (known as Sundry Debts) as well as having responsibility for operating the Council's full time cash offices with the associated back-office income functions In addition to these income collection functions, the unit is responsible for the administration of Council Tax Reduction scheme (formerly Council Tax Benefit) and Housing Benefit schemes which help low income households meet their rent and /or Council Tax. Although the Housing Benefits Fraud Investigation function formerly undertaken within the unit transferred to the Department for Work & Pensions in 2015/16 the service continues to investigate Council Tax Reduction fraud.	0	43	43	86	Evaluation and potential realignment of division following implementation of Universal Credit.
Rent Allowances recovered		Expenditure, which is subsidised by the Department for Work & Pensions, in respect of reductions in rent, granted to council and private tenants (i.e. tenants of private landlords and Registered Social Landlords) that are on low income	0	50	0	50	Increase income target to reflect the high efficiency of overpayment recovery.
Total, Revenues and Financial Compliance			0	113	93	206	
Corporate Services Total			0	210	212	422	

DEPARTMENT	2020/21 Budget	FACT FILE	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	£'000	

Nothing to Report

Demographic, Legislative or continuing pressures

APPENDIX A(ii)

	Description	2021/22 £'000
Legal staffing	Additional Assistant Solicitor to support additional social care caseload	62
ICT	Laptop replacement scheme	200
Total for the Chief Executives Department		262
Community Benefits officer	To ensure suppliers comply with community benefits commitments	43
Total for the Corporate Services Department		43
Sub Total - Departmental Allocations		305
Corporate Pressures Contingency - to be allocated at final budget		1,000
TOTAL POLICY & RESOURCES		1,305

Mae'r dudalen hon yn wag yn fwiadol

Policy & Resources Scrutiny Report
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Division	Working Budget				Forecasted				Oct 2020	Notes
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Forecasted Variance for Year £'000	
Chief Executive										
Chief Executive-Chief Officer	260	0	-260	-0	201	0	-260	-59	-59	Savings on supplies and services.
Chief Executive Business Support Unit	608	0	-586	22	796	-280	-586	-70	-92	3 vacant posts - All not being filled in this financial year.
The Guildhall Carmarthen	0	0	9	9	0	0	9	9	0	
Chief Executive Total	868	0	-837	31	997	-280	-837	-120	-151	
People Management										
TIC Team	227	-58	-221	-52	236	-61	-221	-46	6	1 x employee regraded with no funding
Agile Working Project	3	0	0	4	3	0	0	4	-0	£57k expenditure anticipated to be met from Development Fund
SCWDP	657	-417	1	241	659	-420	1	241	0	
Practice Placements	70	-67	0	2	85	-83	0	2	-0	
Health & Social Care Induction Training	0	0	0	0	75	-75	0	0	0	
Business & Projects Support	275	0	-275	-0	234	-0	-275	-41	-41	Savings on supplies and services
Payroll	618	-350	-285	-17	629	-354	-285	-10	6	
People Services – HR	1,067	-253	-786	28	1,069	-247	-786	36	8	
Employee Well-being	756	-333	-423	-1	737	-305	-423	9	10	Income target not being met due to: less external work and less 1:1 health screenings taking place due to social distancing leading to a £28k shortfall. Partially offset by a net saving of £18k due to short term vacant posts.
Organisational Development	509	-10	-498	1	574	-75	-498	2	1	
Employee Services – HR/Payroll Support	130	0	-132	-1	163	-1	-132	30	31	£24k graduate not funded, 2 x employees regraded with no funding £8k
DBS Checks	124	0	0	124	93	-3	0	90	-34	Review of DBS checks process and budget to be undertaken.
People Management Total	4,437	-1,489	-2,619	329	4,558	-1,624	-2,619	315	-14	
ICT & Corporate Policy										
Information Technology	4,796	-881	-3,934	-18	5,164	-1,249	-3,934	-19	-1	
Welsh Language	165	-10	-153	1	121	-10	-153	-43	-44	Vacant post not currently being filled due to team review being undertaken. Delayed due to Covid-19 but due to be completed by end of 2020/21
Chief Executive-Policy	848	-30	-786	32	722	-30	-786	-94	-126	3 vacant posts not currently being filled due to team review being undertaken. Delayed due to Covid-19 but due to be completed by end of 2020/21
Public Service Bodies	10	0	0	11	10	0	6	16	6	
Community Safety-Revenue	0	0	6	6	0	0	0	0	-6	
Food Procurement Project WG Grant	0	0	0	0	32	-32	0	0	0	
Armed Forces Covenant Scheme	0	0	0	0	82	-82	0	-0	-0	
Armed Forces Veterans Hub	0	0	0	0	79	-79	0	0	0	
Armed Forces and Remembrance	5	0	0	5	2	0	0	2	-3	
Total ICT & Corporate Policy	5,825	-922	-4,867	36	6,213	-1,483	-4,867	-137	-173	

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Division	Working Budget				Forecasted				Oct 2020	Notes
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Forecasted Variance for Year £'000	
Admin and Law										
Democratic Services	1,850	-260	2,351	3,941	1,734	-289	2,351	3,796	-145	Underspend on Members pay & travelling costs along with an additional £33k income for work undertaken for the Housing Revenue Account.
Democratic Services - Support	494	0	-494	-1	464	-26	-494	-56	-55	Additional income for work undertaken for the Wales pension partnership (£20k), ERW (£5k); £30k supplies and Services underspend;
Corporate Management	0	0	296	296	0	0	296	296	0	
Civic Ceremonial	23	0	21	44	8	0	21	29	-15	Less civic ceremonial events taking place due to Covid-19.
Land Charges	130	-300	20	-150	76	-202	20	-107	43	A reduction in estimated income as result of Covid-19, partially offset by savings on supplies and services and legal costs
Legal Services	1,777	-263	-1,511	3	1,675	-243	-1,511	-79	-83	2 FTE vacant posts (£70k), one to be filled shortly but no plan to fill the other two in the current financial year. An additional £10k of income for work undertaken for the Wales Pension Partnership.
Central Mailing	44	0	1	44	46	-2	1	44	0	
Admin and Law Total	4,319	-823	682	4,178	4,003	-761	682	3,924	-254	
Marketing & Media										
Marketing and Media	501	-285	-213	2	395	-37	-213	145	143	Overspend on salaries pending divisional realignment. Loss of income streams from external partners (eg. ERW £80k). Looking at alternative potential partnership arrangements.
Translation	552	-51	-502	-0	428	-35	-502	-108	-108	£20k saving down to staff reducing their hours, £11k on a vacant post, £27k underspend as a result of maternity leave and £13k saving on staff at a lower point on the salary scale than budgeted. Further savings on supplies and services.
Customer Services Centres	1,112	-346	-762	4	1,037	-347	-762	-71	-75	2.5 FTE vacant posts not being filled in current financial year
Marketing Tourism Development	351	0	18	369	352	-1	18	369	-0	
Visitor Information	69	-5	18	82	63	-4	18	78	-4	
Events	48	-26	2	24	40	-14	2	28	4	
Yr Fwb	187	-92	8	103	36	-36	8	9	-95	Three vacant posts pending divisional realignment but less income anticipated as a result of Covid-19. NNDR relief due to Covid-19 £20k
Total Marketing & Media	2,820	-805	-1,430	585	2,352	-472	-1,430	450	-135	

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Division	Working Budget				Forecasted				Oct 2020	Notes
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Forecasted Variance for Year £'000	
Statutory Services										
Elections-County Council	9	0	129	138	2	0	129	131	-7	
Elections-Parliamentary	0	0	0	0	3	-3	0	0	0	
Elections-European	0	0	0	0	38	-38	0	-0	-0	
Registration Of Electors	166	-2	243	407	276	-112	243	407	0	
Registrars	430	-301	192	321	508	-303	192	398	76	£76k increase in Registration Officers hours to catch up on delays in various registrations not able to be undertaken during early months of Covid-19.
Coroners	384	0	8	392	321	0	8	329	-63	Following the appointment of medical examiners by the NHS, fewer cases are being referred to the Coroner.
Electoral Services - Staff	287	0	-291	-4	267	-0	-291	-24	-20	Vacant Post during year pending divisional realignment.
Statutory Services Total	1,276	-303	281	1,254	1,415	-455	281	1,240	-14	
Regeneration & Property										
Regeneration Management	330	0	38	368	322	0	38	360	-9	
Parry Thomas Centre	32	-31	11	11	33	-32	11	12	0	
Betws wind farm community fund	87	-87	1	1	86	-86	1	1	-0	
Welfare Rights & Citizen's Advice	163	0	2	165	163	0	2	165	0	
Llanelli Coast Joint Venture	145	-143	5	7	145	-143	5	7	-0	
The Beacon	150	-138	52	64	162	-150	52	64	-0	
Business Grants	0	0	0	0	0	0	0	0	0	£27 business grants provided from CCC funding to date
BREXIT	0	0	0	0	59	-59	0	0	0	
Econ Dev-Rural Carmarthen, Ammanford, Town Centres	380	0	4,908	5,288	388	-9	4,908	5,288	-0	
Econ Dev-Llanelli, C Hands, Coastal, Business, Inf & Ent	442	0	89	530	470	-28	89	530	0	
Community Development and External Funding	469	0	42	511	469	0	42	511	-0	
Coronavirus	0	0	0	0	24	-24	0	-0	-0	
COVID-19 - Small Business Rent Relief	0	0	0	0	3	0	0	3	3	
Food Hubs & Banks - Covid 19	0	0	0	0	247	-247	0	0	0	£99k reimbursement received from WG. £148k draw down from reserves.
Shielding Parcels	0	0	0	0	333	-333	0	-0	-0	
Wenness	25	0	19	44	25	0	19	44	0	
Citi Deal	1,069	-1,123	78	23	1,084	-1,138	78	23	-0	
Property	1,241	-67	-1,251	-77	1,169	-67	-1,251	-149	-72	Part year vacant post (£40k). Large underspend on travelling and car hire as well as other supplies and services savings.
Commercial Properties	32	-582	537	-14	54	-516	537	74	88	General loss of income due to properties becoming vacant and no immediate prospect of re-letting.

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Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020	Notes
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Forecasted Variance for Year £'000	
Provision Markets	581	-651	379	310	560	-580	379	358	49	Ongoing reduction in Lettings income due to market forces impacting rates achievable. Reduction in anticipated overspend due to more Covid-19 related costs being claimable than on previous monitoring.
Renewable Energy Fund	0	-51	0	-51	0	-51	0	-51	-0	
Net Zero Carbon	75	0	0	75	75	0	0	75	0	
Operational Depots	326	0	-336	-9	326	0	-336	-10	-0	
Administrative Buildings	2,859	-771	-3,084	-995	2,739	-653	-3,084	-998	-2	
Industrial Premises	539	-1,520	924	-58	486	-1,495	924	-85	-28	£24k anticipated shortfall in income offset by reduction in premises related costs.
County Farms	74	-335	420	159	75	-327	420	168	9	
Livestock Markets	59	-209	3	-146	48	-54	3	-3	143	Anticipated shortfall in income collected at Nant Y Ci Mart
Externally Funded Schemes	3,263	-3,259	370	373	2,711	-2,708	370	374	0	
Regeneration & Property Total	12,340	-8,967	3,209	6,581	12,254	-8,699	3,209	6,764	182	
Financial Services										
Corporate Services Management team	485	-63	-422	-0	482	-109	-422	-49	-49	£35k income from Fire Authority SLA and £15k savings on supplies and services
Accountancy	1,697	-459	-1,239	-2	1,595	-466	-1,239	-111	-109	Vacant posts not likely to be filled in the short term. A few staff members currently being paid at the lower points of the scale whilst budgeted at the top.
Treasury and Pension Investment Section	258	-182	-70	7	230	-207	-70	-48	-54	£25k additional income for work undertaken for the Wales Pension Partnership; £29k - part year staff vacancy and three staff members currently at lower points of the salary scale but budgeted at top of scale.
Grants and Technical	310	-109	-218	-17	245	-44	-218	-16	1	
Payroll Control	88	0	-88	0	94	0	-88	6	5	
Payments	531	-76	-457	-2	501	-71	-457	-28	-25	Net £16k part year vacant posts and £9k savings on supplies and services
Pensions	1,272	-1,215	-58	-1	1,247	-1,191	-58	-1	0	
Wales Pension Partnership	759	-759	0	0	732	-732	0	0	-0	
Financial Services Total	5,399	-2,862	-2,552	-16	5,125	-2,820	-2,552	-247	-231	

Policy & Resources Scrutiny Report
Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020	Notes
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Forecasted Variance for Year £'000	
Revenues & Financial Compliance										
Procurement	548	-34	-551	-37	520	-34	-551	-65	-28	Net effect of 1 vacancy not being filled offset by additional staff costs
Audit	482	-19	-463	0	418	-34	-463	-80	-80	2 vacant posts during the year not to be filled before year end
Risk Management	148	-0	-149	-1	169	-0	-149	19	21	Temporary additional secondment into team
Business Support Unit	81	0	-81	-0	77	0	-81	-5	-4	
Corporate Services Training	59	0	-59	-1	33	0	-59	-26	-26	Under utilisation of budget due to current working practices
Local Taxation	934	-741	528	720	820	-755	528	593	-127	A few posts have been vacant during the year to date and are expected to be filled imminently. A number of staff members are currently on lower points of the salary scale but budgeted at the top of scale and 2 staff members have reduced their hours. Additional one off DWP grant to implement the welfare reform changes of £43k has contributed to the underspend.
Housing Benefits Admin	1,645	-752	-877	17	1,369	-841	-877	-349	-365	A few posts have been vacant during the year to date and are expected to be filled imminently. A large number of staff members are currently on lower points of the salary scale but budgeted at the top of scale. Additional one off grants from DWP for additional burdens contributed a net £75k of the underspend.
Revenues	896	-176	-755	-35	848	-129	-755	-36	-0	
Revenues & Financial Compliance Total	4,793	-1,723	-2,408	662	4,254	-1,794	-2,408	53	-610	
Other Services										
Audit Fees	316	-92	4	229	287	-92	4	200	-29	A proportion of audit fees chargeable directly to grants
Bank Charges	67	0	1	68	17	0	1	18	-50	One off refund in year of £43k
Council Tax Reduction Scheme	16,511	0	78	16,589	17,738	-338	78	17,478	889	Significant increase in caseload as a result of Covid-19. Estimated cost is £1.68m greater than 19-20 actual cost. £179k income received from WG for Q1 with an additional £158k expected for Q2
Rent Allowances	46,923	-47,140	1,495	1,278	44,250	-44,677	1,495	1,069	-209	Efficient recovery of overpayments
Miscellaneous Services	7,187	-120	1,759	8,826	6,894	-38	1,759	8,615	-211	£238k underspend on pre LGR pension costs
Other Services Total	71,004	-47,351	3,337	26,991	69,187	-45,144	3,337	27,381	390	
TOTAL FOR POLICY & RESOURCES	113,080	-65,245	-7,204	40,631	110,358	-63,532	-7,203	39,622	-1,009	

Mae'r dudalen hon yn wag yn fwiadol

APPENDIX C
CHARGING DIGEST - Chief Executives

2019/20 Actual £	2020/21 Budget £	2021/22 Budget £	Business Unit	Service Provided	2020/21 Charge Levied £	2021/22 Proposed Charge £	Comments
			People Management				
1,236	0	0	Payroll Payroll - employee information	Application for Mortgage information P60 Copies	16.00 6.00	16.00 6.00	Not specifically budgeted for. Small volume dependent upon demand. Not specifically budgeted for. Small volume dependent upon demand.
			Admin and Law				
218,412	299,687	305,681	Land Charges	Search Fees Any additional enquiries (dependent on enquiry) Any additional enquiries (dependent on enquiry)	130.80 12.00 24.00	130.80 12.00 24.00	Review of charges to take place during 2021-22
			Regen, Policy and Property				
414,763	30,422	306,451	Statutory Services Electoral Services Registrars	Electoral Registration Purchase of the Full/Open or list of overseas electors Register in printed format Purchase the Full/Open or list of overseas electors Register in data format Marked copy of the Register Copies of election spending return and declaration Marriage Notice of Marriage per notice valid for 12 months Superintendent Registrar & Registrar fee Superintendent Registrar & Registrar fee Payable to registrar present at ceremony: In the Register office In a registered building	10.00 + 5.00 per 1,000 entries (or part of 1,000 entries) 20.00 plus 1.50 each for 1,000 entries (or part of 1,000 entries) 10.00 + 2.00 per 1,000 names 20p per side 35.00 110.00 - 575.00 as above 46.00 86.00	10.00 + 5.00 per 1,000 entries (or part of 1,000 entries) 20.00 plus 1.50 each for 1,000 entries (or part of 1,000 entries) 10.00 + 2.00 per 1,000 names 20p per side 35.00 150.00 - 585.00 as above 46.00 86.00	Statutory fee Statutory fee Statutory fee Statutory fee Statutory fee Dependent on date and venue as above Statutory fee Statutory fee

APPENDIX C
CHARGING DIGEST - Chief Executives

2019/20 Actual £	2020/21 Budget £	2021/22 Budget £	Business Unit	Service Provided	2020/21 Charge Levied £	2021/22 Proposed Charge £	Comments
Tudalen 38				Conversion from Civil Partnership to marriage	45.00	45.00	Statutory fee
				Civil Partnership			
				For the recording of each notice of civil partnership	35.00	35.00	Statutory fee
				For the attendance of the civil partnership registrar at the civil partnership registration	46.00	46.00	Statutory fee
				Superintendent Registrar & Registrar fee	100.00 - 575.00	150.00 - 585.00	Dependent on date and venue
				Superintendent Registrar & Registrar fee	as above	as above	see above
				Short Birth Certificate Issued by:			
				Superintendent Registrar	11.00 or 35.00	11.00 or 35.00	Standard and Priority - Statutory Fees
				Certificates for special purposes :			Factories Act, Education Act, Social Security (Administration) Act, Savings Banks Act
				General Search	18.00	18.00	Statutory fee
				Specific search in indexes	15.00	15.00	per hour
				Registrar attendance at rehearsal	75.00	75.00	Rarely requested
				Registrar attendance at promotional event	30.00 per hour + travel	35.00 per hour + travel	2 registrars attendance
				Civil Funeral	190.00 plus travel expenses	200.00 plus travel expenses	Plan ceremony and officiate - crematorium, cemetery, chapel of rest - Weekdays only
				Corrections - consideration by local Superintendent Registrar	75.00	75.00	Statutory fee
				Foreign divorce - consideration by local Superintendent Registrar	50.00	50.00	Statutory fee
				Space 17 Birth registration amendments (changing the baby's name after registration)	40.00	40.00	Statutory fee
				Triage of waiver request on behalf of the General Register Office	20.00	20.00	Statutory fee
				Triage of complex correction request on behalf of the General Register Office	32.00	32.00	Statutory fee
				Triage of foreign divorce consideration on behalf of the General Register Office	28.00	28.00	Statutory fee
				Memorial Service	350.00	360.00	Plan ceremony and officiate - Parc Myrddin or chapel of rest - Sundays only

APPENDIX C
CHARGING DIGEST - Chief Executives

2019/20 Actual £	2020/21 Budget £	2021/22 Budget £	Business Unit	Service Provided	2020/21 Charge Levied £	2021/22 Proposed Charge £	Comments
				Certificates: Birth, Death, Marriage, Civil Partnership Full or extract Certificate applied for at the time of the registration Full or extract Certificate applied for at any other time Certificates for special purposes : Social Security Savings Bank Act Non-Statutory Ceremonies Register Office Licensed Venue Express Service for Certificates Private Citizenship Ceremony Licence for Venue for Marriages and Civil Partnerships Bespoke Ceremonies	11.00 11.00 11.00 11.00 110.00 - 575.00 110.00 - 575.00 35.00 85.00 1,250.00 Price on application	11.00 11.00 11.00 11.00 150.00 - 585.00 150.00 - 585.00 35.00 90.00 1,300.00 Price on application	Statutory fee Includes admin fee Includes admin fee Includes admin fee Dependent on date and venue Dependent on date and venue Now a statutory fee per adult, £25 per child 3 year licence - £2,150 for a 5 year licence Priced as stat ceremony but with additional charges for prelim site inspection/risk assessment and travel as appropriate.
			Property & Regeneration	Lease or rental of corporate property			Dependent on market valuations at time of lease commencement or rent reviews. Not appropriate to rise with inflation as rent will vary with market conditions.

APPENDIX C
CHARGING DIGEST - Corporate Services

2019/20 Actual £	2020/21 Budget £	2021/22 Budget £	Business Unit	Service Provided	2020/21 Charge Levied £	2021/22 Proposed Charge £	Comments
0	0	0	Pensions	Proof of earnings (standard charge)	16.00	16.00	Not specifically budgeted for. Small volume dependent upon demand.
				Provision of pension information and implementation of a Pension Sharing Order in connection with Divorce	682.77	686.18	Not specifically budgeted for. Small volume dependent upon demand. Schedule of Charges created when regulations came in to force stated that charges were to be increased each year in line with RPI now CPI. Can provide copy if needed.
323,013	349,114	356,096	Revenue Services	Costs imposed where Magistrates' Court recovery proceedings are instigated in respect of unpaid Council Tax or Non-Domestic (Business) Rates	62.00 (subject to in-year review - please see Comments)	62.00	As a result of a High Court case in 2015 local authorities are not in a position to simply periodically increase / vary costs e.g. in line with inflation. Instead a calculation of the (estimated) actual costs involved in taking action is necessary with any change then agreed with the Court. Based on the current operational cost of the work relating to recovery proceedings, the existing level of recovery costs (Summons £32, Liability Order £30, Total £62) is unlikely to change in the medium term. The proposed level of costs will therefore remain slightly below the upper limit invoked by Welsh Government. However the probable increase in the number of cases subject to action will generate additional income.

PWYLLGOR CRAFFU POLISI AC ADNODDAU 3^{ydd} CHWEFROR 2021

RHAGLEN GYFALAF PUM MLYNEDD - 2021/22 - 2025/26

YR ARGYMHELLION / PENDERFYNIADAU ALLWEDDOL SYDD EU HANGEN:

Fel rhan o'r ymgynghori ynghylch y gyllideb, gofynnir i'r aelodau gael golwg ar y rhaglen gyfalaf amgaeedig, ynghyd â'r strategaeth gyfalaf.

Y RHESYMAU:

Bod y Pwyllgor Craffu Polisi ac Adnoddau yn ystyreid cynnwys yr adnoddiaid hwn ac yn cyflwyno unrhyw argymhellion i'w hystyried gan y Bwrdd Gweithredol.

Angen cyfeirio'r mater at y Bwrdd Gweithredol er mwyn gwneud penderfyniad: Oes

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO: Cyng.
David Jenkins

Y Gyfarwyddiaeth:

Enw Cyfarwyddwr y
Gwasanaethau Corfforaethol:

Randal Hemingway

Awdur yr Adroddiad:
Adrian Armstrong

Swydd:

Pennaeth Gwasanaethau
Ariannol

Rhif ffôn: 01267 224886

Cyfeiriad E-bost:

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MAArmstrong@sirgar.gov.uk

EXECUTIVE SUMMARY

POLICY & RESOURCES SCRUTINY COMMITTEE

3rd FEBRUARY 2021

FIVE YEAR CAPITAL PROGRAMME – 2021/22 TO 2025/26

The report provides members with an initial view of the five-year Capital Programme from 2021/22 to 2025/26.

The report will form the basis of the budget consultation process with Scrutiny Committee and other relevant parties. Feedback from this consultation process along with the final settlement will inform the final budget report which will be presented to members in March 2021.

The proposed gross expenditure on the capital programme for 2021/22 is £127.1m with the projected funding being £63.854m from the county council's own resources through the use of borrowing, capital receipts, reserves and general capital grant and, the balance of funding of £63.239m coming from external sources. These figures include projects delayed in 2020/21, mainly because of Covid-19 restrictions, that have been carried over and built into the budgets of future years.

The capital programme is projected to be fully funded over the five years.

It includes projected expenditure on the Swansea Bay City Region Deal projects against which the authority will borrow, with the funding being returned from both Welsh and UK governments over a 15 year period (from 2018/19).

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Randal Hemingway

Head of Financial Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	YES

3. Finance

The updated capital programme is projected to be fully funded for the five years 2021/2022 through to 2025/26, however, if any of the currently anticipated funding does not materialise it will need to be revisited. There is currently a surplus in funding during years 4 and 5 which will be assigned to projects in future capital programmes.

7. Physical Assets

New assets created from the capital programme will be added to the Council's portfolio. In addition, the Programme proposes expenditure to improve the existing assets and comply with statutory responsibilities.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Randal Hemingway

Head of Financial Services

1. Scrutiny Committee Relevant Scrutiny Committees will be consulted.

2. Local Member(s) N/A

3. Community / Town Council N/A

4. Relevant Partners Consultation with relevant partners will be undertaken and results will be reported during the budget process.

5. Staff Side Representatives and other Organisations Consultation with other organisations will be undertaken and results will be reported during the budget process.

**EXECUTIVE BOARD PORTFOLIO
HOLDER(S) AWARE/CONSULTED**

YES

Include any observations here

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2020/21 – 2024/25 Capital Programme		Corporate Service Department, County Hall, Carmarthen. On-line via corporate website – Minutes of County Council Meeting 3 rd March 2020.
2021/22 – 2025/26 Capital Programme		Corporate Service Department, County Hall, Carmarthen.

Mae'r dudalen hon yn wag yn fwriadol

REPORT OF DIRECTOR OF CORPORATE SERVICES

Policy and Resources Scrutiny Committee

3rd FEBRUARY 2021

FIVE-YEAR CAPITAL PROGRAMME – 2021/22, 2022/23, 2023/24, 2024/25 and 2025/26

DIRECTOR & DESIGNATION	DIRECTORATE	TELEPHONE NO.
C Moore, Director of Corporate Services	Corporate Services	01267 224120
AUTHOR & DESIGNATION	DIRECTORATE	TELEPHONE NO.
R Hemingway, Head of Financial Services	Corporate Services	01267 224886

1. INTRODUCTION

- 1.1. The report provides members with a view of the proposed five-year Capital Programme 2021/22, 2022/23, 2023/24, 2024/25 and 2025/26.
- 1.2. The Policy & Resources Committee are being consulted as part of the development of the programme. Feedback from this consultation process, along with the outcome of the final settlement, will inform the final budget report which will be presented to County Council in March 2021 for approval.
- 1.3. The authority is required to approve a rolling capital programme each year as part of its budget setting process. This facilitates forward planning and is consistent with the requirements of the Prudential Code in terms of financial planning and funding while assisting officers in bidding for external funding.

2. BACKGROUND

- 2.1. Last year the authority agreed a fully funded five-year capital programme 2020/21 to 2024/25 which was approved at County Council on 3rd March 2020.
- 2.2. 2020 was an unprecedented year. The response to the Covid-19 pandemic has given rise to the need to reprioritise our capital strategy and capital programme to reflect the desire to boost local businesses and local economies. Given the significant pressures on budgets it has been necessary to make capacity in the current five-year capital programme to enable any new priorities to be accommodated and for new projects to go ahead. The capital programme was revisited by the Strategic Assets Steering Group

(SASG) and the Corporate Management Team. Departments also submitted proposals for new urgent projects for the programme. Projects have been assessed and prioritised with only the highest priorities being included in the programme (due to available funds). Officers in analysing the capital programme have flagged projects that are not contractually committed and which could be stopped, re-phased or down-sized.

- 2.3. The proposed capital programme is attached in Appendix A and shows the anticipated expenditure and sources of funding over the five year period.
- 2.4. The proposed Capital Programme and Funding is based on Welsh Government's (WG) Provisional Settlement. The Final Settlement is particularly late this year, mainly due to the timing of the Comprehensive Spending Review. The Final Settlement is due on 2nd March and it should be noted that whilst no changes are expected, should there be any amendments in the funding figures issued by Welsh Government (WG), that Executive Board will be asked to delegate to the Director of Corporate Services to adjust the programme accordingly, in liaison with the Chief Executive, Leader and Executive Board member for Resources. Similarly, delegated authority to update the programme will be sought should any other award of grant funding be received at the same time, for example, transport grants, again in consultation with the same.

3. PRIORITIES

- 3.1. The current Corporate Strategy for 2018-2023 (refreshed June 2019) sets out the Authority's strategic priorities, aspirations, overarching themes and core values, and the programme has been developed in line with these, whilst also recognising the priorities that have come forward as a consequence of the pandemic.
- 3.2. The proposed capital programme of £242m over the five years is aimed at delivering a number of key projects that will create jobs and improve the quality of life for the people of Carmarthenshire. As part of the response to the Covid-19 pandemic, officers have developed a programme of business initiatives to help regenerate local towns and boost their economies. These are accommodated in year 1 of the proposed programme.

The key investments within the programme are:

	£m
Schools	80
Housing (Non HRA)	10
Leisure & Culture	3
Regeneration	93
Regeneration Covid Response	4
ICT	4
Environment	48

4. FUNDING

The provisional settlement received from the Welsh Government (WG) allocated capital funding of £11.866m for the Authority in 2021/22. This is made up of Unhypothecated Supported Borrowing of £5.925m and General Capital Grant of £5.941m. In 2020/21 the General Capital Grant included an additional award of £1.3m which was not guaranteed to continue. The additional amount has now been consolidated into the annual award. The main capital allocation for the County has increased by £32k on 2020/21.

Recognising the experience of recent years WG capital funding, as well as indications from Westminster of increases in capital investment (which would likely lead to WG Barnett consequential), our assumption on general capital funding has been maintained for future years.

- 4.1. The level of capital receipts funding included within the programme has been revised in line with latest estimates. General capital receipts of £5.2m will be applied over the five year period. It should be noted that there may be a need to amend future capital programmes if there is a shortfall in expected receipts.
- 4.2. The use of earmarked reserves was approved as part of previous year's reports and were included as funding for the current capital programme. In total some £47m of reserve funding is included over the five years of the programme. £1m of this reserve funding is from the Resetting Services (Post Covid 19) Reserve.
- 4.3. Within the Modernising Education Programme (MEP) additional resources have been approved in recent years for the Band A 21st Century Schools projects. (This was in response to a request by the WG to bring forward their Band A projects for completion by 2018/19.) This investment includes supported borrowing, earmarked reserves and capital receipts and is part of the County Council's 50% contribution towards the Band A projects with the balance in funding coming from the WG.

The Band B programme runs from 2019 to 2026, with an estimated programme value of £129.5m for Carmarthenshire. This will require LA contribution in the region of £36.5m capital funding of which, £23m has been allocated in the programme to 2025. WG introduced a contribution level of 65% towards Band B projects, with the County Council's contribution now being 35%. Special Schools have a 75% WG contribution rate. The £129.5m allocation includes £25m for the Mutual Investment Model (MIM) projects for which the LA is required to contribute 19% from revenue funding. The Mutual Investment Model is an alternative funding model developed by Welsh Government, which takes account of the whole life costs of the education building and is funding through a revenue contribution of 19% and WG grant funding of 81%. The Authority will need to monitor closely the development of this programme in order to ensure that funding is available to cover the projects as they are committed.

- 4.4. Funding from external parties in the form of grants for the whole of the capital programme is currently expected to be in the region of £73m. There is also £46m of funding for the City Deal Projects
- 4.5. As part of the provisional annual settlement from Welsh Government, funding was made available on an all Wales basis for the following areas:
 - Public highway refurbishment grant - £20m. We have assumed an award of £1.498m based on last year's allocation.
 - Local Transport Fund and Resilient Roads Funds – Carmarthenshire will bid for funding from these grants and any successful awards will be added to the capital programme in due course.
 - Ultra-low Emissions Vehicles Transformation - £28m. Carmarthenshire will be bidding for projects under this funding.
- 4.6. As per the Medium Term Financial Plan it is proposed that £1m in the form of direct revenue funding will be put towards the Covid-19 response package, which is deemed essential to assist with economic regeneration.

5. CAPITAL PROGRAMME 2021/22 TO 2025/26

- 5.1. When the capital programme was approved at County Council on 3rd March 2020 it was fully funded. The new capital programme again remains fully funded, however, it should be noted that cumulatively years 4 and year 5 have additional funding capacity of £4m to be allocated. This will be reviewed and prioritised as future programmes are developed.

Community Services

- 5.2. Within Community Services the capital programme material investments have been made in leisure in recent years. This year there is an ongoing commitment to cultural services.
- 5.3. The most significant of these is a £1.9m investment into Oriel Mryddin. This will deliver a gallery of national importance, aligned with the Arts Council of Wales dispersed model of galleries across Wales. It is envisaged that the completed gallery could display national collections. This will broaden access to the arts and contribute to Carmarthenshire as a tourist destination.
- 5.4. In 2025/26 within Private Sector Housing, further funding is provided for Disabled Facility Grants £2m.

Environment

- 5.5. For 2025/26 further allocations to existing rolling programmes of work are included such as Highway Improvements £600k, Bridge Maintenance £400k and Road Safety Improvement £250k.
- 5.6. Council funding on Highway Maintenance will continue to be bolstered in 2021/22 through a Road Refurbishment Grant provided by WG of £1.498m.
- 5.7. £1m is allocated for urgent works to the Trebeddrod reservoir in Llanelli in recognition of our responsibilities under the Reservoir's Act 1975.
- 5.8. £300k is made available to realign the coastal path at Morfa Bacas because of erosion. This ensures that the path will continue to be available for residents to enjoy.
- 5.9. £800k is committed to the upgrade of public highway lighting columns. £400k in 2024/25 and £400k in 2025/26, this will be the start of a longer term rolling programme.
- 5.10. £300k is made available for the upgrade of farm slurry infrastructure on Council owned sites in year 4, following new Welsh Government regulations.
- 5.11. Significant investment, over £2m, has already been made in the Towy Valley Path with the council's resources being match funded by approximately £1.6m from the Welsh Government. Whilst the Authority remain firmly committed to this project, external funding, which is required for this project, has been difficult to secure, as such it is suggested that the council reduce its own funding commitment until such time as further Welsh Government support becomes available.
- 5.12. Significant investment has also been made in road safety. Funding at £250k per year will continue in this area, although this is some £225k lower over the five years than in previous programmes.

This funding will be diverted to support the economic stimulus package.

5.13. Recognising the backlog of works across the council's operational estate, it has been possible to make the following additional allocations:

- £2.5m was provided across the five years of the previous programme to complete essential works to County Hall, including upgrades to alarm systems and other mechanical and electrical works, a further £500k is awarded and the works accelerated to years 1 and 2 of the programme.
- An additional £700k is provided for health and safety works to Ty Elwyn bringing the total investment to £1.2m.
- The provision for capital maintenance across our wider estate has been revisited. It is proposed to continue investment in Capitalised Maintenance at £15.4m across the programme instead of the £16.5m in the previous programme. The funding being repurposed to provide investment in a regeneration package to help the local economy in response to the Covid-19 pandemic.

5.14. A five-year Fleet Replacement Programme for £11.5m is included to ensure that vehicles and plant machinery assets are replaced when they reach the end of their viable economic life. The funding for this capital spend will be provided directly from revenue budgets of the service users via prudential borrowing.

Education and Children

5.15. In the Education and Children Services capital programme, the MEP includes the continuation of the 21st Century Schools improvement programme. Schemes are funded jointly by Welsh Government grant and the Authority. Owing to known and likely external factors some schemes have been interchanged between Bands A and B of the programme to ensure external funding is fully utilised.

5.16. The MEP programme continues to include schemes to a total value of £25m which will be supported through the MIM funding approach.

Chief Executive

5.17. ICT services are crucial to our future ways of working, therefore, the £3.7m allocated across the programme is maintained. This includes annual funding of £200k to support digital transformational projects across council services, aligned with the council's TIC work programme. The County Council will also see the benefit of the Swansea Bay City region Digital Project across the County.

Regeneration

- 5.18. The Transformation Strategy Project Fund has been allocated a further £1.5m of County Council funding for 2025/26, with the expectation that this will lever in a further £3m in external monies.
- 5.19. In recognition of the Climate Emergency declared in 2019/20 £500k was allocated to decarbonisation projects in the previous programme. This funding will now be utilised to provide a fund for third party grants to businesses, throughout the county, to support renewable energy initiatives to reduce their carbon footprints. It is hoped that this will act as a catalyst for further private sector investment in this area.
- 5.20. Included within Regeneration (City Deal) is the budget for the Llanelli Area Review. Including funding allocated in previous years, the total budget for this scheme stands at £7.0m and will form part of the council's contribution to the Pentre Awel Wellness Project.
- 5.21. An economic stimulus package of £3.7m to support local business in response to the Covid-19 pandemic has also been identified as a priority as the County moves forward and recovers from the Pandemic, this has been included in the provisional programme and is designed to support the local economies throughout the county, it includes:
- £1.2m Targeting Regeneration investment.
 - £1m Commercial Property Development Fund.
 - £1m Ten Towns Growth Plan – Supporting businesses in the counties satellite towns.
 - £500k towards the Rural Enterprise fund.

This has been accommodated by reprioritising other projects within the capital programme, as mentioned above, and repurposing the funding and from the additional funding from the Welsh Government. A comprehensive breakdown of the economic stimulus package is detailed in Appendix B.

Also included within the Regeneration (City Deal) is the budget for Llanelli Leisure Centre. This budget includes funding from a trust (£1m), towards a Hydrotherapy Pool and links in the with Joint Venture support from the Llanelli Coast JV project.

The Capital Programme includes the proposed expenditure on the two capital City Deal Projects. This expenditure, whilst being incurred by the Authority will be repaid to the Authority over a 15 year period by both Welsh and UK governments. The structure of the City Deal projects is that WG expects the Authority to deliver the projects and raise the funding through borrowing which will then be repaid by grant over the next 15 years. The projects or the Authority will need to accommodate the interest payments on this borrowing.

The two capital projects the Authority will deliver are Pentre Awel and Yr Egin.

Development of Pentre Awel will require upfront investment for site infrastructure costs, to be financed from borrowing. Funding will be subject to income flows from different elements of the project, with borrowing not to take place until these are secured. This mechanism will be part of the wider business case, which will be subject to member approval at the appropriate time.

The County Council will also have an input into the Regional Projects of the Swansea Bay City Region.

Reserve Projects

5.22. There remains a large number of schemes on the reserve list, which were presented as part of the previous budget setting process, which it has again not been possible to provide funding for at this time. Projects on this list include:

- Additional investment into highways maintenance & infrastructure.
- Investment in waste treatment infrastructure changes to facilitate future service changes
- Additional funding required to complete the Tywi Valley Path
- Additional Disabled Facilities Grants annual allocations
- Wider investment into Leisure and culture facilities.
- Covering the current shortfall on overall council funding required to complete the 21st Century Schools Band B programme.

Unless and until further funding sources are identified, it is only possible to consider such additions to the capital programme if current schemes are removed or reduced.

6. WELLBEING OF FUTURE GENERATIONS (WALES) ACT 2015

6.1. In considering the capital programme, members need to take into consideration the requirements of the Wellbeing of Future Generations (Wales) Act 2015. The Act requires the public bodies to carry out development sustainably, improving the economic, social, environmental and cultural well-being of Wales.

‘... the public body must act in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs’

6.2. In doing so, we must demonstrate the following five ways of working:

- i. Looking at the long-term so that we do not compromise the ability of future generations to meet their own needs
- ii. Understanding the root causes of issues to prevent them recurring
- iii. Taking an integrated approach so that we look at all well-being goals and objectives of other services and partners
- iv. Working with others in a collaborative way to find shared sustainable solutions
- v. Involving a diversity of population in decisions that affect them

6.3. The Act identifies seven well-being goals and provide a shared vision for public bodies to work towards. We must work towards achieving all of them.

- i. A prosperous Wales
- ii. A resilient Wales
- iii. A healthier Wales
- iv. A more equal Wales
- v. A Wales of cohesive communities
- vi. A Wales of vibrant culture and thriving Welsh Language
- vii. A globally responsible Wales

6.4. Our well-being objectives are designed to maximise our contribution to achieving the seven well-being goals of the Act.

6.5. All projects within the programme have been assessed and scored with the five ways of working, the seven goals and our corporate well-being statement in mind.

7. SUMMARY

The table below gives a breakdown of the expenditure by departments and the sources of funding.

CAPITAL PROGRAMME SUMMARY					
	2021/22 £'000	2022/23 £'000	2023/24 £'000	2024/25 £'000	2025/26 £'000
<u>Expenditure</u>					
Community Services	6,675	2,000	2,000	2,000	2,000
Environment	19,247	8,181	5,376	5,776	5,776
Education & Children	33,651	29,632	13,897	3,020	280
Chief Executive	2,451	485	465	1,657	200
Regeneration	65,069	18,600	4,500	4,500	4,500
Total Expenditure	127,093	58,878	26,238	16,953	12,756
<u>Grants and Contributions</u>					
External Funding	63,239	35,682	12,460	5,035	3,000
Net Expenditure Funded by CCC	63,854	23,196	13,778	11,918	9,756
<u>CCC Funding</u>					
Non-hypothecated Supported Borrowing	5,925	5,925	5,925	5,925	5,925
Unsupported Borrowing	500	0	0	0	0
Prudential Borrowing - Fleet	6,534	1,980	1000	1000	1000
General Capital Grant	5941	5941	5941	5941	5941
Capital Receipts	1,717	0	0	0	0
Capital Reserves	36,799	9,334	896	0	0
Joint Venture Funding Llanelli Leisure Centre	3,500	0	0	0	0
Direct Revenue Funding	1,616	16	16	16	16
Economic Stimulus Grant	1,322	0	0	0	0
Overall Net Position: Surplus + / Deficit (-)	0	0	0	964	3,126

- 7.1. To summarise the overall position, the capital programme is fully funded for the 5 years from 2021/22 to 2025/26, with a potential funding surplus in Years 4 and 5 of the proposed programme.
- 7.2. The total cost of the programme is £242m of which £119m is funded from external grants and contributions.
- 7.3. The full detail of the proposed five-year capital programme is attached in Appendix A.

8. REVENUE IMPLICATIONS

- 8.1. Whilst in recent years (up to 2019/20), no revenue implications have been validated within the revenue budget, this approach is now considered not sustainable indefinitely into the future. The draft revenue budget strategy 2021/22 to 2023/24 allows for £400k increased revenue budget implications each year.

9. RECOMMENDATIONS

- 9.1 That the Policy and Resources Scrutiny Committee consider the content of this report and put forward any recommendations for consideration by Executive Board.

Mae'r dudalen hon yn wag yn fwriadol

Capital Programme 2021/22 - 2025/26																		
Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
COMMUNITIES																		
Private Sector Housing																		
Disabled Facility Grants	3,200		3,200	2,000		2,000	2,000		2,000	2,000		2,000	2,000		2,000	11,200	0	11,200
Enable - Adaptation to assist independent living			0			0			0			0			0	0	0	0
Empty Properties Initiatives - Western Valleys	235		235			0			0			0			0	235	0	235
Empty Properties Initiatives - Valleys Taskforce	125		125			0			0			0			0	125	0	125
Sports & Leisure																		
Amman Valley Leisure Centre Masterplan	1,000		1,000			0			0			0			0	1,000	0	1,000
Culture																		
Oriel Myrddin	860	1,000	1,860			0			0			0			0	860	1,000	1,860
Towy Gateway - Contribution to Tywi Gateway Trust for Bishop's Park (Reffcus)	179		179			0			0			0			0	179	0	179
Ports																		
Burry Port Harbour Walls	76		76			0			0			0			0	76	0	76
Total Communities	5,675	1,000	6,675	2,000	0	2,000	2,000	0	2,000	2,000	0	2,000	2,000	0	2,000	13,675	1,000	14,675

Capital Programme 2021/22 - 2025/26

Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
ENVIRONMENT																		
Countryside																		
Byways	16		16	16		16	16		16	16		16	16		16	80	0	80
Morfa Bacas Path	300		300						0			0			0	300	0	300
Rights of Way Improvement Programme	50		50	50		50	50		50	50		50	50		50	250	0	250
Access Improvement Programme			0			0			0			0			0	0	0	0
Coastal and Flood Defence																		
Trebeddrod Reservoir	1,000		1,000			0			0			0			0	1,000	0	1,000
Coastal Defence	49		49			0			0			0			0	49	0	49
Fleet Management																		
Fleet Replacement - Prudential Borrowing	6,534		6,534	1,980		1,980	1,000		1,000	1,000		1,000	1,000		1,000	11,514	0	11,514
Omega Mulcher			0			0			0			0			0	0	0	0
Infrastructure																		
Multi Storey Car Park, Llanelli	120		120	60		60	60		60	60		60	60		60	360	0	360
Electric Vehicle Charging Infrastructure			0			0			0			0			0	0	0	0
Highways	600	1,498	2,098	600		600	600		600	600		600	600		600	3,000	1,498	4,498
Bridges and Structures	400		400	400		400	400		400	400		400	400		400	2,000	0	2,000
Street Lighting Replacement & Upgrade			0			0			0	400		400	400		400	800	0	800
Waste Management																		
Transportation																		
Road Safety Improvement Schemes	250		250	250		250	250		250	250		250	250		250	1,250	0	1,250
Road Safety Grants			0			0			0			0			0	0	0	0
A4138 Hendy Link Road			0	50		50			0			0			0	50	0	50
Highway Junction Improvements/Signals Upgrade	25		25	25		25			0			0			0	50	0	50
Llanelli Unban and Coastal Belt Network Improvements		175	175			0			0			0			0	0	175	175
Ammanford Economic Highway Infrastructure		150	150			0			0			0			0	0	150	150
Active Travel - Walking & Cycling Linkages	100	375	475	100		100			0			0			0	200	375	575
Active Travel - General			0			0			0			0			0	0	0	0
Active Travel - Llanelli Masterplan			0			0			0			0			0	0	0	0
Active Travel - Cross Hands to Ammanford			0			0			0			0			0	0	0	0
Active Travel - Carmarthen Masterplan			0			0			0			0			0	0	0	0
Cross Hands Economic Link Road Phase 2	750		750	750		750			0			0			0	1,500	0	1,500
Public Transport Infrastructure																		
Strategic Public Transport Corridors		100	100			0			0			0			0	0	100	100
Tywi Valley Path	175	405	580			0			0			0			0	175	405	580
Safe Routes in the Communities		225	225			0			0			0			0	0	225	225
Property																		
Capital Maintenance	3,500		3,500	2,900		2,900	3,000		3,000	3,000		3,000	3,000		3,000	15,400	0	15,400
Agile Working			0			0			0			0			0	0	0	0
County Hall	1,250		1,250	1,000		1,000			0			0			0	2,250	0	2,250
Tŷ Elwyn	1,200		1,200	0		0			0			0			0	1,200	0	1,200
Total Environment	16,319	2,928	19,247	8,181	0	8,181	5,376	0	5,376	5,776	0	5,776	5,776	0	5,776	41,428	2,928	44,356

Capital Programme 2021/22 - 2025/26																		
Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
EDUCATION & CHILDREN																		
Band A																		
Llandeilo	575	4,800	5,375	765	920	1,685	1,252		1,252	300		300			0	2,892	5,720	8,612
Gorslas	1,960	748	2,708			0			0			0			0	1,960	748	2,708
Rhydygors	447	650	1,097	58	109	167			0			0			0	505	759	1,264
Laugharne	611	1,020	1,631	420	1,012	1,432			0			0			0	1,031	2,032	3,063
Pontyberem	233		233			0			0			0			0	233	0	233
Rhys Prichard	832		832	23		23			0			0			0	855	0	855
Dewi Sant	202		202	1,278	2,644	3,922	1,592	2,700	4,292			0			0	3,072	5,344	8,416
St John Lloyd	79		79			0			0			0			0	79	0	79
Band B																		
Llangadog	579		579			0			0			0			0	579	0	579
Ammanford Primary Welsh Medium	300	900	1,200	645	1,855	2,500	465	2,535	3,000	185	235	420	130	130	130	1,725	5,525	7,250
Ammanford Primary Dual Stream	200	0	200	1,500	0	1,500	525	3,725	4,250	500	1,800	2,300	150	150	150	2,875	5,525	8,400
Gwenllian / Ysgol Gymraeg Cydweli	1,000	0	1,000	1,008	2,050	3,058	143		143			0			0	2,151	2,050	4,201
Y Castell	536	1,267	1,803			0			0			0			0	536	1,267	1,803
Pum Heol	2,512		2,512			0			0			0			0	2,512	0	2,512
Pembrey	500	3,000	3,500	2,771	742	3,513	110		110			0			0	3,381	3,742	7,123
Cross Hands Area	30		30			0			0			0			0	30	0	30
Penygaer	11		11			0			0			0			0	11	0	11
Hendy	1,085	1,500	2,585	495	1,750	2,245			0			0			0	1,580	3,250	4,830
Heol Goffa New School	1,456	5,500	6,956	2,087	7,500	9,587	350	500	850			0			0	3,893	13,500	17,393
Flying Start		410	410			0			0			0			0	0	410	410
Childcare Places Offer Grant		708	708			0			0			0			0	0	708	708
Total Education & Children	13,148	20,503	33,651	11,050	18,582	29,632	4,437	9,460	13,897	985	2,035	3,020	280	0	280	29,900	50,580	80,480

Capital Programme 2021/22 - 2025/26

Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
CHIEF EXECUTIVE																		
Property																		
Grillo	414		414			0			0			0			0	414	0	414
Rural Estate Infrastructure			0			0			0	300		300			0	300	0	300
Glanaman Industrial Estate	818		818			0			0			0			0	818	0	818
IT Strategy Developments																		
Digital Transformation	380		380	200		200	200		200	200		200	200		200	1,180	0	1,180
PSBA Network	86		86	75		75	75		75	75		75			0	311	0	311
Strategic Digital Initiatives	100		100	100		100	100		100	100		100			0	400	0	400
WLGA Schools Grant (Funded by Dev Fund)	21		21			0			0			0			0	21	0	21
Corporate Wifi Environment/Meraki Broadband Hardware	224		224			0			0			0			0	224	0	224
Information Security and Governance	50		50	50		50	50		50	50		50			0	200	0	200
Virtualised Server & Storage Environment Replacement			0			0			0	400		400			0	400	0	400
Disaster Recovery			0			0			0			0			0	0	0	0
Legacy Network & Telephony Equipment Replacement	120		120			0			0			0			0	120	0	120
UPS 15KVA	14		14	15		15	15		15			0			0	44	0	44
Voice Infrastructure	19		19	25		25	25		25	20		20			0	89	0	89
HWB for Schools Infrastructure Grant	205		205			0			0			0			0	205	0	205
Business Critical Infrastructure & Strategic ICT Development			0			0			0	512		512			0	512	0	512
Total Chief Executive	2,451	0	2,451	465	0	465	465	0	465	1,657	0	1,657	200	0	200	5,238	0	5,238

Capital Programme 2021/22 - 2025/26

Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
REGENERATION																		
Transformational Strategy Project Fund	601	3,000	3,601	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500	6,601	15,000	21,601
Rural Enterprise Fund	1,139		1,139			0			0			0			0	1,139	0	1,139
Transformation Commercial Property Development Fund	2,310		2,310			0			0			0			0	2,310	0	2,310
Business Support for Renewable Energy Initiatives (3rd Party Grants)	500		500			0			0			0			0	500	0	500
Covid-19 Response - Business Support									0			0			0	0	0	0
Targeted Regeneration Investment TRI) County Wide	1,200	2,500	3,700			0			0			0			0	1,200	2,500	3,700
Commercial Property Development Fund	1,000		1,000			0			0			0			0	1,000	0	1,000
Ten Town Growth Plan	1,000		1,000			0			0			0			0	1,000	0	1,000
Rural Enterprise Fund	500		500			0			0			0			0	500	0	500
Llanelli, Cross Hands & Coastal Belt Area																		
TRI Projects Match Funding (Formerly Opportunity Street)	494		494			0			0			0			0	494	0	494
Ammanford, Carmarthen & Rural Area																		
Ammanford Town Centre Regeneration	21		21			0			0			0			0	21	0	21
Carmarthen Old Town Quarter Regeneration (Formerly Jackson's Lane)	738	10	748			0			0			0			0	738	10	748
Pendine Iconic International Visitors Destination		625	625			0			0			0			0	0	625	625
Ammanford Regeneration Development	280		280			0			0			0			0	280	0	280
Llandeilo Market Hall	2,355	700	3,055			0			0			0			0	2,355	700	3,055
Swansea Bay City Region Projects																		
City Deal - Pentre Awel Wellness Project		25,473	25,473		14,100	14,100			0			0			0	0	39,573	39,573
City Deal - Llanelli Leisure Centre	13,965	4,500	18,465			0			0			0			0	13,965	4,500	18,465
City Deal - Llanelli Area Review	158		158			0			0			0			0	158	0	158
City Deal - Yr Egin Phase II		2,000	2,000			0			0			0			0	0	2,000	2,000
Total Regeneration	26,261	38,808	65,069	1,500	17,100	18,600	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500	32,261	64,908	97,169
Total Council Fund Excluding HRA	63,854	63,239	127,093	23,196	35,682	58,878	13,778	12,460	26,238	11,918	5,035	16,953	9,756	3,000	12,756	122,502	119,416	241,918

Capital Programme 2021/22 - 2025/26

Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
County Council Funding																		
Borrowing																		
Borrowing - Supported	5,925		5,925	5,925		5,925	5,925		5,925	5,925		5,925	5,925		5,925	29,625		29,625
Borrowing - Unsupported	500		500			0			0			0			0	500		500
Borrowing - Unsupported for Loan to CWM			0			0			0			0			0	0		0
Borrowing - MEP			0			0			0			0			0	0		0
Borrowing - Salix (Refit Cymru)			0			0			0			0			0	0		0
Borrowing - Fleet Replacement	6,534		6,534	1,980		1,980	1,000		1,000	1,000		1,000	1,000		1,000	11,514		11,514
GCG			0			0			0			0			0			
General Capital Grant	5,941		5,941	5,941		5,941	5,941		5,941	5,941		5,941	5,941		5,941	29,705		29,705
Reserves			0			0			0			0			0			
Departmental Reserve	414		414			0			0			0			0	414		414
Reserves - MDF	26,119		26,119	302		302	646		646			0			0	27,067		27,067
City Deal Reserve			0			0			0			0			0	0		0
Resetting Services (Post C-19) Reserve	1,000		1,000			0			0			0			0	1,000		1,000
CGU Reserve			0	2,166		2,166			0			0			0	2,166		2,166
Reserves - MEP	9,266		9,266	6,866		6,866	250		250			0			0	16,382		16,382
Revenue Contributions			0			0			0			0			0			
Direct Revenue Financing	616		616	16		16	16		16	16		16	16		16	680		680
DRF from Covid 19 Response (As Per Medium Term Financial Plan)	1,000		1,000			0			0			0			0	1,000		1,000
Capital Receipts																		0
Capital Receipts	1,350		1,350			0			0			0			0	1,350		1,350
Capital Receipts-Additional			0			0			0			0			0	0		0
Capital Receipts - MEP	367		367			0			0			0			0	367		367
Cross Hands JV			0			0			0			0			0	0		0
Joint Venture Funding Llanelli Leisure Centre	3,500		3,500			0			0			0			0	3,500		3,500
Education Capital Receipts			0			0			0			0			0	0		0
Other																		
Economic Stimulus Grant (CGU)	1,322		1,322			0			0			0			0	1,322		1,322
External Grants																		
External Grants		63,239	63,239		35,682	35,682		12,460	12,460		5,035	5,035		3,000	3,000	0	119,416	119,416
Total County Council Funding	63,854	63,239	127,093	23,196	35,682	58,878	13,778	12,460	26,238	12,882	5,035	17,917	12,882	3,000	15,882	126,592	119,416	246,008
Net Funding Position - Surplus / (Shortfall)	0	0	0	0	0	0	0	0	0	964	0	964	3,126	0	3,126	4,090	0	4,090

Capital Programme 2021/22 - 2025/26

Capital Project	Year 1			Year 2			Year 3			Year 4			Year 5			Five Year Total 2021/22:2025/26		
COUNCIL FUND	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total Scheme	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total	County Council Funding	External Funding	Total
	2021/22			2022/23			2023/24			2024/25			2025/26			Five Year Total		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000

Sources of Funding																		
Borrowing	12,959		12,959	7,905		7,905	6,925		6,925	6,925		6,925	6,925		6,925	41,639		41,639
Capital Receipts	5,217		5,217	0		0	0		0	0		0	0		0	5,217		5,217
Reserves / Revenue	38,415		38,415	9,350		9,350	912		912	16		16	16		16	48,709		48,709
Highways & Transport Grants		2,928	2,928		0	0		0	0		0	0		0	0		2,928	2,928
Economic Development Grants		38,808	38,808		17,100	17,100		3,000	3,000		3,000	3,000		3,000	3,000		64,908	64,908
Education Grants		20,503	20,503		18,582	18,582		9,460	9,460		2,035	2,035		0	0		50,580	50,580
Other Grants & Funding	7,263	1,000	8,263	5,941	0	5,941	5,941	0	5,941	5,941	0	5,941	5,941	0	5,941	31,027	1,000	32,027
Total Capital Programme Funding	63,854	63,239	127,093	23,196	35,682	58,878	13,778	12,460	26,238	12,882	5,035	17,917	12,882	3,000	15,882	126,592	119,416	246,008

Summary of Expenditure by Services																		
Private Housing	3,560	0	3,560	2,000	0	2,000	2,000	0	2,000	2,000	0	2,000	2,000	0	2,000	11,560	0	11,560
Culture & Leisure	2,115	1,000	3,115	0	0	0	0	0	0	0	0	0	0	0	0	2,115	1,000	3,115
Transportation, Infrastructure & Municipal	10,369	2,928	13,297	4,281	0	4,281	2,376	0	2,376	2,776	0	2,776	2,776	0	2,776	22,578	2,928	25,506
Economic Development	26,261	38,808	65,069	1,500	17,100	18,600	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500	32,261	64,908	97,169
Education & Children	13,148	20,503	33,651	11,050	18,582	29,632	4,437	9,460	13,897	985	2,035	3,020	280	0	280	29,900	50,580	80,480
Property	7,182	0	7,182	3,900	0	3,900	3,000	0	3,000	3,300	0	3,300	3,000	0	3,000	20,382	0	20,382
ICT	1,219	0	1,219	465	0	465	465	0	465	1,357	0	1,357	200	0	200	3,706	0	3,706
Total Capital Expenditure by Service	63,854	63,239	127,093	23,196	35,682	58,878	13,778	12,460	26,238	11,918	5,035	16,953	9,756	3,000	12,756	122,502	119,416	241,918

Mae'r dudalen hon yn wag yn fwiadol

COVID-19 ECONOMIC IMPACT AND RECOVERY

FINANCIAL RESOURCE REQUIREMENT

Background Information

At its meeting on 29th June 2020, The Executive Board considered a report which provided an assessment of the impact that Covid-19 was having on Carmarthenshire businesses and identified what was important to them in the short, medium and long-term, so that going forward the Authority could provide them with the assistance they most needed.

As a platform to the development and delivery of the County's Economic Recovery Plan, an internal Business, Economy and Community recovery group has been established to coordinate development and delivery. That group has identified several draft potential action strands and proposals for further consideration. In order to move forward Carmarthenshire County Council was seeking to employ external economic advice to assist with bringing this information together and map out a plan for future projects and tasks in order to provide the right support for local businesses and communities. An overarching advisory group, comprising key private sector industry leaders, would be established to assist with the development and refinement of the draft plan and provide leadership through the current crisis. The group would set the vision and direction for recovery.

Members welcomed the strategy set out in the report and commented on the important contribution local procurement and buying local would make towards the recovery of the Carmarthenshire economy both in rural areas and the major towns and unanimously resolved to receive the report and approve the proposed draft recovery strategy.

The business advisory group has since met and agreed the council's economic recovery plan and 11 key themes, summarised below:

The Draft Economic Recovery Plan

Carmarthenshire's Economic Recovery Plan is focused on 11 key areas:

1. Capital Infrastructure

- Continue with significant regeneration capital infrastructure investments to boost demand in the construction sector and stimulate confidence.

2. Business Support

- Review of current priorities is required with greater emphasis on engagement. Focus on sector specific as necessary. Greater staff resource required through re-modelling of service. Financial support to assist with recovery. Re-prioritise the Business engagement strategy.
- Set up a business support hotline to support and provide guidance to Carmarthenshire businesses on funding, recovery and general advice.
- Investigate possibility of negotiating bulk purchase / subsidised deals for businesses.
- Refocus Communities for Work to provide training and work experience to people who have become unemployed as a result of Covid 19.
- Workways + provide assistance for the unemployed as a consequence of Covid 19 - ref Short Term Unemployed.
- Investigate possibility of reinvention of Future Jobs Fund to provide guaranteed, paid employment for young people.

3. Town Centre Economy

- Reviewing and refocusing primary town centre regeneration master and recovery plans.
- Develop safe town centre environment plans.
- Supporting BIDs in Carmarthen & Llanelli (3-year cash contribution mirroring levy take to enable BID groups to assist with delivery of town centre recovery initiatives).
- Review current parking initiatives/provisions throughout the County.
- Acquisition of empty retail premises to revive economic buoyancy/footfall through innovation.
- Consider county-wide development order for town centres.
- Put in place arrangements for resumption of trading at our indoor and outdoor Markets – ensuring compliance with regulations and staff and shopper safety.

4. Procurement

- Explore if we can increase the value and volume of procurement from locally based SME's, compliantly within the Procurement Regulations.
- Investigate possibility of sub £25k (3 quotes) through an update of our Council's Contract Procedure Rules to stipulate of the 3 quotes sought one should be from a supplier within the County.
- Opportunity to strengthen our Community Benefits approach.

5. Planning

- Consider relaxation of the emerging LDP for the creation of additional employment areas particularly for B2 uses and small business startups. Consider also any interim options.
- Consider the emerging LDP in terms of home working and the concept of live / work. Consider also any interim options.
- Consider feasibility of reallocating S.106 monies where possible to economic development activity.
- Consider whether there should, or could be, a prioritisation approach for applications that will generate job opportunities/economic benefits.
- Review and simplify planning support for key economic development applications (small and large).

6. Rural Economy

- Review and prioritise Council's Moving Rural Carmarthenshire Forward recommendations and projects.
- Foundational Economy Fund - develop a local food strategy.
- Review 10 town growth plans.
- Investigate feasibility of developing co-operation led milk processing facilities within Carmarthenshire.
- Review Arfor rural business support.

7. Communities

- Support local communities to become more self-resilient with sustainable local supply chains.
- Review the Authorities poverty support measures and priorities.
- Third sector support.
- Deliver community led projects – Leader.
- Reprioritise and grow grant funding to Covid-19 community resilience projects.

8. Digital Connectivity

- Deliver Digital infrastructure regional City Deal project being led by the Council.
- Deliver LEADER funded project to support digital infrastructure in rural areas of Carmarthenshire.

9. Skills

- Skills and Talent Swansea Bay City Deal project - identify new ways of working and the new skills and technology required in order to deliver this across the region.
- Develop local skills action plan.

10. Tourism & Events

- Review current Marketing Destination plans. Ail-ddarganfod Sir Gar / Re-discover Carmarthenshire – assist promotion and marketing of local businesses.
- Road Cycling Marketing Campaign - Work with accommodation providers as to what ride and stay packages can be offered and when
- Cultural Heritage Campaign - Celtic Routes - opportunity to continue this project with a further £1million budget into 2021 will deliver tangible visitors to Carmarthenshire.
- Maximising Signature & Major Events - Major events such as the Tour of Britain can contribute £750k economic impact over a weekend, and a smaller event like Llandovery Grit Fest £50k.

11. Land and assets

- Review accommodation programme considering changing working practice and agile working.
- Reprofile capital receipts and potentially target certain sectors.
- Focus in the short term on invest to save refit scheme, WG funded project.
- Review land availability and reprofile as necessary to ensure that best use of council owned land is being made to support the local economy.
- Development of incubator/commercial accommodation and medium sized food based and production units.

Financial Implications

The report referred to above, and approved by Executive Board, identified that there would be a need for some financial resource to be made available to support some activities and initiatives to respond to the identified challenges. The financial support that has been identified as being required to support the Council's recovery proposals is summarised below:

- **Capital Projects** - TRI Year 4 - **£1.2m** CCC match to draw down £2.5m WG funding 21/22.

The Targeted Regeneration Investment Programme Has been focused on Llanelli and Ammanford. There is an opportunity now, following discussions with WG, for this future funding to be made available county wide. The main objective of the funding is to bring vacant commercial space back into use. The year 4 request 2021/22 for funding will allow strategic direct delivery of projects throughout the County, leveraging in significant WG funding.

- **Commercial Property Development Fund - £1m**

The Commercial Property Development Fund provides financial assistance for developers and owner occupiers for the construction, expansion or refurbishment of buildings for industrial / commercial use with the primary aim of creating capacity for employment in Carmarthenshire by funding the financial gap between the construction costs and the completed market value of the property. This scheme is key to raising confidence with developers in the region and to stimulate economic activity. This scheme will support the local supply chain and provide necessary employment space for future business growth. The scheme will support the local economy in terms of quantity and quality of jobs accommodated/jobs created, the area of floor space created, the amount of land developed, the number of SME's accommodated, the impact of the development on the Welsh Language & the number of enterprises adopting or improving equality strategies & monitoring systems.

A number of enquiries have been received in recent months and placed onto a waiting list, this demonstrates the need for additional funding (as does the intelligence gathered to support the Council's recovery plan) in order to help stimulate growth and support the economy. The fund offers developers up to a maximum of 45% of eligible costs meaning that at least £1.22m private sector funding will be secured from this intervention.

- **Ten Towns Growth Plan Capital Project fund – £1m**

The Authority has secured funding to develop bespoke economic growth plans to support Llanybydder, St Clears, Laugharne, Whitland and Newcastle Emlyn, Llandovery, Cwmaman and Llandeilo. Additional funding has been secured to deliver growth plans in the remaining two towns, namely Cross Hands and Kidwelly. To assist the area based growth plan teams to develop innovative solutions to meet both their immediate needs and future growth ambitions, a fund of £10k per town seed funding will be made available via the RDP Leader Programme to take these ideas forward (£100k total). Applications will be sought from the Town Council and/or Chamber in the respective areas. This fund will be made available during the Autumn.

In addition, Growth Plan Capital Project Funding of £100k per market town is required and will be key to allow project ideas identified and enable locally based solutions to be developed to meet both their immediate recovery and longer-term growth needs. It will also assist with drawing down of delivery of external funding, potential match available via WG.

- **Business Recovery Fund - £500,000 (450k to be reallocated from**

There is an identified need for business support to be made available for new and existing businesses in the form of grant aid to safeguard and create jobs within Carmarthenshire. A budget of £500,000 is identified (this could in the main be made available from remaining budget of circa £450k from the CCC non council tenant rent relief fund). It is proposed that a maximum of £1,000 per job be offered per job safeguarded and a maximum of £5,000 per job created up to a maximum of £10,000 per business on a 50% grant intervention rate. As such at least £500,000 private sector match funding would be levered through this programme.

- **Business Support Fund - £200,000**

The recovery report identifies that businesses foresee that they will experience skills challenges as a result of the pandemic, with the majority stating that these skills deficits

will relate to digital and IT skills. With the vast majority of respondents indicating that they would benefit from training in the fields of IT/digital skills, marketing and diversification there is scope to offer subsidised/free training through provision that is already in existence as an alternative to cash grants. In addition, this funding would enable the council to buy in specialist support to:

1. Engage with business to identified in more detail the current position and concerns and development of action plans establishing underlying health of business and business ambition.
2. Identify opportunity to re strategise, e.g. reconsider product or service offer, consider alternative routes to market - Digital marketing and remote delivery / tendering where appropriate (linked to local procurement opportunities), efficiency measures
3. Managing threats – cash flow / debt management, health and safety sector specific measures, Managing staff costs and redundancy

Key project aims would be to safeguard jobs, assist business to diversify and develop Innovative approaches.

- **Carmarthenshire Rural Enterprise Fund - £500K**

The Carmarthenshire Rural Enterprise Fund is central to Moving Forward in Rural Carmarthenshire Strategy in helping rural businesses to grow. Will be more important than ever to support rural economy which is at high risk of failure. Short term confidence boost to local construction industry. The additional funds proposed will help aid rural enterprises and sole traders for the development of new and existing business premises, where new jobs are created as a result of the project. The fund can support much needed job creation in rural areas and lever in at least £611,000 match funding from the private sector. A number of enquiries have been received in recent months and placed onto a waiting list, this demonstrates the need for additional funding in order to help stimulate growth and support the economy.

In total, the request is for **£3.95m** of CCC funding support (£4.4m less £450k reallocated from surplus Business Rent Relief Fund. It is appreciated that this is a significant ask and if supported will inevitably mean that other corporate projects might not currently be able to progress. There are difficult decisions to be made. If, however, Carmarthenshire's economic recovery is a strategic priority then this level of funding would need to be made available if the actions identified as being required are to be progressed. If this level of funding can be made available, it will draw down significant private sector and WG match funding. Some of the recovery actions can proceed without funding support by challenging the norm and reprofiling current ways of working and regulations to maximise opportunities for local businesses and to make it as simple as possible for business to open and expand in Carmarthenshire. If we are to truly make a difference, however, this will not be possible without additional funds being made available.

The Swansea Bay City deal also provides a significant opportunity to support several of our proposed recovery themes, for the benefit of the region and Carmarthenshire, via:

- Digital Infrastructure project that will boost digital connectivity in rural and urban communities.
- Pentre Awel life science and well-being Llanelli development.
- Skills and Talent initiative currently being developed to enable local people to access jobs being generated by City Deal projects.

Mae'r dudalen hon yn wag yn fwriadol

CAPITAL STRATEGY

2021 - 2022

carmarthenshire.gov.wales

Cyngor **Sir Gâr**
Carmarthenshire
County Council



Tudalen 71

CAPITAL STRATEGY 2021-22

PURPOSE AND AIMS

The Prudential Code for Capital Finance in Local Authorities was updated by the Chartered Institute of Public Finance and Accountancy (CIPFA) in December 2017.

This Code dictates that “authorities should have in place a capital strategy that sets out the long-term context in which capital expenditure and investment decisions are made and gives due consideration to both risk and reward and impact on the achievement of priority outcomes.”

The Capital Strategy shows how this Council makes capital expenditure and investment decisions in line with service objectives and properly takes account of:

- Stewardship / good professional practice
- Value for money
- Prudence / risks considered
- Sustainability
- Affordability

It sets out a framework for the self-management of capital finance and examines the following areas:

- Capital expenditure and investment plans
- Treasury Management
- Prudential Indicators
- Commercial activity
- Knowledge and skills

The above considerations help to support local strategic planning, local asset management planning and effective option appraisal.

Through this Capital Strategy elected members and other stakeholders will see how future capital expenditure, capital financing and treasury management activities will contribute to the provision of services, together with a summary of how associated risks are managed

and the implications for future financial sustainability. It also highlights the governance framework required to ensure the Strategy is delivered.

ECONOMIC BACKGROUND

It is important to set out the external environment in which Carmarthenshire County Council is currently operating. One of the key factors that impact directly on the capital programme is the reduced revenue funding for local government. Owing to the pressure on public finances, the funds allocated from the Welsh Government to this council to run its services is substantially lower than in recent years. Carmarthenshire will continue to identify, plan, fund and invest longer term in non-current assets in order to help the council deliver departmental strategies and wider plans. 2020 was an unprecedented year. The response to the Covid-19 pandemic has given rise the need to reprioritise our capital strategy and capital programme to reflect the desire to boost local businesses and local economies.

CARMARTHENSHIRE'S STRATEGIC RESPONSE

In considering capital implications, the authority takes into consideration the requirements of the Wellbeing of Future Generations (Wales) Act 2015. The Act requires that we must carry out sustainable development, improving the economic, social environmental and cultural well-being of Wales. The Act requires us to look at the long-term prevention of recurring problems, integrating our approach, collaborating with others and involving stakeholders. Carmarthenshire's Well Being objectives have a direct impact on the Council Capital Strategy. Some of these include:

- Start Well - help to give every child the best start in life and improve their early life experience
- Live Well - increase the availability of rented and affordable homes

- Age Well - support the growing numbers of older people to maintain dignity and independence in their later years
- In a Healthy and Safe Environment - improve the highway and transport infrastructure and connectivity

This Capital Strategy supports Carmarthenshire's Well-being Objectives. Consideration is also increasingly given to the authority's *Net Zero Carbon Plan*.

WHAT IS CAPITAL EXPENDITURE?

Capital expenditure is expenditure on non-current assets such as Property, Plant and Equipment, Investment Properties and Heritage Assets. This would include the acquisition or construction of new assets, together with any subsequent expenditure on major upgrades or development work to those assets.

Expenditure which adds to and does not merely maintain the value of an existing asset, should be treated as capital, if it yields benefits to the authority and the services it provides for a period of more than one year.

Capital expenditure is defined by legislation as:

- the acquisition, reclamation, enhancement or laying out of land,
- the acquisition, construction, preparation, enhancement or replacement of roads, buildings and other structures,
- the acquisition, installation or replacement of moveable or immovable plant,
- machinery, apparatus, vehicles and vessels.

An understanding of what constitutes capital expenditure is fundamental to realising the benefits that an authority can obtain under the Prudential framework. Unless expenditure qualifies as capital it will normally fall outside the scope of the framework and be charged to revenue in the period that the expenditure is incurred. If expenditure meets the definition of

capital, there may be opportunities to finance the outlay from capital receipts (funds raised from the sale of surplus assets e.g. a former school site) or by spreading the cost over future years' revenues (i.e. through Minimum Revenue Provision).

CARMARTHENSHIRE'S APPROACH TO CAPITAL INVESTMENT

A [Managing Capital](#) procedure document has been adopted as a policy for the Authority. Within this document Carmarthenshire's approach to capital investment is conveyed. A sub-strategy that feeds into the Authority's overall Corporate Strategy is the Capital Programme. The Programme is closely linked to various other sub-strategies such as the Corporate Asset Management Plan, Local Transport Plan, Digital Transformation Strategy and the Service Departments' Business Plans. The 'Managing Capital' document explains that the aim is to deliver projects and outputs that meet the set objectives. The Capital Programme is prepared and approved on a five-year forward plan basis in order to:

- Provide a detailed implementation plan to enable the high-level objectives to be realised,
- Provide a financial commitment to allow enough time to adequately plan,
- design and implement future schemes and projects,
- Provide an overview of the likely future commitments arising from current schemes.

Carmarthenshire's Capital Strategy defines and outlines its approach to capital investment and is fundamental to the Council's financial planning processes. It aims to ensure that:

- Capital expenditure contributes to the achievement of the Council's strategic plan,
- An affordable and sustainable capital programme is delivered,

- Use of resources and value for money is maximised,
- A clear framework for making capital expenditure decisions is provided,
- A corporate approach to generating capital resources is established,
- Access to enough long-term assets to provide services are acquired and retained,
- Invest to Save initiatives to make efficiencies within the Council's revenue budget are encouraged,
- An appraisal and prioritisation process for new schemes is robust.

GOVERNANCE FRAMEWORK

It is important given the risks surrounding Capital Projects that the appropriate Governance framework is in place:

All capital expenditure must be carried out in accordance with the financial regulations. The expenditure must comply with the statutory definition of capital purposes as defined within this document and wider financial standards. The Capital Programme approved by Full Council as part of the Council's annual budget report sets the capital funding availability for the Council, the prioritisation of funding and the schemes receiving entry into the Capital Programme. All schemes are formally approved into the capital programme by following a process as set out in the financial regulations. Officers are not authorised to commit expenditure without prior formal approval as set out in the financial regulations. Each scheme must be under the control of a responsible person/project manager.

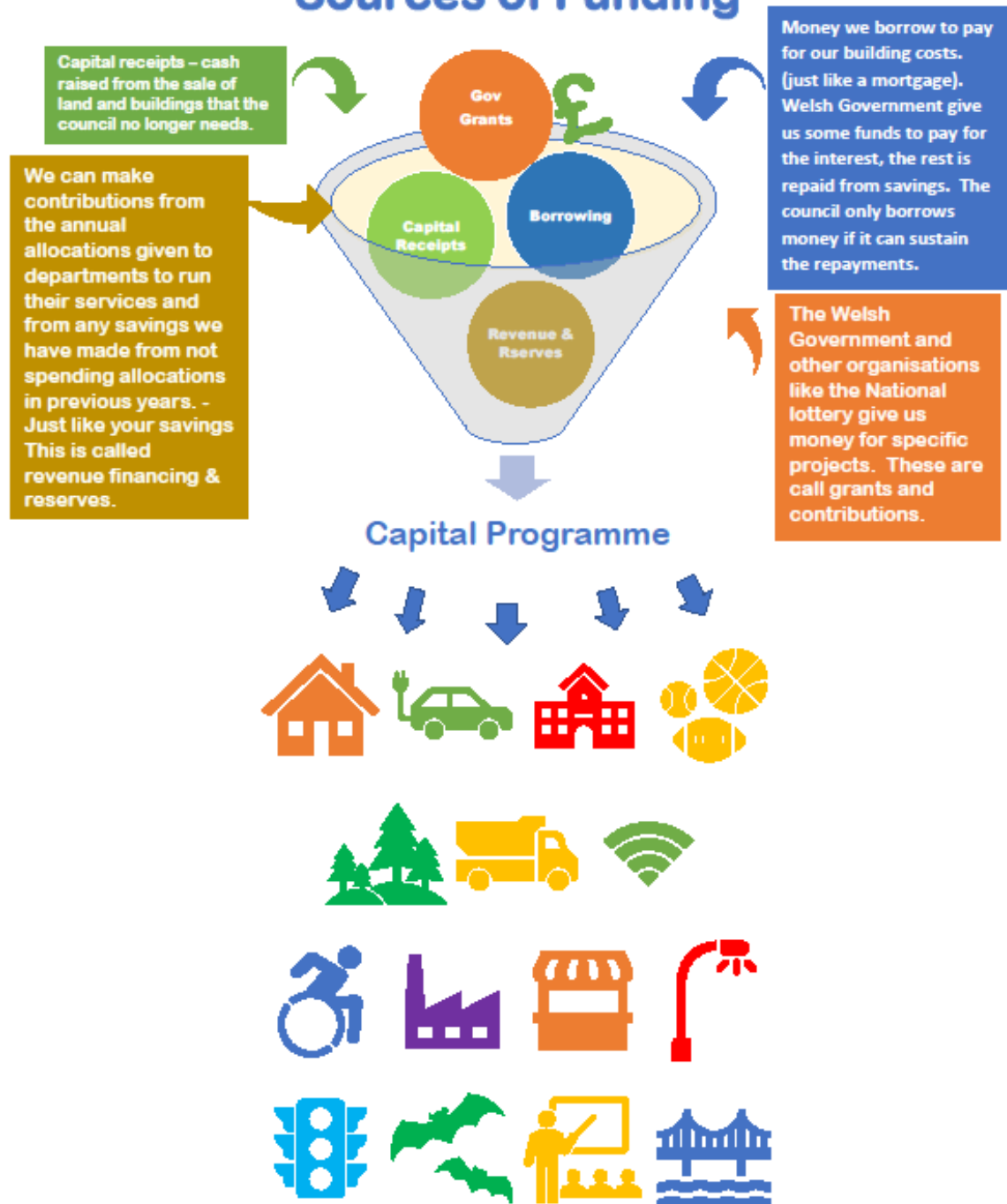
Capital Programme Approvals





This Strategy is intended to maximise the financial resources available for investment in service provision and improvement within the framework of the Medium-Term Financial Plan. Whilst at the same time ensuring that each business case has a robust self-sustaining financial model that delivers on the wider outcomes of the strategy.

Sources of Funding



Overview of General Fund Capital Programme

Capital Budgets by Department:	2021/22 £'000	2022/23 £'000	2023/24 £'000
Communities	6,675	2,000	2,000
Environment	19,247	8,181	5,376
Education & Children	33,651	29,632	13,897
Chief Executive	2,451	465	465
Regeneration	18,973	4,500	4,500
City Deal Projects	46,096	14,100	0
Total Budget	127,093	58,878	26,238

Financed by:	2021/22 £'000	2022/23 £'000	2023/24 £'000
Borrowing	12,959	7,905	6,925
Unhypothecated General Capital Grants	5,941	5,941	5,941
External Grants & Contributions	63,239	35,682	12,460
Reserves	38,121	9,334	896
Direct Revenue Financing	1,616	16	16
Capital Receipts	5,217	0	0
Total Funding	127,093	58,878	26,238

OUR

Executive

PRIORITIES

Board have set

out their aspirations for Carmarthenshire in the document "Moving forward in Carmarthenshire: the next 5 years". The capital programme has been developed and approved to support this vision for the future to become a reality. In addition, we have revised our priorities to include an economic stimulus package for local businesses as a direct response to the Covid-19 pandemic.

Modernising Education

A modernising education programme is the flagship policy which has seen over £200million invested to date, in the building of new schools and upgrading others, with a further commitment to fund future investments of £129million,.

Health & Wellbeing

Improving the health and wellbeing of residents is a key aspiration and to this end we are committed to delivering:

- A new leisure centre for Llanelli as part of the Swansea Bay City Deal Pentre Awel Wellness Project,
- Developing Carmarthenshire as a national hub for cycling. To this end we have already seen investments in a new BMX track and a closed road circuit in Pembrey, which have

complemented the existing facilities at the Brechfa Forest and the velodrome in Carmarthen.

Agile Working / New ways of Working

An agile working approach across the authority coupled with a desire to embrace and compound new ways of working, fast-tracked in 2020 as a consequence of the pandemic, will enable us to make the best use of resources and identify any redundant assets that could be sold; raising income from capital receipts to fund strategic capital projects.

Helping Local Businesses

Many businesses have seen a downturn in fortunes because of the pandemic. A dedicated economic stimulus and business support package together with wider regeneration funding, will boost local economies. It includes specific investment in a growth plan for our smaller ten satellite towns.

Cultural Heritage

Building on our transformation plan for the museum provision. Major refurbishments at the county museum at Abergwili, Parc Howard, and a new Museum of Speed at Pendine, together with a new county archive and storage facility at “Y Stordy” will ensure that our valuable cultural learning and tourism resource is improved for residents and visitors and maintained for future generations. Further investment in culture will see the redevelopment of the Oriel Myrddin Gallery in Carmarthen. This will also build on our commitment to see the wider regeneration of the “Old Town Quarter” within the County Town.

Decarbonisation

In a commitment to future generations, we have repurposed funding of £500k for grants to businesses to embrace renewable energy initiatives and decarbonisation projects, to act as a catalyst for wider investments from the private sector in this area.

We will also see growing investments in electric car charging infrastructure across the county.

Highways

The economic prosperity of our towns is enhanced by improvements to road infrastructure.

The new Carmarthen western link road has provided much needed improvements to traffic flows, access to the new S4C headquarters at “Yr Egin” and the planned Phase 2 of that development and created development opportunities for new housing and retail.

The Cross Hands economic distributor road coupled with associated developments at the Cross Hands strategic employment site will boost the economy in the Gwendraeth Valley. The development of the Ammanford distributor road will improve traffic flows and enhance retail investment opportunities.

Digital Transformation

A commitment to continued investment in ICT coupled with a digital transformation programme aims to ensure that Carmarthenshire services are fit for purpose in the digital age. The county will also benefit from the rollout of enhanced regional connectivity as part of investments by the Swansea Bay City Region.

Housing

The Carmarthenshire Home Standards Plus (CHS+) Business Plan 2021-2024 details the Council’s priorities, plans and actions for council housing in Carmarthenshire for the future years. The plan covers all housing services and assets in the Housing Revenue Account (HRA). It sets out the objectives of the CHS+ and what this means for tenants and leaseholders across a range of housing activities. The CHS+ is 100% compliant with the Welsh Government’s own standard of social housing quality, the ‘Welsh Housing Quality Standard’ (WHQS). Against a background of the

borrowing cap being lifted by central government for local authority HRA borrowing, Carmarthenshire has delivered 1000 Affordable Homes by March 2020, a year ahead of the scheduled target of 1000 by 2021. We are working with research partners to inform our decarbonisation strategy, which will be embedded in future investment programmes. The Council is implementing a 10-year Housing Transformation Master Plan which will “play a pivotal role in not only developing additional affordable homes but will allow us to significantly contribute to key Council developments and regeneration initiatives to grow the economy across the County.”

In addition, the Council plans to continue to invest over £56m in maintaining tenants’ homes over the next three years.

A local housing company, Cartrefi Croeso, was established in 2018 with the aim of realising

new opportunities, to offer families an alternative to social housing by delivering a mix of new affordable homes for sale or rent, and to take advantage of the commercial skills of local organisations.

- The Council recognises the importance of strategic asset management in providing the foundation for its investment plans so within the CHS+ Business Plan has highlighted four key themes for future investment which will include developing plans in relation to decarbonisation. These themes are:
- Supporting tenants & residents
- Investing in our homes & the environment.
- Providing more homes.
- Community Benefits & procurement.

HRA CAPITAL SPENDING AND FUNDING

HRA Capital Budgets:	2021/22 £'000	2022/23 £'000	2023/24 £'000
Maintain the standard	13,592	11,950	12,071
Support Tenant and Residents	4,123	3,569	2,530
Provide more affordable homes	17,793	18,840	12,850
Decarbonisation	200	1,380	1,500
Support the delivery of CHS+	1,925	1,686	1,639
TOTAL	37,633	37,425	30,590

Financed by:	2021/22 £'000	2022/23 £'000	2023/24 £'000
Welsh Government Grant-MRA	6,228	6,228	6,228

Welsh Government Grant-IHP & Other	1,600	1,700	1,700
Direct Revenue Financing	11,333	9,882	10,347
Borrowing	18,472	19,615	12,315
TOTAL	37,633	37,425	30,590

TREASURY MANAGEMENT

The Council produces a Treasury Management Policy and Strategy which is approved by full Council annually as part of the budget setting process. There are close links between the Capital Strategy and Treasury Management Strategy.

The capital programme determines the borrowing need of the Council, essentially the longer-term cash flow planning, to ensure that the Council can meet its capital spending obligations. This management of longer-term cash may involve arranging long or short-term loans or using longer term cash flow surpluses. On occasion any debt previously drawn may be restructured to meet Council risk or cost objectives.

PRUDENTIAL INDICATORS

Under the Local Government Act 2003 and the Prudential Code for Capital Finance in Local Authorities (revised in 2017), local authority capital spending and its borrowing to fund that spending is limited by what is affordable, prudent and sustainable. The Prudential Code sets out several indicators that enables the authority to assess affordability and prudence.

These indicators are included in the annual Treasury Management Policy and Strategy.

COMMERCIAL ACTIVITY

Our commercial portfolio consists of a variety of asset types, namely: Retail Units, Industrial

Estates, Farms, Ground leases and Provision & livestock Markets. The portfolio generates revenue through rental receipts with opportunities to enhance income through rent reviews and renewals depending on the terms of each agreement. The Council has 20 Industrial estates located around the County with a total of around 400 units, together with 26 farms, 2 Provisions markets and 3 Livestock Markets. There are 104 Ground leases and Retail units (other than those forming part of the provisions markets).

In addition to generating a commercial return the portfolios meet corporate objectives of encouraging and supporting business and employment development, rural initiatives and town centre regeneration. In many instances the support of these broader aims is as important and income generation, and it's the Council's role to balance commercial returns with economic development objectives and corporate priorities.

Each portfolio will be managed uniquely considering its objectives, however, the underlying principle is that the Council makes the best use of its resources by increasing returns wherever possible, taking on board wider corporate priorities.

RISK APPETITE

Risk appetite can be thought of as the amount of risk an organisation is willing to accept, tolerate or be exposed to, in pursuit of its strategic and organisational objectives. The Council recognises that its appetite for

different types of risks will vary and needs to reflect both its capability and its ability to exercise control.

By virtue of its statutory responsibilities for public services and associated functions, the Council often has limited choice in whether to accept or tolerate risk. Clearly there are some types of risks where the Council's tolerance will be extremely low, or risk averse, for example, those that could:

- Endanger the safety of service users, employees, members or the general public
- Damage the Council's reputation or financial standing
- Lead to breaches of laws and/or regulations
- Threaten the future operations of the Council.

In some circumstances, the Council is willing to accept a different level or type of risk in pursuit of its strategic objectives. For example, capital schemes typically invoke risks such as achievement of timescales and financial targets, management of contractors and satisfaction of service requirements.

In other areas, the Council's appetite for risk has evolved in response to the changing and challenging environment in which local government now operates. For example, in response to reductions in central government funding, the Council has been willing to consider commercial income generating ventures and invest to save proposals in addition to service reductions and efficiencies to secure financial stability.

It is not possible to articulate the Council's appetite for every form of risk it may encounter or to predetermine which risks are acceptable or not acceptable. Instead, this strategy aims to inform and strengthen the decision-making process, to ensure that:

- Risks, as well as benefits and opportunities, are always considered, identified and reported

- Risks are assessed and recorded consistently, in accordance with the Council's risk management methodology
- Proposals which are likely to involve higher or more challenging levels of risk, such as creation of new partnerships, investments in major projects, or commercial activities, are subject to a robust and detailed risk appraisal process
- Risks which are likely to impact the achievement of one or more corporate objectives or to carry significant financial implications are escalated to the senior management team and the Executive Board for review and approval.
- Exposure to risks for which the Council's tolerance is low, such as the examples given above, is minimised.

REVENUE IMPLICATIONS

Serious consideration is given to the implications a project will have on the revenue budget, both in the short and long term. It may be that a scheme may produce savings in terms of ongoing maintenance in some instances. In other cases, the scheme may enhance the level of service to our customers but could add to the maintenance requirements of the service in the long term, particularly in the case of additional assets. There may be a need to provide additional resources such as finance, personnel, property, or ITC equipment, or there may be efficiency savings.

KNOWLEDGE AND SKILLS

The Capital and Treasury Management functions are managed by a team of professionally qualified accountants with extensive Local Government finance experience between them. They all follow a Continuous Professional Development Plan (CPD) and attend courses on an ongoing basis to keep abreast of new developments and skills.

The Council's Section 151 Officer is the officer with overall responsibility for Capital and

Treasury activities and views the strategy to be prudent and affordable and fully integrated with the Council's Medium-Term Financial Plan, Treasury Management Strategy and other Strategic Plans.

All the Council's commercial projects have project teams from all the professional disciplines from across the Council and when required external professional advice is taken. The Council Members are involved at a very early stage of a project's life cycle and internal and external training is offered to members on an annual basis to ensure they have up to date skills to make capital and treasury decisions. A register is also kept on member attendance.

PWYLLGOR CRAFFU POLISI AC ADNODDAU 3^{ydd} CHWEFROR 2021

ADRODDIAD MONITRO CYLLIDEB CYFALAF A REFENIW 2020/21

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

- Bod y Pwyllgor Craffu yn derbyn yr Adroddiad Monitro Gyllideb Corfforaethol yr Awdurdod, Adroddiadau adrannol y Prif Weithredwr a'r Gwasanaethau Corfforaethol a'r Adroddiad Monitro Arbedion ac yn ystyried y sefyllfa cyllidebol.

Rhesymau:

- I ddatgan i'r Pwyllgor sefyllfa bresennol y gyllideb ar 31^{ain} Hydref 2020, ynglyn â 2020/21.

**Angen cyfeirio'r mater at y Bwrdd Gweithredol er mwyn gwneud penderfyniad:
NAC OES**

Aelodau'r Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:

- Cyng. Emlyn Dole (Arweinydd)
- Cyng. Mair Stephens (Dirprwy Arweinydd)
- Cyng. David Jenkins (Adnoddau)
- Cyng. Cefin Campbell (Cymunedau a Materion Gwledig)

Y Gyfarwyddiaeth: Gwasanaethau Corfforaethol Enw Cyfarwyddwr y Gwasanaeth: Chris Moore Awdur yr adroddiad: Chris Moore	Swydd: Cyfarwyddwr y Gwasanaethau Corfforaethol	Rhif Ffôn / Cyfeiriad E-bost: 01267 224120 CMoore@sirgar.gov.uk
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EXECUTIVE SUMMARY
POLICY & RESOURCES SCRUTINY COMMITTEE
3rd FEBRUARY 2021

Revenue & Capital Budget Monitoring Report 2020/21

The Financial Monitoring report is presented as follows:

Revenue Budgets

Appendix A – Authority Corporate Budget Monitoring Report

Overall, the monitoring report forecasts an end of year overspend of £1,226k on the Authority's net revenue budget with an overspend at departmental level of £2,426k.

This unprecedented position is due to a combination of:

- additional irrecoverable costs due to Covid-19 activity,
- foregone income from services which closed during national lockdown and continue to experience reduced revenue, which may not be fully recompensed by Welsh Government (WG); and
- planned savings proposals which have been undeliverable due to the pandemic.

Appendix B

Chief Executive and Corporate Services detailed variances for information purposes only.

Capital Budgets

Appendix C - Corporate Capital Programme Monitoring 2020/21

The total forecasted net expenditure is £51,198k compared with a working net budget of £114,351k, giving a **-£63,153k** variance. The significant variance projected at this time remains in large part attributable to restrictions associated with the Covid-19 pandemic.

The net budget includes original HRA and General Fund programme, approved by Council on 3rd March, slippage from 2019/20 and new projects approved by Executive Board since the approval of the original budget. The major slippages will be built into future years budgets.

Appendix D

Details the main variances against agreed budgets for each department.

Appendix E

Details a full list of Chief Executive and Regeneration schemes, respectively. There are no Corporate Services schemes.

Savings Report

Appendix F

The Savings Monitoring report.

DETAILED REPORT ATTACHED?

YES – A list of the main variances is attached to this report.

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: **Chris Moore** Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

3. Finance

Revenue

Overall, the Authority is forecasting an overspend of £1,226k.

Policy and Resources Services are projecting to be under the approved budget by £1,009k.

Capital

The capital programme shows an in-year variance of -£63,153k against the 2020/21 approved budget.

Savings Report

The expectation is that at year end £572k of Managerial savings against a target of £697k are forecast to be delivered. There were no Policy savings put forward.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: **Chris Moore** Director of Corporate Services

1. Local Member(s) – N/A
2. Community / Town Council – N/A
3. Relevant Partners – N/A
4. Staff Side Representatives and other Organisations – N/A

EXECUTIVE BOARD PORTFOLIO HOLDER(S) AWARE / CONSULTED?
YES

(Include any observations here)

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:
THESE ARE DETAILED BELOW:

Title of Document	File Ref No. / Locations that the papers are available for public inspection
2020/21 Budget	Corporate Services Department, County Hall, Carmarthen
2020-25 Capital Programme	Online via corporate website – Minutes of County Council Meeting 3 rd March 2020

Mae'r dudalen hon yn wag yn fwriadol

REPORT OF THE DIRECTOR OF CORPORATE SERVICES

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

COUNCIL'S BUDGET MONITORING REPORT 2020/21

Director and Designation	Author & Designation	Telephone No	Directorate
C Moore, Director of Corporate Services	R Hemingway, Head of Financial Services	01267 224886	Corporate Services

Table 1

Forecasted for year to 31st March 2021

Department	Working Budget				Forecasted				Oct 20	Aug 20
	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	Forecast Variance for Year	Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Chief Executive	31,883	-13,309	-5,581	12,994	31,792	-13,775	-5,581	12,436	-558	-108
Communities	153,273	-63,030	12,639	102,882	155,218	-64,063	12,639	103,794	911	899
Corporate Services	81,196	-51,937	-1,623	27,637	78,566	-49,757	-1,623	27,186	-451	-208
Education & Children (incl. Schools)	186,503	-34,854	26,643	178,291	191,834	-38,679	26,643	179,798	1,507	2,949
Environment	125,463	-79,113	12,841	59,190	127,963	-80,597	12,841	60,207	1,016	1,439
Departmental Expenditure	578,318	-242,242	44,919	380,995	585,373	-246,872	44,919	383,420	2,426	4,971
Capital Charges/Interest/Corporate				-19,940				-21,140	-1,200	-1,000
								0	0	0
Levies and Contributions:										
Brecon Beacons National Park				138				138	0	0
Mid & West Wales Fire & Rescue Authority				10,400				10,400	0	0
Net Expenditure				371,593				372,818	1,226	3,971
Transfers to/from Departmental Reserves										
- Chief Executive				0				0	0	0
- Corporate Services				0				0	0	0
- Environment				0				0	0	0
Net Budget				371,593				372,818	1,226	3,971

Chief Executive Department
Budget Monitoring - as at 31st October 2020

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget				Forecasted				Oct 20 Forecast Variance for Year £'000	Aug 20 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Chief Executive	868	0	-837	31	997	-280	-837	-120	-151	-130
People Management	4,437	-1,489	-2,619	329	4,558	-1,624	-2,619	315	-14	37
ICT & Corporate Policy	5,825	-922	-4,867	36	6,213	-1,483	-4,867	-137	-173	-160
Admin and Law	4,319	-823	682	4,178	4,003	-761	682	3,924	-254	-194
Marketing & Media	2,820	-805	-1,430	585	2,352	-472	-1,430	450	-135	-34
Statutory Services	1,276	-303	281	1,254	1,415	-455	281	1,240	-14	-45
Regeneration	12,340	-8,967	3,209	6,581	12,254	-8,699	3,209	6,764	182	417
GRAND TOTAL	31,883	-13,309	-5,581	12,994	31,792	-13,775	-5,581	12,436	-558	-108

Chief Executive Department - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Chief Executive							
Chief Executive-Chief Officer	260	0	201	0	-59	Savings on supplies and services.	-58
Chief Executive Business Support Unit	608	0	796	-280	-92	3 vacant posts - All not being filled in this financial year.	-72
People Management							
Business & Projects Support	275	0	234	-0	-41	Savings on supplies and services	-35
						Income target not being met due to: less external work and less 1:1 health screenings taking place due to social distancing leading to a £28k shortfall. Partially offset by a net saving of £18k due to short term vacant posts.	59
Employee Well-being	756	-333	737	-305	10		
Employee Services – HR/Payroll Support	130	0	163	-1	31	£24k graduate not funded, 2 x employees regraded with no funding £8k	26
DBS Checks	124	0	93	-3	-34	Review of DBS checks process and budget to be undertaken.	-37
Other variances					20		23
ICT & Corporate Policy							
Welsh Language	165	-10	121	-10	-44	Vacant post not currently being filled due to team review being undertaken. Delayed due to Covid-19 but due to be completed by end of 2020/21	-43
Chief Executive-Policy	848	-30	722	-30	-126	3 vacant posts not currently being filled due to team review being undertaken. Delayed due to Covid-19 but due to be completed by end of 2020/21	-116
Other variances					-3		-1
Admin and Law							
Democratic Services	1,850	-260	1,734	-289	-145	Underspend on Members pay & travelling costs along with an additional £33k income for work undertaken for the Housing Revenue Account.	-142
Democratic Services - Support	494	0	464	-26	-55	Additional income for work undertaken for the Wales Pension Partnership (£20k), ERW (£5k); £30k supplies and services underspend;	-41
Civic Ceremonial	23	0	8	0	-15	Less civic ceremonial events taking place due to Covid-19.	0
Land Charges	130	-300	76	-202	43	A reduction in estimated income as result of Covid-19, partially offset by savings on supplies and services and legal costs	57
						2 FTE vacant posts (£70k), one to be filled shortly but no plan to fill the other two in the current financial year. An additional £10k of income for work undertaken for the Wales Pension Partnership.	-68
Legal Services	1,777	-263	1,675	-243	-83		

Main Variances

Open Access

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Marketing & Media							
Marketing and Media	501	-285	395	-37	143	Overspend on salaries pending divisional realignment. Loss of income streams from external partners (e.g. ERW £80k). Looking at alternative potential partnership arrangements.	118
Translation	552	-51	428	-35	-108	£20k saving down to staff reducing their hours, £11k on a vacant post, £27k underspend as a result of maternity leave and £13k saving on staff at a lower point on the salary scale than budgeted. Further savings on supplies and services.	-86
Customer Services Centres	1,112	-346	1,037	-347	-75	2.5 FTE vacant posts not being filled in current financial year	1
Yr Hwb	187	-92	36	-36	-95	Three vacant posts pending divisional realignment but less income anticipated as a result of Covid-19. NNDR relief due to Covid-19 £20k	-65
Other variances					-0		-2
Statutory Services							
Registrars	430	-301	508	-303	76	£76k increase in Registration Officers hours to catch up on delays in various registrations not able to be undertaken during early months of Covid-19.	77
Coroners	384	0	321	0	-63	Following the appointment of medical examiners by the NHS, fewer cases are being referred to the Coroner.	-74
Electoral Services - Staff	287	0	267	-0	-20	Vacant post during year pending divisional realignment.	-40
Other variances					-7		-8
Regeneration & Property							
Property	1,241	-67	1,169	-67	-72	Part year vacant post (£40k). Large underspend on travelling and car hire as well as other supplies and services savings.	-64
Commercial Properties	32	-582	54	-516	88	General loss of income due to properties becoming vacant and no immediate prospect of re-letting.	102
Provision Markets	581	-651	560	-580	49	Ongoing reduction in Lettings income due to market forces impacting rates achievable. Reduction in anticipated overspend due to more Covid-19 related costs being claimable than on previous monitoring.	132
Industrial Premises	539	-1,520	486	-1,495	-28	£24k anticipated shortfall in income offset by reduction in premises related costs.	86
Livestock Markets	59	-209	48	-54	143	Anticipated shortfall in income collected at Nant Y Ci Mart	158
Other variances					2		3
Grand Total					-558		-108

Department for Communities
Budget Monitoring - as at 31st October 2020

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget				Forecasted				Oct 20 Forecast Variance for Year £'000	Aug 20 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Adult Services										
Older People	60,405	-23,143	3,207	40,469	62,284	-25,029	3,207	40,463	-7	-534
Physical Disabilities	8,248	-1,859	253	6,642	8,252	-1,529	253	6,976	334	452
Learning Disabilities	40,116	-11,059	1,283	30,340	39,671	-10,466	1,283	30,489	148	249
Mental Health	9,582	-4,030	255	5,807	10,467	-4,466	255	6,255	448	761
Support	6,940	-4,978	1,123	3,085	7,133	-5,163	1,123	3,094	9	-30
Homes & Safer Communities										
Public Protection	3,214	-1,094	532	2,652	3,268	-1,152	532	2,649	-4	-4
Council Fund Housing	9,140	-7,972	521	1,689	10,538	-9,388	521	1,671	-18	4
Leisure & Recreation										
Leisure & Recreation	15,627	-8,894	5,465	12,198	13,604	-6,871	5,465	12,198	-0	0
GRAND TOTAL	153,273	-63,030	12,639	102,882	155,218	-64,063	12,639	103,794	911	899

Department for Communities - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Adult Services							
Older People							
Older People - Commissioning	3,646	-513	3,564	-498	-66	Care Management Teams - underspends re staffing	-61
Older People - Private/ Vol Homes	24,748	-13,127	25,063	-13,130	313	Pressures remain on the demand for services	76
Older People - Community Support	28	0	66	0	38		43
Older People - Extra Care	774	0	833	0	60	Cwm Aur contract - savings proposals in previous years only partially delivered	51
Older People - Local Authority Domiciliary Care	7,514	0	8,100	-375	211	Enhanced rates of pay and overtime not fully funded by Welsh Government Hardship Fund. Funding is claimable at £1 per hour of Domiciliary Care delivered.	143
Older People - Private Domiciliary Care	8,238	-2,523	7,973	-2,602	-344	Welsh Government Hardship Fund claimable at £1 per hour delivered. Demand had fallen due to cancelled care packages early in the year. Activity now increasing. Delays to implement several savings proposals	-350
Older People - Management & Support Services	920	-175	1,020	-188	86	Previous years savings proposals relating to reduced staff costs not delivered	81
Older People - Enablement	1,874	-444	1,955	-623	-98	Enhanced rates of pay and overtime not fully funded by Welsh Government Hardship Fund. Funding is claimable at £1 per hour of care delivered.	-279
Older People - Day Services	861	-81	847	-18	49	Previous years savings proposals relating to reduced premises costs not delivered, offset by reducing in running costs in current year	4
Older People - Private Day Services	225	0	8	0	-217	No day services due to Covid-19	-217
Older People - Other variances					-37		-25
Physical Disabilities							
Phys Dis - Commissioning & OT Services	861	-323	675	-271	-135	Vacant posts	-119
Phys Dis - Private/Vol Homes	1,659	-300	1,310	-132	-182	Demand led - Reduced use of respite care due to Covid-19	-167
Phys Dis - Group Homes/Supported Living	1,079	-167	1,109	-10	187	Significant changes over recent years as packages reassessed following the transfer of Welsh Independent Living Fund into Revenue Support Grant. Primarily affected Physical Disabilities and Learning Disability clients for Direct Payments, Day Services and Community Support. Budget adjustments to be monitored to check initially virements were accurate	268
Phys Dis - Community Support	198	0	118	0	-80	Reduced use of respite care due to Covid-19	-79
Phys Dis - Direct Payments	2,673	-577	3,220	-577	547	Demand remains steady but at a level of overspend in 2019/20	550
Phys Dis - Other variances					-2		-1

Department for Communities - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Learning Disabilities							
Learn Dis - Private/Vol Homes	10,812	-4,287	11,690	-4,015	1,150	Demand increased - further work to establish underlying cause. Previous year had grant awarded late in the year - this is not projected in the current year forecast.	1,054
Learn Dis - Direct Payments	3,758	-547	4,448	-547	690	Demand remains steady but at a level of overspend in 2019/20	653
Learn Dis - Group Homes/Supported Living	9,829	-2,221	10,280	-2,373	298	More demand in Supported Living as an alternative to residential care. Rightsizing in Supported Living ongoing but delayed due to Covid-19. Accommodation and Efficiency project which plans for strategic longer term future accommodation options as well as current client group is now picking up pace.	268
Learn Dis - Adult Respite Care	981	-812	941	-812	-39		-29
Learn Dis - Home Care Service	322	-154	322	-154	-0		0
Learn Dis - Local Authority Day Services	2,482	-410	2,265	-317	-124	Reduced premises and running costs as building based provision is reduced due to social distancing regulations and alternatives provided.	-29
Learn Dis - Private Day Services	1,438	-80	464	-80	-974	Day Services closed from March 2020. Assume closure for whole financial year. Where care has been reassessed and alternative has been provided, the additional cost is shown as an overspend in that budget. However this is not claimable under Welsh Government Hardship Fund where there is no additional cost	-917
Learn Dis - Community Support	3,537	-158	2,856	-158	-681	Community Services provision greatly reduced from March 2020. Activity levels beginning to increase. Where care has been reassessed and alternative has been provided, the additional cost is shown as an overspend in that budget. However this is not claimable under Welsh Government Hardship Fund where there is no additional cost	-533
Learn Dis - Adult Placement/Shared Lives	3,031	-2,198	2,708	-2,011	-136	Staff vacancies and reduced payments for day services support	-131
Learn Dis - Other variances					-35		-86
Mental Health							
Mental Health - Private/Vol Homes	6,081	-3,230	6,753	-3,467	435	Demand increased - further work to establish underlying causes. Previous year had grant awarded late in the year - this is not projected in the current year forecast.	647
Mental Health - Other variances					13		114
Support							
Other Variances - Support					9		-30

Department for Communities - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Homes & Safer Communities							
Public Protection							
PP Management support	102	-8	83	-8	-19	Underspend on postages and photocopying recharges.	-9
PP Business Support unit	151	0	125	0	-26	Vacant posts	-22
Public Health	283	-14	314	-7	38	Underachievement of Fees and costs recoverable. Overspent on Premises Maintenance and Internal design fees linked to Llanfallteg Works in default.	17
Noise Control	214	0	199	-1	-16	Vacant Post.	-1
Air Pollution	126	-35	138	-35	12	Underachievement of licensing income.	14
Dog Wardens	99	-29	103	-17	16	Underachievement of Fees and costs recoverable. Overspend on Long term Vehicle hire.	2
Animal Safety	158	0	116	0	-42	Vacant posts.	-36
Licensing	350	-330	361	-321	20	Underachievement of licensing income.	26
Trading Standards Services Management	89	-38	117	-45	21	Overspent on Legal Fees.	9
Fair Trading	146	-65	144	-46	18	Underachievement of Fees and costs recoverable.	9
Other Variances					-26		-13
Council Fund Housing							
Home Improvement (Non HRA)	709	-300	701	-318	-26	Vacant Posts.	9
Landlord Incentive	13	-10	57	-10	44	Overspend on Premises maintenance.	-0
Temporary Accommodation	502	-108	1,751	-1,467	-110	Overachievement of rental and Housing benefit income target.	-6
Social Lettings Agency	797	-802	789	-721	73	Overspend on Premises maintenance.	-0
Other Variances					1		2

Department for Communities - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Leisure & Recreation							
Pendine Outdoor Education Centre	522	-348	340	-224	-58	Vacant posts in structure	-39
Carmarthen Leisure Centre	1,640	-1,751	1,234	-1,301	43	Unable to claim current year efficiencies from Hardship Fund	43
Amman Valley Leisure Centre	910	-833	777	-669	30	Unable to claim current year efficiencies from Hardship Fund	30
Llanelli Leisure Centre	1,340	-1,145	1,110	-871	43	Unable to claim current year efficiencies from Hardship Fund	43
ESD Rev Grant - Ynys Dawela	43	-43	21	0	21	Grant for project not yet confirmed by funding body	28
Archives General	137	-2	174	-3	36	Estimated cost of returning Archive collection from storage	1
Arts General	25	0	0	0	-25	Vacant post being held pending restructure	-25
Laugharne Boathouse	147	-112	120	-49	35	Shortfall of income to budget as a result of part year closure. Potential to be reduced if grant application to Cultural Fund is successful.	5
Entertainment Centres General	444	-62	349	-59	-93	Vacant posts in structure	-97
Oriel Myrddin CCC	113	0	125	0	12	Backdated NNDR bills re: 26/27 King Street	14
Leisure Management	389	0	361	0	-28	Vacant post in structure	-33
Other Variance - Leisure & Recreation					-16		30
Grand Total					911		899

Corporate Services Department
Budget Monitoring - as at 31st October 2020

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget				Forecasted				Oct 20 Forecast Variance for Year £'000	Aug 20 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Financial Services	5,399	-2,862	-2,552	-16	5,125	-2,820	-2,552	-247	-231	-232
Revenues & Financial Compliance	4,793	-1,723	-2,408	662	4,254	-1,794	-2,408	53	-610	-563
Other Services	71,004	-47,351	3,337	26,991	69,187	-45,144	3,337	27,381	390	587
GRAND TOTAL	81,196	-51,937	-1,623	27,637	78,566	-49,757	-1,623	27,186	-451	-208

Corporate Services Department - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Financial Services							
Corporate Services Management team	485	-63	482	-109	-49	£35k income from Fire Authority SLA and £15k savings on supplies and services	-50
Accountancy	1,697	-459	1,595	-466	-109	Vacant posts not likely to be filled in the short term. A few staff members currently being paid at the lower points of the scale whilst budgeted at the top.	-113
Treasury and Pension Investment Section	258	-182	230	-207	-54	£25k additional income for work undertaken for the Wales Pension Partnership; £29k - part year staff vacancy and three staff members currently at lower points of the salary scale but budgeted at top of scale.	-37
Payments	531	-76	501	-71	-25	Net £16k part year vacant posts and £9k savings on supplies and services	-35
Other variances					6		3
Revenues & Financial Compliance							
Procurement	548	-34	520	-34	-28	Net effect of 1 vacancy not being filled offset by additional staff costs	-14
Audit	482	-19	418	-34	-80	2 vacant posts during the year not to be filled before year end	-80
Risk Management	148	-0	169	-0	21	Temporary additional secondment into team	-10
Corporate Services Training	59	0	33	0	-26	Under utilisation of budget due to current working practices	-26
Local Taxation	934	-741	820	-755	-127	A few posts have been vacant during the year to date and are expected to be filled imminently. A number of staff members are currently on lower points of the salary scale but budgeted at the top of scale and 2 staff members have reduced their hours. Additional one off DWP grant to implement the welfare reform changes of £43k has contributed to the underspend.	-131
Housing Benefits Admin	1,645	-752	1,369	-841	-365	A few posts have been vacant during the year to date and are expected to be filled imminently. A large number of staff members are currently on lower points of the salary scale but budgeted at the top of scale. Additional one off grants from DWP for additional burdens contributed a net £75k of the underspend.	-299
Other variances					-5		-3

Corporate Services Department - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Other Services							
Audit Fees	316	-92	287	-92	-29	A proportion of audit fees chargeable directly to grants	-29
Bank Charges	67	0	17	0	-50	One off refund in year of £43k	-9
Council Tax Reduction Scheme	16,511	0	17,738	-338	889	Significant increase in caseload as a result of Covid-19. Estimated cost is £1.68m greater than 19-20 actual cost. £179k income received from WG for Q1 with an additional £158k expected for Q2	1,047
Rent Allowances	46,923	-47,140	44,250	-44,677	-209	Efficient recovery of overpayments	-209
Miscellaneous Services	7,187	-120	6,894	-38	-211	£238k underspend on pre LGR pension costs	-213
Grand Total					-451		-208

Department for Education & Children
Budget Monitoring - as at 31st October 2020

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget				Forecasted				Oct 20 Forecast Variance for Year £'000	Aug 20 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Schools Delegated Budgets	132,231	-13,543	0	118,687	133,726	-13,543	0	120,182	1,495	2,200
Director & Strategic Management	1,480	0	-109	1,371	1,501	-0	-109	1,391	21	4
Education Services Division	7,259	-2,716	21,134	25,676	6,724	-2,148	21,134	25,710	34	91
Access to Education	9,046	-6,201	1,584	4,429	9,979	-6,901	1,584	4,662	233	626
School Improvement	3,467	-1,526	427	2,368	3,549	-1,725	427	2,251	-117	48
Curriculum & Wellbeing	8,570	-4,781	886	4,674	8,803	-4,994	886	4,694	20	90
Children's Services	24,451	-6,087	2,721	21,086	26,504	-8,518	2,721	20,708	-378	-309
Additional resources for reopening of schools	0	0	0	0	1,049	-850	0	199	199	199
TOTAL excluding schools	54,272	-21,311	26,643	59,604	58,109	-25,136	26,643	59,616	12	749
GRAND TOTAL	186,503	-34,854	26,643	178,291	191,834	-38,679	26,643	179,798	1,507	2,949

Department for Education & Children - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Schools Delegated Budgets							
Primary Schools	69,767	-8,545	69,932	-8,545	165	Based on schools' working budgets received for 2020/21 & updated for 2nd quarter monitoring returns which reflect reduced actuals during summer term and the application of ALP grant against teacher posts where redundancies have been postponed due to Covid-19.	850
Secondary Schools	58,331	-4,958	59,231	-4,958	900		900
Special Schools	4,132	-40	4,562	-40	430		450
Director & Strategic Management							
Business Support	398	0	424	-0	26	Pool cars fleet costs (£9k) and Dept central mailing costs	11
Other variances					-6		-7
Education Services Division							
School Redundancy & EVR	2,017	0	1,904	0	-113	Part year moratorium on redundancy processes due to Covid-19	-66
Early Years Non-Maintained Provision	1,704	-1,337	861	-549	-55	Fewer children taking up places in non-maintained settings	-96
Special Educational Needs	2,844	-1,379	3,288	-1,597	226	Increase in existing out of county placement costs.	276
Other variances					-24		-23
Access to Education							
School Admissions	345	-84	314	-84	-32	Part year staff vacancy & staff budget saving as not all staff at top of grade	-29
School Modernisation	114	-1	201	-33	55		61
School Meals & Primary Free Breakfast Services	8,586	-6,116	9,464	-6,784	210	Income target of £100k for breakfast club contributions not achievable due to summer term closure and reduced take up due to Covid-19. £100k additional cost of FSM due to Covid-19 that are not eligible for WG hardship	594
School Improvement							
School Effectiveness Support Services	265	-43	213	-37	-47	Delayed recruitment to school support due to Covid-19	-21
National Model for School Improvement	1,139	-60	1,035	-26	-70	Reduced travelling due to Covid-19 and maximising Education Improvement Grant to release core budget	68

Department for Education & Children - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Curriculum and Wellbeing							
Music Services for Schools	1,038	-737	1,100	-762	37	Delay with staff restructuring which has been further affected by Covid-19	61
Education Other Than At School (EOTAS)	2,205	-339	2,380	-445	70	Increasing number of placements from schools for alternative provision requiring increased staffing due to both complexity and numbers	86
Youth Offending & Prevention Service	1,979	-1,116	1,882	-1,084	-65	Reduced travelling, staff vacancies and maximising grants to release core budget	-57
Other variances					-21		0
Children's Services							
Adoption Services	532	0	1,093	-489	72	One off payment committed for adoption of sibling group £125k, partially offset by maximising grant income in other areas of the service	100
Out of County Placements (CS)	670	0	489	-29	-211	Reduction in Out of County placements and no current remand placements	-236
Childcare	901	-345	880	-351	-27	Additional in year grants awarded from Welsh Government supporting priorities the service had already identified and have staff working on	-10
Family Aide Services	172	0	305	-191	-58	Part year vacant posts and maximisation of grant income	-66
Other Family Services incl Young Carers and ASD	540	-300	697	-507	-50	Part year vacant post (currently out for recruitment) and maximisation of grant income	-31
Out of Hours Service	175	0	175	0	0		0
Children's Services Mgt & Support (incl Care First)	992	-76	1,120	-275	-71	Further utilisation of grants - £45k, staff budget saving as not all staff at top of grade & purchase of extra leave - £12k, staff recruitment savings with most posts advertised on our website - £14k	-67
School Safeguarding & Attendance	296	-45	552	-353	-52	Part year vacant posts and maximisation of grant income	-67
Other Variances					18		67
Additional resources for reopening of schools							
Additional Cleaning for schools re-opening	0	0	850	-850	0	Assumes additional cleaning costs will be fully recovered from Welsh Government. Expectation that additional cleaning costs in other establishments will be recovered from the user departments.	0
Face coverings & PPE	0	0	199	0	199	Expenditure incurred in August to procure Face Coverings & PPE for Schools at short notice expected to exceed WG grant by £199k	199
Grand Total					1,507		2,949

Environment Department
Budget Monitoring - as at 31st October 2020

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget				Forecasted				Oct 20 Forecast Variance for Year £'000	Aug 20 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Business Support & Performance	166	-212	130	84	121	-179	130	72	-13	15
Waste & Environmental Services	25,651	-4,471	1,373	22,553	28,334	-6,888	1,373	22,819	266	366
Highways & Transportation	52,387	-31,575	10,384	31,197	51,491	-30,285	10,384	31,590	393	678
Property	42,805	-40,787	624	2,642	43,857	-41,599	624	2,882	240	211
Planning	4,454	-2,069	330	2,715	4,160	-1,646	330	2,844	129	170
GRAND TOTAL	125,463	-79,113	12,841	59,190	127,963	-80,597	12,841	60,207	1,016	1,439

Environment Department - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Business Support & Performance							
Business Support	-119	-35	-152	-36	-33	Posts budgeted at top of scale but majority are not at the top of scale yet; a few posts temporarily vacant during the year.	-29
Operational Training	37	-57	12	-19	12	Overspend due to Covid-19 restrictions and training time allocated to refuse and cleaning departments	33
Departmental - Core	45	0	64	0	19	£9k department's share of the Health and Wellbeing co-ordinators' pay costs; £10k efficiency not yet achieved	18
Other variances					-11		-7
Waste & Environmental Services							
SAB - Sustainable Drainage approval Body Unit	121	-115	120	-47	68	Anticipated income not materialised - Dependent on number of submissions and market buoyancy of development projects	81
Reservoirs	0	0	74	0	74	Reactive work, including consultancy costs at Trebeddrod Reservoir.	0
Cleansing Service	2,380	-108	2,431	-110	47	Additional cleansing requirements	38
Waste Services	17,071	-1,316	17,298	-1,382	162	Additional blue bag requirements due to: HWRCs closed from March until end of May therefore more kerbside blue bags used, cans and paper removed from community recycling centres resulting in a 35% increase in kerbside dry recycling and increased stock in additional private outlets during Covid-19 lockdown.	257
Green Waste Collection	533	-336	523	-371	-45	Increased take-up of green waste collection service	-6
Closed Landfill Sites	255	0	235	0	-20	Reduction in electricity costs	-3
Other variances					-20		-1
Highways & Transportation							
Civil Design	1,096	-1,680	1,010	-1,621	-27	Increased income recovery	-34
Transport Strategic Planning	396	0	357	-0	-39	Project Management Fees charged to various grants	-28
Traffic Management	559	-43	781	-342	-78	Net increase in additional Traffic Regulation Orders income against additional planned works -£40k and net effect of vacant posts/reduced recharges to grants of -£38k.	-52
Bar Parks	1,997	-3,271	1,749	-2,389	634	The outturn includes the WG reimbursement for loss of income of £701k for quarter 1 and £277k for quarter 2. Quarters 3 and 4 include an assumption that WG will partly reimburse the Authority for the loss in income due to the reduction in footfall. The £167k efficiency for the increase in parking charges has not been met in full for the year.	833
Plant y Ci Park & Ride	80	-33	136	-71	18	Increased maintenance costs & reduced income	29

Environment Department - Budget Monitoring - as at 31st October 2020

Main Variances

POLICY & RESOURCES SCRUTINY 3rd FEBRUARY 2021

Division	Working Budget		Forecasted		Oct 20	Notes	Aug 20
	Expenditure	Income	Expenditure	Income	Forecast Variance for Year		Forecast Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
School Crossing Patrols	121	0	148	0	26	The school crossing patrols section has reviewed all patrols to identify where there is no requirement to provide them according to the National Safety criteria. Vacancies that arise in the sites that do not require school crossing patrols will not be filled as and when they become vacant.	29
Bridge Maintenance	740	0	727	0	-14	Structures Engineer vacant for part year	-37
Street Works and Highway Adoptions	426	-364	423	-492	-131	Additional income from highway adoption agreements and streetworks	-20
Other variances					3		-41
Property							
Property Maintenance Operational	30,380	-31,924	30,071	-31,429	186	Estimated £90k loss of recharge income due to shielding, redeployment, social distancing and works on-stop or delayed and an estimated loss of £96k for reduced construction work as a result of Covid-19 leading to a reduction of internal fees that can be charged.	200
Design & Professional Services Frameworks	0	0	247	-216	30	Some capital projects have slipped as a result of the current pandemic and fee income has reduced as a result.	-0
Facilities Management - Corporate Buildings	388	0	417	0	29	Additional Facilities Assistants' workload as a result of closure of buildings that need to be attended in the absence of staff on site	12
Other variances					-5		-1
Planning							
Planning Admin Account	342	-14	423	-84	11	Additional Arcus software costs	-3
Building Regulations Trading - Chargeable	454	-507	401	-333	121	Reduction in income as a result of Covid-19. Final decision on reimbursement of lost / deferred income will be made by WG in February 2021.	107
Building Control - Other	186	-5	174	-4	-11	Less staff travel & spend on supplies due to Covid-19	-10
Minerals	359	-236	333	-163	46	Reduction in income as a result of Covid-19. Final decision on reimbursement of lost / deferred income will be made by WG in February 2021.	55
Policy-Development Planning	680	-0	528	0	-152	Part year vacancy and employee on maternity, underspend has increased due to less estimated expenditure on consultant fees & supplies	-123
Development Management	1,575	-968	1,483	-730	147	Income shortfall offset by less expenditure due to Covid-19. Final decision on reimbursement of lost / deferred income will be made by WG in February 2021.	124
Leisure Centre	47	-47	63	-119	-56	Reimbursement for lost income received from WG of £29.7k in quarter 1 and £37.6k in quarter 2.	-0
Conservation	442	-54	461	-48	26	One-off consultancy cost	24
Other Variances					-3		-3
Grand Total					1,016		1,439

Policy & Resources Scrutiny Report
Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020 Forecasted Variance for Year £'000	Notes	Aug 2020 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Chief Executive											
Chief Executive-Chief Officer	260	0	-260	-0	201	0	-260	-59	-59	Savings on supplies and services.	-58
Chief Executive Business Support Unit	608	0	-586	22	796	-280	-586	-70	-92	3 vacant posts - All not being filled in this financial year.	-72
The Guildhall Carmarthen	0	0	9	9	0	0	9	9	0		0
Chief Executive Total	868	0	-837	31	997	-280	-837	-120	-151		-130
People Management											
TIC Team	227	-58	-221	-52	236	-61	-221	-46	6	1 x employee regraded with no funding	9
Agile Working Project	3	0	0	4	3	0	0	4	-0	£57k expenditure anticipated to be met from Development Fund	0
SCWDP	657	-417	1	241	659	-420	1	241	0		-0
Practice Placements	70	-67	0	2	85	-83	0	2	-0		-0
Health & Social Care Induction Training	0	0	0	0	75	-75	0	0	0		0
Business & Projects Support	275	0	-275	-0	234	-0	-275	-41	-41	Savings on supplies and services	-35
Payroll	618	-350	-285	-17	629	-354	-285	-10	6		0
People Services – HR	1,067	-253	-786	28	1,069	-247	-786	36	8		4
Employee Well-being	756	-333	-423	-1	737	-305	-423	9	10	Income target not being met due to: less external work and less 1:1 health screenings taking place due to social distancing leading to a £28k shortfall. Partially offset by a net saving of £18k due to short term vacant posts.	59
Organisational Development	509	-10	-498	1	574	-75	-498	2	1		11
Employee Services – HR/Payroll Support	130	0	-132	-1	163	-1	-132	30	31	£24k graduate not funded, 2 x employees regraded with no funding £8k	26
DBS Checks	124	0	0	124	93	-3	0	90	-34	Review of DBS checks process and budget to be undertaken.	-37
People Management Total	4,437	-1,489	-2,619	329	4,558	-1,624	-2,619	315	-14		37
ICT & Corporate Policy											
Information Technology	4,796	-881	-3,934	-18	5,164	-1,249	-3,934	-19	-1		-1
Welsh Language	165	-10	-153	1	121	-10	-153	-43	-44	Vacant post not currently being filled due to team review being undertaken. Delayed due to Covid-19 but due to be completed by end of 2020/21	-43
Chief Executive-Policy	848	-30	-786	32	722	-30	-786	-94	-126	3 vacant posts not currently being filled due to team review being undertaken. Delayed due to Covid-19 but due to be completed by end of 2020/21	-116
Public Service Bodies	10	0	0	11	10	0	6	16	6		6
Community Safety-Revenue	0	0	6	6	0	0	0	0	-6		-6
Food Procurement Project WG Grant	0	0	0	0	32	-32	0	0	0		0
Armed Forces Covenant Scheme	0	0	0	0	82	-82	0	-0	-0		-0
Armed Forces Veterans Hub	0	0	0	0	79	-79	0	0	0		-0
Armed Forces and Remembrance	5	0	0	5	2	0	0	2	-3		0
Total ICT & Corporate Policy	5,825	-922	-4,867	36	6,213	-1,483	-4,867	-137	-173		-160

Policy & Resources Scrutiny Report
Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020 Forecasted Variance for Year £'000	Notes	Aug 2020 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Admin and Law											
Democratic Services	1,850	-260	2,351	3,941	1,734	-289	2,351	3,796	-145	Underspend on Members pay & travelling costs along with an additional £33k income for work undertaken for the Housing Revenue Account.	-142
Democratic Services - Support	494	0	-494	-1	464	-26	-494	-56	-55	Additional income for work undertaken for the Wales pension partnership (£20k), ERW (£5k); £30k supplies and Services underspend;	-41
Corporate Management	0	0	296	296	0	0	296	296	0		0
Civic Ceremonial	23	0	21	44	8	0	21	29	-15	Less civic ceremonial events taking place due to Covid-19.	0
Land Charges	130	-300	20	-150	76	-202	20	-107	43	A reduction in estimated income as result of Covid-19, partially offset by savings on supplies and services and legal costs	57
Legal Services	1,777	-263	-1,511	3	1,675	-243	-1,511	-79	-83	2 FTE vacant posts (£70k), one to be filled shortly but no plan to fill the other two in the current financial year. An additional £10k of income for work undertaken for the Wales Pension Partnership.	-68
Central Mailing	44	0	1	44	46	-2	1	44	0		-0
Admin and Law Total	4,319	-823	682	4,178	4,003	-761	682	3,924	-254		-194
Marketing & Media											
Marketing and Media	501	-285	-213	2	395	-37	-213	145	143	Overspend on salaries pending divisional realignment. Loss of income streams from external partners (eg. ERW £80k). Looking at alternative potential partnership arrangements.	118
Translation	552	-51	-502	-0	428	-35	-502	-108	-108	£20k saving down to staff reducing their hours, £11k on a vacant post, £27k underspend as a result of maternity leave and £13k saving on staff at a lower point on the salary scale than budgeted. Further savings on supplies and services.	-86
Customer Services Centres	1,112	-346	-762	4	1,037	-347	-762	-71	-75	2.5 FTE vacant posts not being filled in current financial year	1
Marketing Tourism Development	351	0	18	369	352	-1	18	369	-0		0
Visitor Information	69	-5	18	82	63	-4	18	78	-4		-2
Events	48	-26	2	24	40	-14	2	28	4		-0
Yr 11wb	187	-92	8	103	36	-36	8	9	-95	Three vacant posts pending divisional realignment but less income anticipated as a result of Covid-19. NNDR relief due to Covid-19 £20k	-65
Total Marketing & Media	2,820	-805	-1,430	585	2,352	-472	-1,430	450	-135		-34

Policy & Resources Scrutiny Report
Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020 Forecasted Variance for Year £'000	Notes	Aug 2020 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Statutory Services											
Elections-County Council	9	0	129	138	2	0	129	131	-7		-8
Elections-Parliamentary	0	0	0	0	3	-3	0	0	0		0
Elections-European	0	0	0	0	38	-38	0	-0	-0		-0
Registration Of Electors	166	-2	243	407	276	-112	243	407	0		-0
Registrars	430	-301	192	321	508	-303	192	398	76	£76k increase in Registration Officers hours to catch up on delays in various registrations not able to be undertaken during early months of Covid-19.	77
Coroners	384	0	8	392	321	0	8	329	-63	Following the appointment of medical examiners by the NHS, fewer cases are being referred to the Coroner.	-74
Electoral Services - Staff	287	0	-291	-4	267	-0	-291	-24	-20	Vacant Post during year pending divisional realignment.	-40
Statutory Services Total	1,276	-303	281	1,254	1,415	-455	281	1,240	-14		-45
Regeneration & Property											
Regeneration Management	330	0	38	368	322	0	38	360	-9		-9
Parry Thomas Centre	32	-31	11	11	33	-32	11	12	0		-0
Betws wind farm community fund	87	-87	1	1	86	-86	1	1	-0		-0
Welfare Rights & Citizen's Advice	163	0	2	165	163	0	2	165	0		0
Llanelli Coast Joint Venture	145	-143	5	7	145	-143	5	7	-0		-0
The Beacon	150	-138	52	64	162	-150	52	64	-0		-0
Business Grants	0	0	0	0	0	0	0	0	0	£27 business grants provided from CCC funding to date	-0
BREXIT	0	0	0	0	59	-59	0	0	0		0
Econ Dev-Rural Carmarthen, Ammanford, Town Centres	380	0	4,908	5,288	388	-9	4,908	5,288	-0		0
Econ Dev-Llanelli, C Hands, Coastal,Business, Inf & Ent	442	0	89	530	470	-28	89	530	0		0
Community Development and External Funding	469	0	42	511	469	0	42	511	-0		-0
Coronavirus	0	0	0	0	24	-24	0	-0	-0		-0
COVID-19 - Small Business Rent Relief	0	0	0	0	3	0	0	3	3		6
Food Hubs & Banks - Covid 19	0	0	0	0	247	-247	0	0	0	£99k reimbursement received from WG.£148k draw down from reserves.	0
Shielding Parcels	0	0	0	0	333	-333	0	-0	-0		0
Wellness	25	0	19	44	25	0	19	44	0		-0
City Deal	1,069	-1,123	78	23	1,084	-1,138	78	23	-0		-0
Property	1,241	-67	-1,251	-77	1,169	-67	-1,251	-149	-72	Part year vacant post (£40k). Large underspend on travelling and car hire as well as other supplies and services savings.	-64
Commercial Properties	32	-582	537	-14	54	-516	537	74	88	General loss of income due to properties becoming vacant and no immediate prospect of re-letting.	102

Policy & Resources Scrutiny Report
Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020 Forecasted Variance for Year £'000	Notes	Aug 2020 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Provision Markets	581	-651	379	310	560	-580	379	358	49	Ongoing reduction in Lettings income due to market forces impacting rates achievable. Reduction in anticipated overspend due to more Covid-19 related costs being claimable than on previous monitoring.	132
Renewable Energy Fund	0	-51	0	-51	0	-51	0	-51	-0		-0
Net Zero Carbon	75	0	0	75	75	0	0	75	0		1
Operational Depots	326	0	-336	-9	326	0	-336	-10	-0		-3
Administrative Buildings	2,859	-771	-3,084	-995	2,739	-653	-3,084	-998	-2		-2
Industrial Premises	539	-1,520	924	-58	486	-1,495	924	-85	-28	£24k anticipated shortfall in income offset by reduction in premises related costs.	86
County Farms	74	-335	420	159	75	-327	420	168	9		9
Livestock Markets	59	-209	3	-146	48	-54	3	-3	143	Anticipated shortfall in income collected at Nant Y Ci Mart	158
Externally Funded Schemes	3,263	-3,259	370	373	2,711	-2,708	370	374	0		0
Regeneration & Property Total	12,340	-8,967	3,209	6,581	12,254	-8,699	3,209	6,764	182		417
Financial Services											
Corporate Services Management team	485	-63	-422	-0	482	-109	-422	-49	-49	£35k income from Fire Authority SLA and £15k savings on supplies and services	-50
Accountancy	1,697	-459	-1,239	-2	1,595	-466	-1,239	-111	-109	Vacant posts not likely to be filled in the short term. A few staff members currently being paid at the lower points of the scale whilst budgeted at the top.	-113
Treasury and Pension Investment Section	258	-182	-70	7	230	-207	-70	-48	-54	£25k additional income for work undertaken for the Wales Pension Partnership; £29k - part year staff vacancy and three staff members currently at lower points of the salary scale but budgeted at top of scale.	-37
Grants and Technical	310	-109	-218	-17	245	-44	-218	-16	1		-0
Payroll Control	88	0	-88	0	94	0	-88	6	5		3
Payments	531	-76	-457	-2	501	-71	-457	-28	-25	Net £16k part year vacant posts and £9k savings on supplies and services	-35
Pensions	1,272	-1,215	-58	-1	1,247	-1,191	-58	-1	0		0
Wales Pension Partnership	759	-759	0	0	732	-732	0	0	-0		-0
Financial Services Total	5,399	-2,862	-2,552	-16	5,125	-2,820	-2,552	-247	-231		-232

Policy & Resources Scrutiny Report
Budget Monitoring as at 31st October 2020 - Detail Monitoring

Division	Working Budget				Forecasted				Oct 2020 Forecasted Variance for Year £'000	Notes	Aug 2020 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Revenues & Financial Compliance											
Procurement	548	-34	-551	-37	520	-34	-551	-65	-28	Net effect of 1 vacancy not being filled offset by additional staff costs	-14
Audit	482	-19	-463	0	418	-34	-463	-80	-80	2 vacant posts during the year not to be filled before year end	-80
Risk Management	148	-0	-149	-1	169	-0	-149	19	21	Temporary additional secondment into team	-10
Business Support Unit	81	0	-81	-0	77	0	-81	-5	-4		-3
Corporate Services Training	59	0	-59	-1	33	0	-59	-26	-26	Under utilisation of budget due to current working practices	-26
Local Taxation	934	-741	528	720	820	-755	528	593	-127	A few posts have been vacant during the year to date and are expected to be filled imminently. A number of staff members are currently on lower points of the salary scale but budgeted at the top of scale and 2 staff members have reduced their hours. Additional one off DWP grant to implement the welfare reform changes of £43k has contributed to the underspend.	-131
Housing Benefits Admin	1,645	-752	-877	17	1,369	-841	-877	-349	-365	A few posts have been vacant during the year to date and are expected to be filled imminently. A large number of staff members are currently on lower points of the salary scale but budgeted at the top of scale. Additional one off grants from DWP for additional burdens contributed a net £75k of the underspend.	-299
Revenues	896	-176	-755	-35	848	-129	-755	-36	-0		0
Revenues & Financial Compliance Total	4,793	-1,723	-2,408	662	4,254	-1,794	-2,408	53	-610		-563
Other Services											
Audit Fees	316	-92	4	229	287	-92	4	200	-29	A proportion of audit fees chargeable directly to grants	-29
Bank Charges	67	0	1	68	17	0	1	18	-50	One off refund in year of £43k	-9
Council Tax Reduction Scheme	16,511	0	78	16,589	17,738	-338	78	17,478	889	Significant increase in caseload as a result of Covid-19. Estimated cost is £1.68m greater than 19-20 actual cost. £179k income received from WG for Q1 with an additional £158k expected for Q2	1,047
Rent Allowances	46,923	-47,140	1,495	1,278	44,250	-44,677	1,495	1,069	-209	Efficient recovery of overpayments	-209
Miscellaneous Services	7,187	-120	1,759	8,826	6,894	-38	1,759	8,615	-211	£238k underspend on pre LGR pension costs	-213
Other Services Total	71,004	-47,351	3,337	26,991	69,187	-45,144	3,337	27,381	390		587
TOTAL FOR POLICY & RESOURCES	113,080	-65,245	-7,204	40,631	110,358	-63,532	-7,203	39,622	-1,009		-316

Mae'r dudalen hon yn wag yn fwiadol

Capital Programme 2020/21						
Capital Budget Monitoring - Report for October 2020						
	Working Budget			Forecasted		
DEPARTMENT	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000
COMMUNITIES						
- Public Housing	40,167	-10,401	29,766	26,647	-9,091	17,556
- Private Housing	2,675	-246	2,429	1,090	-247	843
- Leisure	6,393	-1,032	5,361	4,655	-357	4,298
- Social Care	419	0	419	262	0	262
ENVIRONMENT	28,577	-14,177	14,400	27,412	-16,378	11,034
EDUCATION & CHILDREN	49,764	-27,789	21,975	19,276	-10,616	8,660
CHIEF EXECUTIVE	5,519	-230	5,289	3,585	-230	3,355
REGENERATION	62,492	-27,780	34,712	16,285	-11,095	5,190
TOTAL	196,006	-81,655	114,351	99,212	-48,014	51,198

Oct-20
Variance for Year £'000
-12,210
-1,586
-1,063
-157
-3,366
-13,315
-1,934
-29,522
-63,153

Mae'r dudalen hon yn wag yn fwiadol

Capital Programme 2020/21

Capital Budget Monitoring - Report for October 2020 - Main Variances

DEPARTMENT/SCHEMES	Working Budget			Forecasted			Variance for Year £'000	Comment
	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000		
COMMUNITIES								
- Public Housing	40,167	-10,401	29,766	26,647	-9,091	17,556	0	-12,210
Sewage Treatment Works Upgrading	348	0	348	45	0	45		-303
Telecare Upgrade	20	0	20	7	0	7		-13
Internal and External Works (Property)	17,934	0	17,934	15,382	0	15,382		-2,551
Environmental Works (Housing Services)	391	0	391	256	0	256		-135
Adaptations and Equalities Works (Building Services)	1,620	0	1,620	926	0	926		-694
Programme Delivery and Strategy	790	0	790	609	0	609		-181
Housing Development Programme	19,028	-336	18,692	9,386	-919	8,467		-10,225
ICF - Morfa Parent & Baby Centre (20 School Rd, Llanelli)	37	-37	0	37	-37	0		0
MRA and IHP Grants Income	0	-10,028	-10,028	0	-8,135	-8,135	0	1,893
- Private Housing	2,675	-246	2,429	1,090	-247	843	0	-1,586
Disabled Facilities Grant (DFG)	1,927	0	1,927	704	-2	701		-1,226
ENABLE - Adaptations to Support Independent Living	246	-246	0	246	-246	0		0
Empty Properties Initiatives	500	0	500	140	0	140		-360
- Leisure	6,393	-1,032	5,361	4,655	-357	4,298	0	-1,063
Carmarthen Leisure Centre & Track	946	0	946	1,147	-25	1,122		176
Amman Valley Leisure Centre Masterplan	665	0	665	107	0	107		-558
Oriel Myrddin Redevelopment	1,073	-700	373	30	0	30		-343
Libraries & Museums	1,829	-202	1,628	1,650	-202	1,449		-179
Burry Port Harbour Walls	1,468	0	1,468	1,391	0	1,391		-76
Country Parks	281	0	281	199	0	199		-82
- Social Care	419	0	419	262	0	262	0	-157
ENVIRONMENT	28,577	-14,177	14,400	27,412	-16,378	11,034	0	-3,366
Highways & Infrastructure	21,070	-14,177	6,893	20,140	-15,009	5,132		-1,761
Property	7,507	0	7,507	7,272	-1,369	5,903		-1,603

Capital Programme 2020/21

Capital Budget Monitoring - Report for October 2020 - Main Variances

DEPARTMENT/SCHEMES	Working Budget			Forecasted			Variance for Year £'000	Comment
	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000		
EDUCATION & CHILDREN	49,764	-27,789	21,975	19,276	-10,616	8,660	-13,315	
Llandeilo Primary	2,989	0	2,989	100	0	100	-2,889	Scheme delayed owing to MEP Review
Gorslas - New School	5,708	0	5,708	3,000	0	3,000	-2,708	Delayed start on site and delayed works owing to Covid-19.
Ammanford Welsh Medium Primary	5,686	0	5,686	200	0	200	-5,486	Delay to scheme development
Ysgol Gymraeg Cydweli	508	0	508	100	0	100	-408	Scheme development progressing well, however, construction unlikely to start before the new year.
Ysgol y Castell	3,803	0	3,803	2,000	0	2,000	-1,803	Delayed start on site and delayed works owing to Covid-19.
Ysgol Pum Heol	4,813	0	4,813	2,500	0	2,500	-2,312	Delayed works due to Covid-19.
Heol Goffa - Replacement Building	10,713	0	10,713	310	0	310	-10,403	Delays with consultation due to Covid-19 and scheme is still subject to WG business case approval.
St John Lloyd	379	0	379	300	0	300	-79	Delay because of Covid-19 and Welsh Water.
Penrhos	417	0	417	35	0	35	-382	Project Complete - Delivered under budget
Pontyberem	238	0	238	5	0	5	-233	Project Complete - Delivered under budget
Dewi Sant	264	0	264	62	0	62	-202	Awaiting outcome of the education forward plan review - To be confirmed
Rhydygors	97	0	97	3	0	3	-94	Scheme delayed owing to MEP Review
Rhys Pritchard	1,768	0	1,768	2,000	0	2,000	232	Progressing Well - ahead of profiled budget.
Education - Equality Act Works	0	0	0	244	0	244	244	Ongoing commitment to Equalities Act works
School Buildings - Education Capital Maintenance Grants	2,677	0	2,677	2,677	0	2,677	0	
Flying Start Capital Expansion Programme	440	-440	0	30	-30	0	0	New Allocation of Grant from the Welsh Government to enhance Flying Start provision in the County. Project will be completed in 2021/22.
Infant Class Size	1,774	-1,774	0	1,517	-1,517	0	0	Projects will be delivered in 2021/22
Childcare Offer Places	1,626	-1,626	0	918	-918	0	0	Reflects delays in the wider school building programme. Will be delivered as part of the wider MEP programme. Hendy & Llandeilo will be carried over to 2021/22.
Welsh Language Immersion Centre (Maes y Gwendraeth)	958	-958	0	958	-958	0	0	
MEP Income - 21 st Century Schools Grant	0	-22,957	-22,957	0	-7,167	-7,167	15,790	Income will be received in future years in line with projected expenditure. Delays to business case sign-off and Covid-19.
Other Projects with Minor Variances	4,909	-34	4,876	2,316	-26	2,290	-2,586	
			0					
CHIEF EXECUTIVE	5,519	-230	5,289	3,585	-230	3,355	-1,934	
Strategy Developments	1,914	0	1,914	1,191		1,191	-722	Projects need to be reprofiled and reprioritised. Delays because of Covid-19.
Land at Nantglas, Crosshands	300	0	300	0	0	0	-300	On hold pending review of priorities.
Purchase of Grillo Site, Burry Port	1,900	0	1,900	1,468	0	1,468	-432	Purchase price lower than expected.
Glanamman Industrial Estate Redevelopment	1,268	-230	1,038	750	-230	520	-518	Delays because of Covid-19. New £230k Valleys Task Force Grant Awarded which has substituted inhouse funding on this project.
Other Projects with Minor Variances	137	0	137	176	0	176	38	No major variances.

Capital Programme 2020/21

Capital Budget Monitoring - Report for October 2020 - Main Variances

DEPARTMENT/SCHEMES	Working Budget			Forecasted			Variance for Year £'000	Comment
	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000		
REGENERATION	62,492	-27,780	34,712	16,285	-11,095	5,190	-29,522	
Swansea Bay City Region Projects	37,273	-16,600	20,673	3,434	-3,434	1	-20,672	Project under development. Little spend expected in current year.
Rural Enterprise Fund	1,021	0	1,021	540	0	540	-481	Third party project delivery delayed due to Covid-19 now expected to complete in 2021/22
Transformation Commercial Property Development Fund	2,352	0	2,352	43	0	43	-2,309	Delays because of Covid-19.
Carmarthen Town Regeneration - Jacksons Lane	837	-81	755	89	-71	18	-738	Phase 2 of the project under review.
Pendine Iconic International Visitors Destination	3,243	-797	2,445	2,618	-797	1,820	-625	On budget overall - to be delivered by Spring/Summer 2021.
TAIS - Pendine Attractor Sand Area	30	-24	6	30	-24	6	0	
Llandeilo Market Hall	2,519	-700	1,819	1,127	700	1,827	8	Balance to slip into next financial year, completion expected Sept 2022
TRI Strategic Projects - Market Street North	1,881	-1,231	650	1,910	-1,231	680	30	CCC budget to roll over into 2021/22. WG grant to be utilised in full by the end of March 2021.
TRI Strategic Projects - Former YMCA Building, Stepney Street, Llanelli	1,931	-1,726	205	1,946	-1,726	220	15	CCC budget to roll over into 2021/22. WG grant to be utilised in full by the end of March 2021.
Cross Hands East Strategic Employment Site Phase 1	664	0	664	634	0	634	-29	Final costs and Part 1 payments.
Cross Hands East Phase 2	2,486	-994	1,493	2,522	-994	1,529	36	Delays because of Covid-19 and mine capping works.
Cross Hands East Plot 3 Development	6,537	-5,250	1,287	52	-1,275	-1,224	-2,511	Later start to project following negotiations with ABC legal team. WG grant will be received in advance and carried forward.
Carmarthen Western Gateway & Wetlands	173	-80	93	173	-80	93	0	Project delayed by Covid-19 restrictions but due to complete this financial year.
Laugharne Car Park	75	0	75	0	0	0	-75	Project delivery under review.
Ammanford Regeneration Development Fund	330	0	330	50	0	50	-280	Third party project delivery delayed owing to Covid-19. To be delivered and completed in 2021/22. Balance of funding can be use on other projects.
Transforming Towns (Green Infrastructure & Biodiversity) - Llanelli Library Green Wall	97	-97	0	100	-97	3	3	New project to be delivered in 2020/21.
Transforming Towns (Green Infrastructure & Biodiversity) - Carregamman Car Park Enhancements	200	-200	0	191	-191	0	0	New project to be delivered in 2020/21.
Other Projects with Minor Variances	843	0	844	825	-1,875	-1,050	-1,894	
TOTAL	196,006	-81,655	114,351	99,212	-48,014	51,198	-63,153	

Mae'r dudalen hon yn wag yn fwiadol

Chief Executive

Capital Budget Monitoring Scrutiny Report for October 2020

		Working Budget			Forecasted		
Scheme	Target Date for Completion	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000
Cross Hands West JV	Ongoing	51	0	51	51	0	51
Cross Hands West Infrastructure Development		51	0	51	51	0	51
Acquisitions of County Buildings		2,200	0	2,200	1,502	0	1,502
Purchase of Grillo Site, Burry Port	Purchase Complete	1,900	0	1,900	1,487	0	1,487
Land at Nantglas, Crosshands	on hold	300	0	300	0	0	0
Cwm Y Nant, Llanelli Dispersements		0	0	0	15	0	15
St David's Park	complete	5	0	5	7	0	7
St David's Block 2		4	0	4	4	0	4
St David's Park - Building 14		1	0	1	3	0	3
IT Strategy Developments	Ongoing	1,914	0	1,914	1,191	0	1,191
Upgrade to County Backbone Network		507	0	507	507	0	507
Digital Transformation		429	0	429	224	0	224
Ongoing PSBA Network Transformation		58	0	58	47	0	47
Evolving Threats and Essential Compliance Work		24	0	24	24	0	24
Strategic Digital Initiatives		36	0	36	36	0	36
WLGA Schools ICT Grant		76	0	76	55	0	55
Corporate Wifi Environment/Meraki Broadband Hardware		262	0	262	13	0	13
UPS 15KVA		14	0	14	0	0	0
Voice Infrastructure		19	0	19	0	0	0
ICT Grant for Schools		489	0	489	285	0	285
Rural Estates Capital Schemes	Ongoing	79	0	79	82	0	82
House Improvements - Farms		79	0	79	82	0	82
Industrial Redevelopments	Ongoing	1,268	-230	1,038	750	-230	520
Glamorgan Industrial Estate Redevelopment		1,268	-230	1,038	750	-230	520
NET BUDGET		5,517	-230	5,287	3,583	-230	3,353

Variance	Comment
0	
0	
-698	
-413	Purchase price lower than expected because of amount of remedial works required.
-300	Currently under review in relation to capital priorities
15	Funding by Capital Receipts
2	
0	
2	
-723	
0	
-205	Delay on DTSG Projects
-11	Telephone System upgrades
0	
0	
-21	
-249	Slip to 2021/22
-14	Slip to 2021/22
-19	Slip to 2021/22
-204	Ring Fenced/Commitment
3	
3	
-518	
-518	Slip to 2021/22
-1,934	

Regeneration

Capital Budget Monitoring - Scrutiny Report for October 2020

		Working Budget			Forecasted		
Scheme	Target Date for Completion	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000
Llanelli JV Projects	Ongoing	157	0	157	157	0	157
Swansea Bay City Region Projects		37,273	-16,600	20,673	3,452	-3,451	1
SB City Region - Pentre Awel - Phase 1	Ongoing	8,100	-8,100	0	427	-427	0
SB City Region - Digital Project	Ongoing	0	0	0	17	-17	0
SB City Region - Yr Egin	Ongoing	5,000	-5,000	0	3,000	-3,000	0
Swansea Bay City Region - Llanelli Leisure Centre - New Development	Ongoing	17,472	-3,500	13,972	7	-7	0
Swansea Bay City Region - Older People's Accommodation (including Llanelli Area)	Ongoing	6,701	0	6,701	1	0	1
County Wide Regeneration Funds		3,373	0	3,373	583	0	583
Rural Enterprise Fund	Mar '22	1,021	0	1,021	540	0	540
Transformation Commercial Property Development Fund	Mar '22	2,352	0	2,352	43	0	43
Llanelli, Cross Hands & Coastal Belt Area		9,703	-6,244	3,459	3,207	-2,269	938
Cross Hands East Strategic Employment Site Ph1	complete	663	0	663	633	0	633
Llanelli Regeneration Plan	ongoing	17	0	17	0	0	0
Cross Hands East Plot 3 Development	Dec '22	6,537	-5,250	1,287	52	-1,275	-1,223
Cross Hands East Phase 2	Dec '22	2,486	-994	1,492	2,522	-994	1,528
Ammanford, Carmarthen & Rural Area		7,290	-1,682	5,608	4,151	-1,672	2,479
Ammanford Town Centre Regeneration	ongoing	60	0	60	39	0	39
Coastal Communities - Parry Thomas Centre, Pendine	complete	23	0	23	23	0	23
Carmarthen Town Regeneration - Jacksons Lane (81086)	Phase 1 Mar '21	837	-81	756	89	-71	18
Laugharne Car Park	On Hold	75	0	75	0	0	0
Pendine Iconic International Visitors Destination	Nov '21	3,243	-797	2,446	2,618	-797	1,821
Carmarthen Western Gateway & Wetlands (RCDF 81192)	Mar '21	173	-80	93	173	-80	93
Opportunity Street (Ammanford Hwb)	complete	0	0	0	1	0	1
Ammanford Regeneration Development Fund		330	0	330	50	0	50
TAIS Pendine Attractor Sand Area	complete	30	-24	6	30	-24	6

Variance	Comment
0	
-20,672	
0	Any further commitments will be subject to instruction
0	
0	Phase 1 payment expected this year
-13,972	No large scale expenditure expected in 2020/21
-6,700	
-2,790	
-481	Third party project delivery delayed due to Covid-19 now expected to complete in 2021/22.
-2,309	Third party project delivery delayed due to Covid-19 now expected to complete in 2021/22.
-2,521	
-30	
-17	Match funding for TRI projects
-2,510	Later start to project following negotiations with ABC legal team
36	Reflects that ERDF grant monies are awaited
-3,129	
-21	
0	
-738	Main Scheme currently being reviewed
-75	Project on hold pending further discussions with highways/property
-625	
0	
1	
-280	Funding to be utilised on other projects
0	

Regeneration

Capital Budget Monitoring - Scrutiny Report for October 2020

		Working Budget			Forecasted		
Scheme	Target Date for Completion	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000
Llandeilo Market Hall	Ongoing	2,519	-700	1,819	1,128	-700	428
Town Centre Loan Scheme		92	0	92	92	0	92
Town Centre Loan Scheme (TCLS) - Llanelli	Mar '21	17	0	17	17	0	17
Town Centre Loan Scheme (TCLS) - Ammanford	Complete	75	0	75	75	0	75
Targeted Regeneration Initiative (TRI) Strategic Projects		4,603	-3,254	1,349	4,659	-3,720	939
TRI Property Enhancement Development Grant (PEDG)	ongoing	0	0	0	182	-172	10
TRI Sustainable Living Grant (SLG)	ongoing	0	0	0	80	-53	27
TRI Strategic Projects - Market Street North	ongoing	1,881	-1,231	650	1,910	-1,231	679
TRI Strategic Projects - Former YMCA Building, Stepney Street, Llanelli	ongoing	1,931	-1,726	205	1,946	-1,726	220
TRI Strategic Projects - Llanelli Goods Shed	ongoing	0	0	0	250	-250	0
Transforming Towns (Green Infrastructure & Biodiversity) - Llanelli Library Green Wall	ongoing	97	-97	0	100	-97	3
Transforming Towns (Green Infrastructure & Biodiversity) - Carregamman Car Park Enhancements	ongoing	200	-200	0	191	-191	0
TRI Strategic Projects	ongoing	494	0	494	0	0	0
NET BUDGET		62,491	-27,780	34,711	16,301	-11,112	5,189

Variance	Comment
-1,391	Balance to slip into next financial year, completion expected Sept 2022
0	
0	
0	
-410	
10	
27	
29	
15	
0	
3	
0	
-494	
-29,522	

Mae'r dudalen hon yn wag yn fwiadol

2020/21 Savings Monitoring Report
Policy & Resources Scrutiny Committee
3rd February 2021

1 Summary position as at : 31st October 2020

£125 k variance from delivery target

	2020/21 Savings monitoring		
	2020/21	2020/21	2020/21
	Target	Delivered	Variance
	£'000	£'000	£'000
Chief Executive	492	387	105
Corporate Services	205	185	20
	697	572	125

2 Analysis of delivery against target for managerial and policy decisions:

Managerial

£125 k Off delivery target

Policy

£0 k ahead of target

	MANAGERIAL			POLICY		
	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21
	Target	Delivered	Variance	Target	Delivered	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Chief Executive	492	387	105	0	0	0
Corporate Services	205	185	20	0	0	0
	697	572	125	0	0	0

3 **Appendix F (i)** : Savings proposals not on target

Appendix F (ii) : Savings proposals on target (for information)

Department	2019/20 Budget	FACT FILE	2020/21 Proposed	2020/21 Delivered	2020/21 Variance	EFFICIENCY DESCRIPTION	REASON FOR VARIANCE
	£'000		£'000	£'000	£'000		
Managerial - off Target							
Chief Executive							
Regeneration division	2,806	Regeneration Division	75	0	75	£50k increase in commercial income; £25k net effect of running costs following vacation of Nantyci Rural Development centre;	Commercial property income down even before the effect of Covid-19 (as per October monitoring); Nantyci not yet sold/ leased so most running costs are still relevant. Other non related underspends within admin buildings in the current year mean that the Nantyci shortfall is currently being covered in the current year.
Marketing & Media	1,921	Newly formed business unit comprising of translation, marketing and tourism, contact centres, customer services, press and communications.	30	0	30	£30k Customer Services realignment;	Service review due to be undertaken to identify the proposed savings. This has been delayed due to Covid-19 but should be resolved in the coming months.
Chief Executive Total			105	0	105		
Corporate Services							
Financial Services							
Accountancy	1,291	The provision of a decentralised accounting and financial management service, covering: • Technical Accounting (Preparation of final accounts, corporate accounting and taxation), • Management Accounting (Month end close, maintenance of financial records and budgeting) • Strategic Finance functions (projects, planning and financial advice to members)	20	0	20	Additional income from receivership work £20k,	Undeliverable as rates are set by legislation.
Corporate Services Total			20	0	20		

Policy - off Target

NOTHING TO REPORT

Department	2019/20 Budget	FACT FILE	2020/21 Proposed	2020/21 Delivered	2020/21 Variance	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	
Managerial - on Target						
Chief Executive						
Chief Executive	253		4	4	0	Reduction in supplies / postages
Information Technology	3,716	ICT Services underpins and contributes towards all that the Council delivers both internally as an organisation and externally to service users and communities, independently or in partnership. It is a vital function providing innovative opportunities for improving services and achieving our priorities in an efficient and effective way. IT Services is pivotal as an enabler of change and a vehicle for driving forward transformational improvement to all services. We have a workforce of 62.5 FTE's which has reduced over the last 7 years from 109 FTE's and we have delivered £3.1m in efficiency savings over the last 9 years. As we continually strive to deliver our solutions in an efficient manner and in line with our key Digital Strategies (Digital Transformation Strategy, Digital Technology Strategy, Digital Schools Strategy) our major savings in future years are delivered through rationalising software and hardware maintenance contracts that we hold.	121	121	0	Over the years the BT technology has been superseded with modern Internet Protocol based solutions. ICT Services will be replacing this aging infrastructure in order to utilise the latest technology which in turn will deliver the £100k revenue efficiencies. £21k will be delivered by reducing costs on annual maintenance agreements.
Corporate Policy	762	Policy & Partnership team deal with Council policy (in relation to Welsh language, sustainable development, equalities and tackling poverty), consultation & engagement with elected members and public, data & information, and public service collaboration through the Local Service Board (soon to be statutory requirement) and related strategic partnerships	39	39	0	£35k will be delivered by taking a different approach to the way work is undertaken within Corporate Policy; £4k reduction in staff travelling.
Statutory services/Coroners	401	The Coroner is an independent Judicial Officer and discharges his duties in accordance with the Coroners Act 1988. He has a duty to investigate deaths reported to him where he has reasonable cause to suspect that the death was violent, unnatural or of unknown cause or which occurs in prison.	19	19	0	Reduction in staffing costs.
Legal and Administration	1,383	The function of Legal Services is to provide a comprehensive legal service to the Council, including advocacy and representation in Courts and Tribunals and Inquiries in the course of civil and criminal proceedings and provision of advice at Committee Meetings. The Service also prepares legal documentation and gives legal advice across the whole range of Council functions. The Head of Administration and Law is also the Council's Monitoring Officer. The Service also co-ordinates responses to the Public Services Ombudsman for Wales, in relation to complaints related to the carrying out of the Council's functions and part of the South West Wales Legal Service.	41	41	0	Reduction of budgets following the introduction of paperless working. Review of support provision within Land charges.
Regeneration division	2,806	Regeneration Division	43	43	0	£35k reduction within Economic Development activity (£15K from Community Development and External Funding budget, £10K from Rural Carmarthen, Ammanford Town Centres budget and £10k from Econ Dev Llanelli, Cross Hands, Coastal, Business infrastructure budget) £8k reduction in electricity within the Industrial estate.
People Management division	2,753	Includes Payroll, People Services, Organisational Development, Employee Wellbeing , HR Development Team, Business and Project Support	88	88	0	£38k service review; £25k admin review; £25k review of SLAs.
Marketing & Media	1,921	Newly formed business unit comprising of translation, marketing and tourism, contact centres, customer services, press and communications.	32	32	0	£12k reduction in spend on tourism promotion; £20k reduction in external services
Chief Executive Total			387	387	0	

Department	2019/20 Budget	FACT FILE	2020/21 Proposed	2020/21 Delivered	2020/21 Variance	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	
Corporate Services						
Financial Services						
Accountancy	1,291	The provision of a decentralised accounting and financial management service, covering: • Technical Accounting (Preparation of final accounts, corporate accounting and taxation), • Management Accounting (Month end close, maintenance of financial records and budgeting) • Strategic Finance functions (projects, planning and financial advice to members)	85	85	0	Additional income from receivership work £20k, £15K reduction in subscriptions; restructuring £70k;
Rent Allowances recovered		Expenditure, which is subsidised by the Department for Work & Pensions, in respect of reductions in rent, granted to council and private tenants (i.e. tenants of private landlords and Registered Social Landlords) that are on low income	50	50	0	Increase income target to reflect the high efficiency of overpayment recovery
Revenues & Benefits	Various cost centres within Division	Revenue Services Unit is responsible for the administration, billing and collection of Council Tax and Non Domestic Rates from householders and businesses, respectively. The unit also undertakes on behalf of all the Authority's departments, the billing, collection and recovery of miscellaneous income (known as Sundry Debts) as well as having responsibility for operating the Councils full time cash offices with the associated back-office income functions. In addition to these income collection functions, the unit is responsible for the administration of Council Tax Reduction scheme (formerly Council Tax Benefit) and Housing Benefit schemes which help low income households meet their rent and /or Council Tax. Although the Housing Benefits Fraud Investigation function formerly undertaken within the unit transferred the Department for Work & Pensions in 2015/16 the service continues to investigate Council tax Reduction fraud.	50	50	0	Further evaluation and potential realignment of division following implementation of Universal Credit
Corporate Services Total			185	185	0	

Policy - on Target

NOTHING TO REPORT

PWYLLGOR CRAFFU POLISI AC ADNODDAU 3 CHWEFROR 2021

POLISI A STRATEGAETH RHEOLI'R TRYSORLYS 2021-22

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

- Ystyried cynnwys yr adroddiad oherwydd y bydd rôl craffu'r Pwyllgor ynghylch gweithgarwch a swyddogaeth yn ystod y flwyddyn nesaf, wedi ei selio ar y wybodaeth yma.

Rhesymau:

- Er mwyn cydymffurfio â Chôd Ymarfer diwygiedig CIPFA o ran Rheoli'r Trysorlys a Chôd Materion Ariannol CIPFA 2017.
- I gymeradwyo Strategaeth Rheoli'r Trysorlys ar gyfer 2021-22, sy'n cynnwys Dangosyddion Rheoli'r Trysorlys, Dangosyddion Darbodus, y Datganiad MRP, y Strategaeth Fuddsoddi a'r argymhellion sydd ynddynt.

Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad: OES

Bwrdd Gweithredol ar y 22 Chwefror 2021
Cyngor Llawn ar y 3 Mawrth 2021

Aelod y Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:

- Cyng. David Jenkins (Adnoddau)

Y Gyfarwyddiaeth: Gwasanaethau Corfforaethol Enw Pennaeth y Gwasanaeth: Chris Moore Awdur yr Adroddiad: Anthony Parnell	Swyddi: Cyfarwyddwr Gwasanaethau Corfforaethol Rheolwr Pensiwn a Buddsodiadau Gyllidol	Rhifau Ffôn / Cyfeiriadau E-Bost: Rhif ffôn: 01267 224120; E-bost: CMoore@sirgar.gov.uk Rhif ffôn: 01267 224180; E-bost: AParnell@sirgar.gov.uk
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EXECUTIVE SUMMARY

POLICY & RESOURCES SCRUTINY COMMITTEE

3RD FEBRUARY 2021

Treasury Management Policy and Strategy 2021-22

BRIEF SUMMARY OF PURPOSE OF REPORT.

The attached report provides members with a copy of the proposed Treasury Management Policy and Strategy 2021-22.

As per the revised CIPFA Code of Practice on Treasury Management 2017, the Council is required to maintain a Treasury Management Policy which states the policies and objectives of the Authority's treasury management activities and to have its Treasury Management Policy & Strategy approved annually before the start of the financial year.

Section B (1.1 (Clause 4)) in the attached Treasury Management Policy and Strategy 2021-22 nominates the Policy and Resources Scrutiny Committee to be responsible for ensuring effective scrutiny of the treasury management strategy and policies.

Section D (9) of the strategy includes a section on member and officer training. This Council has addressed this important issue by:

- Reviewing the treasury management function and ensuring officers are suitably qualified

Arranging external training for officers

- Arranging on-going training for those members charged with governance of the treasury management function

This strategy is brought to this Committee before consideration at Executive Board on 22nd February 2021 and County Council on 3rd March 2021.

The Treasury Management Policy and Strategy 2021-22 and the appendices are attached.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: C Moore **Title** Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	YES	YES	NONE	NONE	NONE	NONE

1. Policy, Crime & Disorder and Equalities

Council has adopted the revised CIPFA Code of Practice on Treasury Management 2017, one of the requirements is that an annual Treasury Management Policy and Strategy be approved by Council before the commencement of the year to which it relates.

2. Legal

Under the Local Government Act 2003 and the revised CIPFA Code of Practice on Treasury Management 2017, local authorities must set out their Treasury Management Indicators that relate to the Authority's capital spending and its borrowing.

3. Finance

The Treasury Management Policy and Strategy details the procedures that the Authority adheres to in managing its treasury management function.

Interest paid and earned has a direct impact on the Authority's Revenue Budget. The estimated projections are included in the Budget which is to be presented to Council on 3rd March 2021.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: C Moore **Title** Director of Corporate Services

1. Local Member(s) – N/A

2. Community / Town Council – N/A

3. Relevant Partners – N/A

4. Staff Side Representatives and other Organisations – N/A

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No. / Locations that the papers are available for public inspection
CIPFA – Treasury Management in the Public Services – Code of Practice – Revised	Corporate Services Department, County Hall, Carmarthen
The Local Government Act 2003	http://www.legislation.gov.uk/ukpga/2003/26/contents
Guidance issued by the Welsh Assembly	Corporate Services Department, County Hall, Carmarthen
CIPFA – Prudential Code for Capital Finance in Local Authorities – Revised	Corporate Services Department, County Hall, Carmarthen

Mae'r dudalen hon yn wag yn fwriadol

POLICY & RESOURCES SCRUTINY COMMITTEE

DATE: 3rd FEBRUARY 2021

REPORT OF THE DIRECTOR OF CORPORATE SERVICES

TREASURY MANAGEMENT POLICY AND STRATEGY 2021-2022

A. INTRODUCTION

This Council carries out its treasury management activities in accordance with the Prudential Code of Practice first developed for public services in 2002 by the Chartered Institute of Public Finance and Accountancy (CIPFA). This Code was last revised in 2017. The Council also carries out its treasury management activities in accordance with the CIPFA Treasury Management Code of Practice 2017.

The revised Code identifies three key principles:

1. The Council should put in place formal and comprehensive objectives, policies and practices, strategies and reporting arrangements for the effective management and control of their treasury management activities.
2. The Council's policies and practices should make clear that the effective management and control of risk are prime objectives of their treasury management activities and that responsibility for these lies clearly within their Council. The Council's appetite for risk should form part of its annual strategy and should ensure that priority is given to security and liquidity when investing funds.
3. The Council should acknowledge that the pursuit of value for money in treasury management, and the use of suitable performance measures, are valid and important tools for responsible Councils to employ in support of their business and service objectives; and that within the context of effective risk management, the Council's treasury management policies and practices should reflect this.

B. CIPFA PRUDENTIAL CODE AND CIPFA TREASURY MANAGEMENT CODE OF PRACTICE

1. This Council has adopted the Revised CIPFA Prudential Code 2017 and the Revised CIPFA Treasury Management Code of Practice 2017.

This Revised CIPFA Treasury Management Code of Practice 2017 stipulates that there should be Member scrutiny of the treasury policies, Member training and awareness and regular reporting.

The objectives of the Revised Prudential Code 2017 are to ensure, within a clear framework, that the capital expenditure plans of local authorities are affordable, prudent and sustainable, and that treasury management decisions are taken in accordance with good professional practice and in full understanding of the risks involved and how these risks will be managed to levels that are acceptable to the organisation.

The Council has adopted the four clauses shown in 1.1 as part of its financial procedure rules and the Policy and Resources Scrutiny Committee is responsible for ensuring effective scrutiny of the treasury management strategy and policies, before making recommendations to Council.

The policies and parameters within this report provide an approved framework within which the officers undertake the day-to-day treasury activities.

1.1 The four clauses adopted are:

- (1) This Council will create and maintain, as the cornerstones for effective treasury management:
 - A treasury management policy statement, stating the policies, objectives and approach to risk management of its treasury management activities
 - Suitable Treasury Management Practices (TMPs), setting out the manner in which the Council will seek to achieve those policies and objectives, and prescribing how it will manage and control those activities
- (2) The Council is required to receive and approve, as a minimum, three main reports each year, which incorporate a variety of policies, estimates and actuals.

This Council will therefore receive reports on its treasury management policies, practices and activities, including an annual strategy in advance of the year, a mid year review report and a year end annual report, in the form prescribed in its TMPs. This treasury management policy and strategy report includes the prudential indicators (Appendix D) and the minimum revenue provision (MRP) policy (Appendix E).

- (3) This Council delegates responsibility for the implementation and monitoring of its treasury management policies and practices, and the quarter 1 and quarter 3 monitoring reports to the Executive Board, and for the execution and administration of treasury management decisions.

to the Director of Corporate Services, who will act in accordance with the Council's policy statement and TMPs and CIPFA's Standard of Professional Practice on Treasury Management.

- (4) The Council nominates the Policy and Resources Scrutiny Committee to be responsible for ensuring effective scrutiny of the treasury management strategy and policies.

1.2 **Treasury Management Practices (TMPs)**

The Schedule of TMPs is shown in Appendix A.

C. TREASURY MANAGEMENT POLICY

1. This Council defines its Treasury Management activities as:

The management of the Council's borrowing, investments and cash flows, its banking, money market and capital market transactions and the effective control of the risks associated with those activities, and the pursuit of optimum performance consistent with those risks.

'Investments' in the definition above covers all the financial assets of the organisation, as well as other non-financial assets (including loans and other non-specified investments) which the organisation holds primarily for financial returns, such as investment property portfolios. This may therefore include investments which are not managed as part of normal treasury management or under treasury management delegations. All investments require consideration of appropriate investment management and the risk management framework under this Revised CIPFA Treasury Management Code of Practice 2017.

2. This Council regards the successful identification, monitoring and control of risk to be the prime criteria by which the effectiveness of its treasury management activities will be measured. Accordingly, the analysis and reporting of treasury management activities will focus on their risk implications for the Council.

The key principle of control of risk and optimising returns consistent with the organisation's risk appetite should be applied across all investment activities, including more commercially based investments.

3. This Council acknowledges that effective treasury management will provide support towards the achievement of its business and service objectives. It is therefore committed to the principles of achieving value for money in treasury management and to employing suitable comprehensive performance measurement techniques, within the context of effective risk management.

This policy holds indefinitely until circumstances dictate that a change is necessary. Any changes must be done before the beginning of the financial year to which it relates, or in exceptional circumstances within the year if approved by Council.

It is the Director of Corporate Services responsibility to implement and monitor the Treasury Management Policy, revising and re-submitting the Policy for consideration to the Executive Board and the Council if changes are required.

D. TREASURY MANAGEMENT STRATEGY 2021-2022

1. INTRODUCTION

- 1.1 The Treasury Management Strategy provides details of the expected activities of the Treasury Management function in the financial year 2021-22.
- 1.2 The Council's financial procedure rules require an annual strategy to be reported to Council outlining the expected treasury activity for the forthcoming year. A key requirement of this report is to explain both the risks, and the management of the risks, associated with the treasury service. Further treasury reports will be produced during the year if the strategy needs updating and a year-end annual report on actual activity for the year.
- 1.3 The strategy covers:
 - Treasury Indicators and Limits on Activity
 - Prospects for interest rates
 - Borrowing Strategy
 - Investment Strategy
 - Debt Rescheduling and Premature Repayment of Debt
 - Performance Indicators
 - Treasury Management Advisers
 - Member and Officer Training

2. TREASURY INDICATORS AND LIMITS ON ACTIVITY

- 2.1 Under the Local Government Act 2003 and the Prudential Code for Capital Finance in Local Authorities (revised in 2017), local authority capital spending and its borrowing to fund that spending is limited by what is affordable, prudent and sustainable. The Prudential Code sets out a number of indicators that enables the authority to assess affordability and prudence. The Prudential Indicators that related to Treasury Management were reclassified as Treasury Indicators in recent revisions of the Codes and are:
 - Upper Limit for Fixed Rate Exposure
 - Upper Limit for Variable Rate Exposure
 - Limits on the Maturity Structure of Borrowing
 - Limits on Total Principal Sums Invested Long Term

The Prudential Code also requires that the total external debt does not exceed the Authorised Limit for external debt and only exceeds the Operational Boundary for external debt temporarily on occasions due to variation in cash flow. Full Council when approving the Budget sets the Authorised Limit and the Operational Boundary.

2.2 The Treasury Management Indicators for 2021-22 are:

2.2.1 Interest rate exposure limits for 2021-22 are estimated as follows:

Estimated Average Position for 2021-22			
	Fixed Interest Rate	Variable Interest Rate	Total
	£m	£m	£m
Borrowed	+460	+3	+463
Invested	(20)	(30)	(50)
Net Debt	+440	(27)	+413
Proportion of Total Net Debt	+107%	(7%)	+100%

It is recommended that the following maximum exposure limits are adopted:

	Fixed Interest Rate	Variable Interest Rate
Proportion of Total Net Debt	+125%	+5%

2.2.2 It is recommended that the following exposure limits for 2021-22, 2022-23 and 2023-24 are adopted:

Interest Rate Exposures	2021-22	2022-23	2023-24
	Upper	Upper	Upper
	£m	£m	£m
Limits on fixed interest rates based on net debt	516	543	544
Limits on variable interest rates based on net debt	52	54	54

2.2.3 It is recommended that the Council sets upper and lower limits for the maturity structure of its borrowing as follows:

	Upper Limit	Lower Limit
Under 12 months	15%	0%
12 months to 2 years	15%	0%
2 years to 5 years	50%	0%
5 years to 10 years	50%	0%
10 years to 20 years	50%	0%
20 years to 30 years	50%	0%
30 years to 40 years	50%	0%
40 years and above	50%	0%

2.2.4 Maximum principal sums invested longer than 365 days:

	2021-22	2022-23	2023-24
	£m	£m	£m
Maximum principal sums invested longer than 365 days	10	10	10

3. **PROSPECTS FOR INTEREST RATES**

Based on the average projection from a number of sources we can expect the trend in the Bank Rate, set by the Monetary Policy Committee, over the next three years to be as follows:

	Current	2021-22	2022-23	2023-24
	%	%	%	%
Average Bank Rate	0.10	0.10	0.10	0.10

4. **BORROWING STRATEGY 2021-22 – 2023-24**

- 4.1 There is expected to be little upward movement in PWLB rates over the next few years as it will take economies, including the UK, a prolonged period to recover all the momentum they have lost in the sharp recession caused during the coronavirus shut down periods. From time to time, gilt yields, and therefore PWLB rates, can be subject to exceptional levels of volatility due to geo-political, sovereign debt crisis, emerging market developments and sharp changes in investor sentiment. Such volatility could occur at any time during the forecast period.

The uncertainty over future interest rates increases the risks associated with treasury activity. As a result the Council will continue to take a cautious approach to its treasury management strategy.

Against this background and the risks within the economic forecast, caution will be adopted with the 2021-22 treasury operations. The Director of Corporate Services will monitor interest rates in financial markets and adopt a pragmatic approach to changing circumstances.

The Director of Corporate Services, under delegated powers, will take the most appropriate form of borrowing depending on the prevailing interest rates at the time, taking into account the risks shown in the forecast above.

The option of delaying borrowing and utilising investment balances is likely to continue for the time being. However, this will be carefully reviewed to avoid incurring higher borrowing costs in later years due to an overall current trend of rising rates. This strategy reduces counterparty risk and hedges against any expected fall in investment returns.

The Council continues to maintain an under-borrowed position. This means that the capital borrowing need (the Capital Financing Requirement), has not been fully funded with loan debt as cash supporting the Council's reserves, balances and cash flow has been used as a temporary measure. This strategy is prudent as investment returns are low and counterparty risk should still be considered.

The timing of any action is important, and the Director of Corporate Services and treasury advisers will monitor prevailing rates for any opportunities during the year.

The Council will be expected to borrow £26m for the Carmarthenshire led projects within the Swansea Bay City Deal partnership from 2021-22 to 2023-24.

4.2 The Council's agreed policy is to raise funding only from the following:

Public Works Loan Board (PWLB)
Market Long-Term
Market Temporary
Local Authorities
Overdraft
Internal Capital Receipts and Revenue Balances
Leasing
Welsh Government and Central Government

The recent HM Treasury decision to reduce PWLB interest rates by 1% will likely mean that PWLB will be the preferred borrowing option for council led projects.

4.3 Borrowing in advance of need

The Council has some flexibility to borrow funds in advance of future years.

The Director of Corporate Services may do this under delegated power where, for instance, a sharp rise in interest rates is expected, and so borrowing early at fixed interest rates will be economically beneficial or meet budgetary constraints. Whilst the Director of Corporate Services will adopt a cautious approach to any such borrowing, where there is a clear business case for doing so borrowing may be undertaken to fund the approved capital programme or to fund future debt maturities.

Borrowing in advance will be made within the constraints that:

- It will be limited to no more than 50% of the expected increase in borrowing needed (CFR) over the three year planning period; and
- Not to borrow more than 12 months in advance of need.

Risks associated with any advance borrowing activity will be appraised in advance and subsequently reported through the quarterly reporting mechanism.

5. **INVESTMENT STRATEGY 2021-22 – 2023-24**

5.1 **INTRODUCTION**

5.1.1 The Investment Strategy has been prepared with due regard to:

The Local Government Act 2003

Regulations made under the Local Government Act 2003 (as amended)

2017 Revised Prudential Code for Capital Finance in Local Authorities

The Local Authorities (Capital Finance and Accounting) (Wales) (Amendment) Regulations 2008

2017 Revised CIPFA Treasury Management in the Public Services: Code of Practice and Cross-Sectoral Guidance Notes

CIPFA Treasury Management Guidance Notes 2018

Welsh Government's Guidance on Local Government Investments 2019 ("the Guidance")

5.1.2 **Key Objectives**

The Council's investment strategy primary objectives are:

- safeguarding the repayment of the principal and interest of its investments on time
- ensuring adequate liquidity
- the investment return

The coronavirus outbreak has caused significant economic damage to the UK and economies around the world. After the Bank of England took emergency action in March 2020 to cut Bank Rate to first 0.25%, and then to 0.10%, it left Bank Rate unchanged at its subsequent meetings to 16th December 2020. As shown in the forecast table (3) above, no increase or decrease in the Bank Rate is expected in the near-term as economic recovery will be only gradual and therefore prolonged. These forecasts were based on an assumption that a Brexit trade deal would be agreed by 31 December 2020, as this has now occurred, these forecasts do not need to be revised. We continue to have robust procedures and controls in place to manage and monitor our investment strategy.

5.1.3 **Risk Benchmarking**

A development in the revised Codes and the WG Investment Guidance is the consideration and approval of security and liquidity benchmarks. Yield benchmarks are currently widely used to assess investment performance. Discrete security and liquidity benchmarks are new requirements to the Member reporting, although the application of these is more subjective in nature. The approach taken is attached at Appendix B.

5.2 **DEFINITIONS**

- 5.2.1 A credit rating agency is one of the following three companies: Fitch Ratings Limited (Fitch), Moody's Investors Service Limited (Moody's) and Standard and Poors (S&P).
- 5.2.2 An investment is something held or a transaction which relies upon the power in section 12 of the 2003 Act. That is for any purpose relevant to its functions under any enactment, or for the purposes of the prudent management of its financial affairs. This covers all of the financial and non-financial assets a local authority has invested money into primarily or partially for the purpose of generating a surplus including investment property. As such this may include investments that are not managed as part of normal treasury management processes or under treasury management delegations (such as loans to third parties or other non specified investments).

5.3 **INVESTMENT COUNTERPARTIES**

The Director of Corporate Services maintains a counterparty list in compliance with the following criteria and revises the criteria and submits them to Council for approval as necessary. This criteria is separate to that which approves Specified and Non-Specified investments as it selects which counterparties the Council will approve rather than defining what its investments are. The rating criteria use the lowest common denominator method of selecting counterparties and applying limits. This means that the application of the Council's minimum criteria will apply to the lowest available rating for any institution. For instance if an institution is rated by all three agencies, two meet the Council's criteria, the other does not, the institution will fall outside the lending criteria.

5.3.1 **Investment Counterparty Selection Criteria**

The primary principle governing the Council's investment criteria is the security of its investments, although the yield or return on the investment is also a key consideration. To meet this main principle the Council will ensure:

- It maintains a policy covering both the categories of investment types it will invest in, criteria for choosing investment counterparties with adequate security, and monitoring their security. This is set out in the Specified and Non-Specified investment sections.
- It has sufficient liquidity in its investments. For this purpose it will set out procedures for determining the maximum periods for which funds may prudently be committed. These procedures also apply to the Council's prudential indicators covering the maximum principal sums invested.

5.3.2 **UK Banks 1 (Upper Limit)** – This Council will use banks which have at least the following Fitch, Moody's and Standard & Poors ratings:

Short Term – F1, P-1, A-1

Long Term – AA-, Aa3, AA-

UK Banks 2 & UK Building Societies (Middle Limit) – This Council will use all UK Banks and Building Societies which have at least the following Fitch, Moody's and Standard & Poors ratings:

Short Term – F1, P-1, A-1

Long Term – A, A2, A

UK Banks Part Nationalised – Royal Bank of Scotland Group plc (Royal Bank of Scotland and National Westminster Bank). These banks will be included if they continue to be part nationalised or they meet the ratings above.

The UK Government (HM Treasury) holds 62.4% stake within Royal Bank of Scotland Group.

UK part nationalised banks which are significantly owned by the UK Government will be included as investment counterparties, as long as they continue to have appropriate UK Government support. UK Government backing provides a credit quality overlay above that provided by the credit rating agencies. The Royal Bank of Scotland Group plc will be monitored for any material reduction in state ownership or deterioration of the credit rating which suggests a reduction of its use or suspension from the counterparty list.

UK Banks 3 – The Council's banker for transactional purposes if it falls below the above criteria. Balances will be minimised in both monetary size and time.

Money Market Funds – The Council will use AAA rated money market funds (MMFs) that are credit rated by at least two of the three credit rating agencies. These are pooled investment funds whose primary aims are liquidity and security and allow daily access to funds when required. Their operations are strictly regulated by the credit rating agencies and are operated by a financial institution but do not form part of that institution's assets, should the sponsoring institution fail the MMF is entirely separate, effectively owned by the investors. These types of funds invest in a range of instruments and institutions and therefore provide a low risk spread of investments.

The Money Market Fund reforms in Europe came into force during 2018 and provide the Council the opportunity to invest into Public Debt Constant Net Asset Value ("CNAV") MMFs and Low Volatility NAV ("LVNAV") MMFs. This is consistent with our current strategic approach. The exceptional economic environment and low interest rate position has seen some MMFs changing operating procedures whilst keeping very low risk. The Director of Corporate Services will continue to utilise and monitor these instruments.

UK Government (including gilts and the DMADF)

Local Authorities (including Police & Fire Authorities)

5.3.3 Use of additional information other than credit ratings

Under the Revised CIPFA Treasury Management Code of Practice 2017 the Council is still required to supplement credit rating information. Whilst the above criteria relies primarily on the application of credit ratings to provide a pool of appropriate counterparties for officers to use, additional operational market information will be applied before making any specific investment decision from the agreed pool of counterparties. The market information (for

example, negative rating watches/outlooks, individual/viability and support ratings) will be applied to compare the relative security of differing investment counterparties.

The UK Government, like other Western governments, have initiated market regulations which mean they may not bail out financial institutions in the future. This process included ring-fencing part of each bank and requiring greater capital buffers are held on the balance sheets. The current Covid issues have demonstrated that these procedures have helped reduce risk. Whilst this is not a cause for concern, officers will continue to monitor the situation and changes to future investment strategies are likely.

5.3.4 The time and monetary limits for institutions on the Council's Counterparty List are shown below: (Specified and Non-Specified Investments)

	Fitch	Moody's	Standard & Poors	Money Limit	Time Limit
Upper Limit Category - Short Term and Long Term	F1 AA-	P-1 Aa3	A-1 AA-	£10m	3 years
Middle Limit Category - Short Term and Long Term	F1 A	P-1 A2	A-1 A	£7m	1 year
Part Nationalised	-	-	-	£7m	1 year
Council's Banker (not meeting criteria above)	-	-	-	£3m	1 day
Other Institution Limits (per institution):					
- Any One Local Authority (including Police & Fire authorities)	-	-	-	£5m	1 year
- Any AAA Rated Money Market Fund CNAV	-	-	-	£5m	Daily Liquidity
- Any AAA Rated Money Market Fund LVNAV	-	-	-	£5m	Daily Liquidity
- Debt Management Account Deposit Facility (DMO)	-	-	-	£70m*	6 months (max term specified by DMO)

*Note: the increase in the DMO money limit from £40m to £70m was approved in April 2020 and reported in the 1st April 2020 – 30th June 2020 treasury management report

5.3.5 There are two types of investments – Specified and Non Specified

5.3.5.1 Specified Investments

These investments are sterling investments of not more than one-year maturity. These are low risk assets where the possibility of loss of principal or investment income is small. These would include investments with:

- (1) The UK Government (such as the Debt Management Office, UK Treasury Bills or a Gilt with less than one year to maturity).
- (2) A local authority, police authority and fire authority.
- (3) Pooled investment vehicles (such as money market funds) that have been awarded a high credit rating by a credit rating agency.
- (4) A body that has high credit quality (which may include a high credit rating by a credit rating agency) such as a bank or building society. For this purpose a body with a short term rating of F1, P-1 or A-1 will be considered high quality.

5.3.5.2 Non Specified Investments

These investments are any other type of investment (i.e. not defined as Specified in 5.3.5.1 above).

The maximum sum and time limit for non specified investments is £5m per counterparty with a limit of 3 years.

Non specified investments will only be made in local authorities, bodies with a minimum long-term credit rating of AA– and in AAA rated money market funds.

Note: Barclays Bank plc are the Council's current bankers. If the bank's credit rating falls and it no longer meets the criteria for a specified investment no deposits will be made with the exception of the bank's overnight (Moneymaster) account. The average day to day operational balance on the account will not exceed £3m in these circumstances. See 5.3.4 above.

In the normal course of the council's cash flow operations it is expected that both Specified and Non-specified investments will be utilised for the control of liquidity as both categories allow for short term investments.

The use of longer term instruments (greater than one year from inception to repayment) will fall in the non-specified investment category. These instruments will only be used where the Council's liquidity requirements are safeguarded.

5.3.6 The Monitoring of Investment Counterparties

The credit ratings of counterparties are monitored regularly. The officers receive credit rating information (changes, rating watches and rating outlooks) from the treasury management advisers as and when ratings change, and counterparties are checked promptly. Occasionally ratings may be downgraded when an investment has already been made. The criteria used are such that a minor downgrading should not affect the full receipt of the principal and interest.

Any counterparty failing to meet the criteria will be removed from the list immediately by the Director of Corporate Services, and if required new counterparties which meet the criteria will be added to the list.

The criteria for choosing counterparties set out above provide a sound approach to investment in “normal” market circumstances. Whilst Members are asked to approve this base criteria above, under exceptional current market conditions the Director of Corporate Services will temporarily restrict further investment activity to those counterparties considered of higher credit quality than the minimum criteria set out for approval. These restrictions will remain in place until the banking system returns to “normal” conditions. Similarly the time periods for investments will be restricted.

This would potentially necessitate greater use of the Debt Management Account Deposit Facility (DMADF – an account within the Government Debt Management Office (DMO) which accepts local authority deposits), Money Market Funds, guaranteed deposit facilities and strongly rated institutions offered support by the UK Government. The credit criteria have been amended to reflect these facilities.

The present Schedule of Approved Counterparties for Lending 2021-22 is shown in Appendix C.

5.4 **LIQUIDITY OF INVESTMENTS**

Investments are made for periods which coincide with the Council’s cash flow requirements.

When investing (within the risk criteria mentioned above), the aim is to achieve a level of return greater than would be secured by internal investments. The “7 day LIBID rate” is the recognised rate which the Council aims to exceed when lending money. The LIBID regime is changing to a new format which is currently being finalised. This new regime will be reported to members once it has been clarified.

5.5 **INVESTMENTS THAT ARE NOT PART OF TREASURY MANAGEMENT ACTIVITY**

This Council recognises that investment in other financial assets and property primarily for financial return, taken for non-treasury management purposes, requires careful investment management. Such activity includes loans supporting service outcomes, investments in subsidiaries, and investment property portfolios.

This Council will ensure that all the organisation’s investments are covered in the investment strategy or equivalent, and will set out, where relevant, the organisation’s risk appetite and specific policies and arrangements for non-treasury investments. It will be recognised that the risk appetite for these activities may differ from that for treasury management.

The council will maintain a schedule setting out a summary of existing material investments, subsidiaries, joint ventures and liabilities including financial guarantees and the organisation's risk exposure.

Prior to making these investments, appropriate financial review procedures will be undertaken, including due diligence covering Profit and Loss, Balance Sheet, cash flow monitoring, etc, as appropriate.

This Council acknowledges that it is critical that due diligence processes and procedures reflect the additional risk an organisation is taking on. Due diligence procedures should ensure effective scrutiny of proposed investments, identification of risk to both capital and returns, any external underwriting of those risks, and the potential impact on the financial sustainability of the organisation if those risks come to pass. Where necessary independent and expert advice should be sought to ensure due diligence is suitably robust.

5.6 **CLIMATE CHANGE**

Where possible, consideration will be given to the disclosure of long term climate risks associated with investments, though in regard to treasury investments, any tangible information will be limited. Greater disclosure for non specified investments and loans to third parties may be appropriate depending on the type of activity.

6. **DEBT RESCHEDULING AND PREMATURE REPAYMENT OF DEBT**

As short term borrowing rates are likely to be considerably cheaper than longer term fixed interest rates, there may be potential opportunities to generate savings by switching from long term debt to short term debt. However, these savings will need to be considered in the light of the current treasury position and the size of the cost of debt repayment (premiums incurred).

The reasons for any rescheduling to take place will include:

- the generation of cash savings and / or discounted cash flow savings
- helping to fulfil the treasury strategy
- enhance the balance of the portfolio (amend the maturity profile and/or the balance of volatility).

Consideration will also be given to identify if there is any residual potential for making savings by utilising current investment balances to repay debt prematurely as short term rates on investments are likely to be lower than rates paid on current debt.

7. **PERFORMANCE INDICATORS**

The Code of Practice on Treasury Management requires the Council to set performance indicators to assess the adequacy of the treasury function over the year. These are distinct historic indicators, as opposed to the prudential indicators, which are predominantly forward looking. Examples of performance indicators used for the treasury function are:

- Debt (Borrowing) – New borrowing rate to outperform the average PWLB rate for the year
- Debt – Average weighted debt rate movement year on year
- Investments – Return on Investments to outperform the average “7 day LIBID rate”

The results of these indicators will be reported in the Treasury Management Annual Report for 2021-22.

8. **TREASURY MANAGEMENT ADVISERS**

The Council has a contract with Link Group as its external treasury management advisor.

Link provides a range of services which include:

- Technical support on treasury matters, capital finance issues including IFRS 16 leases and the drafting of Member reports
- Economic and interest rate analysis
- Debt advisory services which includes advice on the timing of borrowing
- Debt rescheduling advice surrounding the existing portfolio
- Generic investment advice on interest rates, timing and investment instruments
- Credit ratings/market information service comprising the three main credit rating agencies

Under current market rules and the Revised CIPFA Treasury Management Code of Practice 2017 the responsibility for treasury management decisions remains with the Council at all times ensuring that undue reliance is not placed upon the external service providers.

The Council also recognises that there is value in employing external providers of treasury management services in order to acquire access to specialist skills and resources. The Council will ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review.

9. MEMBER & OFFICER TRAINING

The increased member consideration of treasury management matters and the need to ensure officers dealing with treasury management are trained and kept up to date requires a suitable training process for members and officers. This Council has addressed this important issue by:

- Reviewing the treasury management function and ensuring officers are suitably qualified
- Arranging external training for officers
- Arranging training for those members charged with governance of the treasury management function

RECOMMENDATIONS

- 1. That Council formally approves the Treasury Management Policy and Strategy for 2021-2022 and recommendations therein.**
- 2. That Council formally approves the Treasury Management Indicators, Prudential Indicators, the MRP Statement, the Investment Strategy and recommendations therein.**

TREASURY MANAGEMENT PRACTICES (TMPs)

TMP1 RISK MANAGEMENT

General Statement

This Council regards a key objective of its treasury management activities to be the security of the principal sums it invests. Accordingly, it will ensure that robust due diligence procedures cover all external investment.

The Director of Corporate Services or those persons to which delegation has been made will design, implement and monitor all arrangements for the identification, management and control of treasury management risk, will report at least annually on the adequacy/suitability thereof, and will report, as a matter of urgency, the circumstances of any actual or likely difficulty in achieving the organisation's objectives in this respect, all in accordance with the procedures set out in TMP 6 Reporting requirements and management information arrangements. In respect of each of the following risks, the arrangements which seek to ensure compliance with these objectives are set out below.

1.1 Credit and Counterparty Risk Management

Explanation

The risk of failure by a third party to meet its contractual obligations to the Council under an investment, borrowing, capital, project or partnership financing, particularly as a result of the third party's diminished creditworthiness, and the resulting detrimental effect on the Council's capital or current (revenue) resources.

Council Action

This Council regards a key objective of its treasury management activities to be the security of the principal sums it invests. Accordingly, it will ensure that its counterparty lists and limits reflect a prudent attitude towards organisations with whom funds may be deposited, and will limit its investment activities to the instruments, methods and techniques referred to in TMP4 Approved instruments, methods and techniques and listed in the Annual Treasury Management Policy and Strategy Statement. It also recognises the need to have, and will therefore maintain, a formal counterparty policy in respect of those organisations from which it may borrow, or with whom it may enter into other financing arrangements.

A detailed list of counterparties to which the Council will lend is appended to the Annual Treasury Management Policy and Strategy Statement.

Liquidity Risk Management

Explanation

The risk that cash will not be available when it is needed, that ineffective management of liquidity creates additional budgeted costs, and that the organisation's business/service objectives will therefore be compromised.

Council Action

The Council through its Treasury Management officers will ensure that at all times there will be a surplus of cash available which can be called upon at a moment's notice. Through its investments the Council holds cash on call account(s) which is available at any time. By the use of an effective projected cash flow exercise the likelihood of cash being not readily available when required would be rare. Robust daily, weekly, monthly and annual cash flow forecasting is in place. Call accounts and fixed term investments are utilised to their full potential. This Council will only borrow in advance of need where there is a clear business case for doing so and will only do so for the current capital programme or to finance future debt maturities.

Interest Rate Risk Management

Explanation

The risk that fluctuations in the levels of interest rates create an unexpected or unbudgeted burden on the organisation's finances, against which the organisation has failed to protect itself adequately.

Council Action

This Council will manage its exposure to fluctuations in interest rates with a view to containing its interest costs, or securing its interest revenues, in accordance with the amounts provided in its budgetary arrangements as amended in accordance with TMP6 Reporting requirements and management information arrangements.

It will achieve this by the prudent use of its approved financing and investment instruments, methods and techniques, primarily to create stability and certainty of costs and revenues, but at the same time retaining a sufficient degree of flexibility to take advantage of unexpected, potentially advantageous changes in the level or structure of interest rates. This should be the subject to the consideration and, if required, approval of any policy or budgetary implications.

Exchange Rate Risk Management**Explanation**

The risk that fluctuations in foreign exchange rates create an unexpected or unbudgeted burden on the organisation's finances, against which the organisation has failed to protect itself adequately. The Council is awarded grant from specific programmes in Euro currency and consequently receives grant income in this currency. Where the Council is a joint beneficiary and not a lead beneficiary, payments are received from the lead beneficiary in Euros into the Council's Sterling bank account.

There is a negligible risk where the Council is a joint beneficiary.

Where the Council is a lead beneficiary for a grant and is receiving income in Euro currency on behalf of other beneficiaries, the income is received into and payments made in Euros from the Euro bank account.

Council Action

Currently the Council only invests in sterling products. Hence, there is no exchange rate risk with regard these investments. For grants where the Council is lead beneficiary, receiving income in Euro currency on behalf of other beneficiaries and reimbursing them, exchange risk is mitigated by using a Euro bank account.

Inflation Risk Management**Explanation**

Inflation risk, also called purchasing power risk, is the chance that the cash flows from an investment won't be worth as much in the future because of changes in purchasing power due to inflation.

Council Action

The Council will keep under review the sensitivity of its treasury assets and liabilities to inflation and will seek to manage the risk accordingly in the context of the whole Council's inflation exposures.

Refinancing Risk Management

Explanation

The risk that maturing borrowings, capital project or partnership financing cannot be refinanced on terms that reflect the provisions made by the organisation or those refinancings, both capital and current (revenue), and/or that the terms are inconsistent with prevailing market conditions at the time.

Council Action

This Council will ensure that its borrowing, private financing and partnership arrangements are negotiated, structured and documented, and the maturity profile of the monies so raised are managed, with a view to obtaining offer terms for renewal or refinancing, if required, which are competitive and as favourable to the Council as can reasonably be achieved in the light of market conditions prevailing at the time. It will actively manage its relationships with its counterparties in these transactions in such a manner as to secure this objective and will avoid overreliance on any one source of funding if this might jeopardise achievement of the above.

Legal and Regulatory Risk Management

Explanation

The risk that an organisation itself, or a third party with which it is dealing in its treasury management activities, fails to act in accordance with its legal powers or regulatory requirements, and that the organisation suffers losses accordingly.

Council Action

This Council will ensure that all of its treasury management activities comply with its statutory powers and regulatory requirements. It will demonstrate such compliance, if required to do so, to all parties with whom it deals in such activities. In framing its credit and counterparty policy under TMP 1 credit and counterparty risk management it will ensure that there is evidence of counterparties' powers, authority and compliance in respect of the transactions they may effect with the organisation, particularly with regard to duty of care and fees charged.

This Council recognises that future legislative or regulatory changes may impact on its treasury management activities and, so far as it is reasonably able to do so, will seek to minimize the risk of these impacting adversely on the Council.

Fraud, Error and Corruption, and Contingency Management**Explanation**

The risk that an organisation fails to identify the circumstances in which it may be exposed to the risk of loss through fraud, error, corruption or other eventualities in its treasury management dealings, and fails to employ suitable systems and procedures and maintain effective contingency management arrangements to these ends. It includes the area of risk commonly referred to as operational risk.

Council Action

This Council will ensure that it has identified the circumstances which may expose it to the risk of loss through fraud, error, corruption or other eventualities in its treasury management dealings. Accordingly, it will employ suitable systems and procedures, and will maintain effective contingency management arrangements, to these ends.

Price Risk Management**Explanation**

The risk that, through adverse market fluctuations in the value of the principal sums the organisation invests, its stated treasury management policies and objectives are compromised, against which effects it has failed to protect itself adequately.

Council Action

This Council will seek to ensure that its stated treasury management policies and objectives will not be compromised by adverse market fluctuations in the value of the principal sums it invests, and will accordingly seek to protect itself from the effects of such fluctuations.

PERFORMANCE MEASUREMENT**Explanation**

Performance measurement is a process designed to calculate the effectiveness of a portfolio's or manager's investment returns or borrowing costs and the application of the resulting data for the purposes of comparison with the performance of other portfolios or managers, or with recognised industry standards or market indices.

Council Action

This Council is committed to the pursuit of value for money in its treasury management activities, and to the use of performance methodology in support of that aim, within the framework set out in its treasury management policy statement.

Accordingly, the treasury management function will be the subject of ongoing analysis of the value it adds in support of the Council's stated business or service objectives. It will be the subject of regular examination of alternative methods of service delivery, of the availability of fiscal or other grant or subsidy incentives, and of the scope of other potential improvements.

TMP3

DECISION MAKING AND ANALYSIS

Explanation

It is vital that the treasury management decisions of organisations in the public service should be subjected to prior scrutiny. The treasury management strategy is approved annually by full council and supplemented by the provision of monitoring information and regular review by councillors in both executive and scrutiny functions. In addition, all records should be kept of the processes and the rationale behind those decisions. In respect of each decision made the Council should:

- 3.1 ensure that its results are within the limits set in the Prudential Indicators.
- 3.2 be clear about the nature and extent of the risks to which the Council may become exposed.
- 3.3 be certain about the legality of the decision reached and the nature of the transaction, and that all authorities to proceed have been obtained.
- 3.4 be content that the documentation is adequate both to deliver the Council's objectives and protect the Council's interest, and to deliver good housekeeping.
- 3.5 ensure that third parties are judged satisfactorily in the context of the Council's credit worthiness policies, and that limits have not been exceeded.
- 3.6 be content that the terms of any transactions have been fully checked against the market and have been found to be competitive.
- 3.7 in respect of borrowing the Council should evaluate the economic and market factors that influence the manner and timing of any decision to fund.

- 3.8 consider the merits and demerits of alternative forms of funding, including funding from revenue, leasing and private partnerships.
- 3.9 consider the alternative interest rate bases available, the most appropriate periods to fund and repayment profiles to use and, if relevant, the opportunities for foreign currency funding.
- 3.10 consider the ongoing revenue liabilities created, and the implications for the Council's future plans and budgets.
- 3.11 in respect of investment decisions, the Council should consider the optimum period, in the light of cash flow availability and prevailing market conditions.
- 3.12 consider the alternative investment products and techniques available, especially the implications of any which may expose the Council to changes in the value of its capital.

Council Action

This Council will maintain full records of its treasury management decisions, and of the processes and practices applied in reaching those decisions both for the purposes of learning from the past, and for demonstrating that reasonable steps were taken to ensure that all issues relevant to those decisions were taken into account at the time.

TMP4

APPROVED INSTRUMENTS, METHODS AND TECHNIQUES

Explanation

It is important that the Council is clear about the treasury management instruments, methods and techniques used as one of the main issues to be taken into account when reaching decisions in the need to protect public funds. This treasury management practice requires that the Council is equipped with the skills and experience to evaluate and control the risks and advantages associated with using the instruments available to it before including them in the approved list. Only instruments that the organisation has the skills and experience to evaluate should be included in their approved list, even if they are legally permitted to do so. This principle applies to investment, borrowing and other means of raising capital and project finance, and to the use of one off-market or financial derivative instruments such as interest rate swaps. The consideration of skills and experience is particularly critical where organisations request to be treated as professional clients under MIFID II. Designation under MIFID II should be endorsed by the treasury management strategy and regularly reviewed to ensure that designation remains appropriate.

Council Action

This Council will undertake its treasury management activities by employing only those instruments, methods and techniques detailed in the policy, and within the limits and parameters defined in Treasury Management Practice 1 Risk Management.

This Council has reviewed its classification with financial institutions under MIFID II and has set out in the schedule to this document those organisations with which it is registered as a professional client and those with which it has an application outstanding to register as a professional client.

TMP5

ORGANISATION, CLARITY AND SEGREGATION OF RESPONSIBILITIES, AND DEALING ARRANGEMENTS

Explanation

It is considered vital that there should be a clear division of responsibilities, included in a written statement of the duties of each post engaged in Treasury Management. It is especially important that staff responsible for negotiating and closing deals are not responsible for recording them, or for maintaining the cash book. This is in order to create a framework for internal check and reflects both the variety of activities in treasury management and the very often large sums involved.

Council Action

This Council considers it essential, for the purposes of the effective control and monitoring of its treasury management activities, for the reduction of the risk of fraud or error, and for the pursuit of optimum performance, that these activities are structured and managed in a fully integrated manner, and that there is at all times a clarity of treasury management responsibilities.

The principle on which this will be based is a clear distinction between those charged with setting treasury management policies and those charged with implementing and controlling these policies, particularly with regard to the execution and transmission of funds, the recording and administering of treasury management decisions, and the audit and review of the treasury management function.

If and when this Council intends, as a result of lack of resources or other circumstances, to depart from these principles, the responsible officer will ensure that the reasons are properly reported in accordance with Treasury Management Practice 6 Reporting requirements and management information arrangements, and the implications properly considered and evaluated.

The Director of Corporate Services will ensure that there are clear written statements of the responsibilities for each post engaged in treasury management, and the arrangements for absence cover.

The Director of Corporate Services will ensure there is a proper documentation for all deals and transactions, and that procedures exist for the effective transmission of funds.

TMP6

REPORTING REQUIREMENTS AND MANAGEMENT INFORMATION ARRANGEMENTS

Explanation

It is recommended that the Council's treasury management policy statement should specify formal reporting arrangements by the Director of Corporate Services to full Council, to include at a minimum, annual reports both before, mid-year and after the year-end.

Council Action

This Council will ensure that regular reports are prepared and considered on the implementation of its treasury management policies, on the effects of decisions taken and transactions executed in pursuit of those policies, on the implications of changes, particularly budgetary, resulting from regulatory, economic, market or other factors affecting its treasury management activities and on the performance of the treasury management function.

The following reporting process has been agreed by the Council:

6.1 Annual Reporting Requirements before the start of the year:

- Review of the Council's approved clauses, treasury management policy statement and practices
- Strategy report on proposed treasury management activities for the year, including the MRP and Investment policies
- Proposed Treasury Management and Prudential Indicators

6.2 Quarter 1 and Quarter 3 Reporting Requirements during the year which will be presented to both Executive Board and Policy and Resources Scrutiny Committee:

- Activities undertaken
- Variations (if any) from agreed policies/practices

- Performance report
 - Performance against Treasury Management and Prudential Indicators
- 6.3 Mid-Year Reporting Requirements during the year which will be presented to Council, Executive Board and Policy and Resources Scrutiny Committee:
- Activities undertaken
 - Variations (if any) from agreed policies/practices
 - Performance report
 - Performance against Treasury Management and Prudential Indicators
- 6.3 Annual Reporting Requirements after the year end
- Transactions executed and their revenue (current) effects
 - Report on risk implications of decisions taken and transactions executed
 - Compliance report on agreed policies/practices, and on statutory/regulatory requirements
 - Performance report
 - Report on compliance with Revised CIPFA Treasury Management Code of Practice 2017 recommendations
 - Performance against Treasury Management and Prudential Indicators

By undertaking the above it will ensure, as a minimum, that those with ultimate responsibility for the treasury management function appreciate fully the implications of treasury management policies and activities, and that those implementing policies and executing transactions have properly fulfilled their responsibilities with regard to delegation and reporting.

TMP7 BUDGETING, ACCOUNTING AND AUDIT ARRANGEMENTS

Explanation

It is recommended that the Council brings together for budgeting and management control purposes, all of the costs and revenues associated with the Council's treasury management activities, regardless of how the Council has actually

organised the treasury management function. In this context the Council's treasury management budgets and accounts should clearly identify.

- Manpower numbers and related costs
- Premises and other administrative costs
- Interest and other investment income
- Debt and other financing costs (or charges for the use of assets)
- Bank and overdraft charges
- Brokerages, commissions and other transaction-related costs
- External advisers' and consultants' charges

It is normal practice for the external auditor to have access to all papers supporting and explaining the operation and activities of the treasury management function. The auditor will be expected to enquire as to whether the Revised CIPFA Treasury Management Code of Practice 2017 has been adopted, and whether its principles and recommendations have been implemented and adhered to. Any serious breach of the recommendations of the Revised CIPFA Treasury Management Code of Practice 2017 should be brought to the external auditor's attention.

Council Action

The Director of Corporate Services will prepare, and this Council will approve and, if necessary, from time to time will amend, an annual budget for treasury management, which will bring together all of the costs involved in running the treasury management function, together with associated income. The matters to be included in the budget will at minimum be those required by statute or regulation, together with such information as will demonstrate compliance with TMP1 Risk management, TMP2 Performance Measurement, and TMP4 Approved instruments, methods and techniques. The Director of Corporate Services will exercise effective controls over this budget and will report upon and recommend any changes required in accordance with TMP6 Reporting requirements and management information arrangements.

The calculation and compliance of Treasury Management and Prudential Indicators will be examined by Audit Wales.

This Council will account for its treasury management activities, for decisions made and transactions executed, in accordance with appropriate accounting practices and standards, and with statutory and regulatory requirements in force for the time being. This Council will ensure that its auditors, and those charged with regulatory review, have access to all information and papers supporting the activities of the treasury management function as are necessary for the proper fulfillment of their roles, and that such information and papers demonstrate compliance with external and internal policies and approved practices.

TMP8 CASH AND CASH FLOW MANAGEMENT

Explanation

The preparation of cash flow projections on a regular and timely basis provides a sound framework for effective cash management. Procedures for their preparation and review/modification, the periods to be covered, sources of data etc. should be an integral part of the schedules to the Council's approved treasury management practices.

Council Action

Unless statutory or regulatory requirements demand otherwise, all monies in the hands of the Council will be under the control of the Director of Corporate Services and will be aggregated for cash flow and investment management purposes. Cash flow projections will be prepared on a regular and timely basis, and the Director of Corporate Services will ensure that these are adequate for the purposes of monitoring compliance with Treasury Management Practice 1 (2) liquidity risk management.

TMP9 ANTI-MONEY LAUNDERING

Explanation

Money Laundering has the objective of concealing the origin of money generated through criminal activity. Legislation has given a higher profile to the need to report suspicions of money laundering. Also, organisations such as Councils that undertake business under the Financial Services Act, or engage in certain specified activities, are required to set up procedures to comply with Anti-Money Laundering Regulations . These require Councils:

- To set up procedures for verifying the identity of clients
- To set up record-keeping procedures for evidence of identity and transactions
- To set up internal reporting procedures for suspicions, including the appointment of a money laundering reporting officer
- To train relevant employees in their legal obligations
- To train those employees in the procedures for recognising and reporting suspicions of money laundering

The Council should establish whether the Money Laundering Regulations apply to them. And it is for individual organisations to evaluate the prospect of laundered monies being handled by them, and to determine the appropriate safeguards to be put in place. It is the legal responsibility of every person engaged in treasury management, and indeed the Council, to make themselves aware of their personal responsibilities, but the Revised CIPFA Treasury Management Code of Practice 2017 recommends that Councils bring them to their staff's attention and consider the appointment of a member of staff to whom they can report any suspicions.

Council's Action

This Council is alert to the possibility that it may become the subject of an attempt to involve it in a transaction involving the laundering of money. Accordingly, it will maintain procedures for verifying and recording the identity of counterparties and reporting suspicions and will ensure that staff involved in this are properly trained.

TMP10 TRAINING AND QUALIFICATIONS

Explanation

All Councils should be aware of the growing complexity of treasury management. Modern treasury management demands appropriate skills, including a knowledge of money and capital market operations, an awareness of available sources of funds and investment opportunities, an ability to assess and control risk, and an appreciation of the implications of legal and regulatory requirements.

Every Council should provide the necessary training, having assessed the professional competence of both those involved in the treasury management function, and those with a policy, management or supervisory role. If necessary, they should ensure that access exists to the necessary expertise and skills from external sources. Arrangements to ensure the availability of suitable skills and resources should recognize the prospect that staff absences may, at times, demand that others step in who do not normally have involvement on a day-to-day basis with the treasury management function.

When feasible in the context of the size of a particular organisation and its treasury management function, career progression opportunities should be made available and succession issues should be properly addressed. Secondments of senior management to the treasury management function for appropriate periods may benefit the effectiveness of the function as a whole.

Council Action

This Council recognises the importance of ensuring that all staff involved in the treasury management function are fully equipped to undertake the duties and responsibilities allocated to them. It will therefore seek to appoint individuals who are both capable and experienced and will provide training for staff to enable them to acquire and maintain an appropriate level of expertise, knowledge and skills. The Director of Corporate Services will recommend and implement the necessary arrangements.

The Director of Corporate Services will ensure that council members tasked with treasury management responsibilities, including those responsible for scrutiny, have access to training relevant to their needs and those responsibilities.

Those charged with governance recognise their individual responsibility to ensure that they have the necessary skills to complete their role effectively.

TMP11 USE OF EXTERNAL SERVICE PROVIDERS

There are a number of service providers available to support the treasury management activities of public service organisations.

Perhaps the most active and long-standing of these have been the money-broking companies, whose role it is to act as intermediaries, making introductions between the prospective parties to transactions.

It is not the role of brokers to provide advice on the creditworthiness of those organisations to which public service organisations may lend. They may provide information already in the public domain but may not interpret it. The use of brokers is a matter for local decision. But it is considered good practice, if their services are used, to ensure that business is spread between a reasonable number of them, and certainly no fewer than two.

Direct dealing with principals is a not uncommon feature of treasury management in the public services which, if nothing else, can provide a useful check on brokers' performance.

An issue that causes some debate is whether it is necessary or desirable for public service organisations to tape conversations with brokers and principals. This is a matter for local discretion, particularly in the context of the costs involved, but brokers are required to maintain taped recordings which the Council can ask for copies if needed.

Most public service organisations require the services of clearing bankers, and a growing number make use of the services of a wider group of banks, particularly to meet their need for private finance and partnership funding. The principles of competition need to be recognised here, too. Certainly, it is highly desirable to subject clearing banking services to competition, perhaps as frequently as every three or four years.

There has also been a growing tendency for public service organisations to employ external advisers and consultants, often for the purposes of a general treasury management advisory service, but also for specific purposes, such as the securing and structuring of funding and for partnership arrangements. These, too, should be the subject of regular competitive tendering.

Further, many public service organisations employ the services of external investment managers to help manage their surplus cash and, where relevant, their pension fund, trust fund or endowment fund assets.

CIPFA advises all organisations using the services of external service providers to document comprehensively the arrangements made with them.

Council Action

The Council recognises that responsibility for treasury management decisions remain with the Council at all times. It recognises the potential value of employing external providers of treasury management services, in order to acquire access to specialist skills and resources. When it employs such service providers, it will ensure it does so for reasons which will have been submitted to a full evaluation of the costs and benefits. It will also ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented and subjected to regular review. And it will ensure, where feasible and necessary, that a spread of service providers is used, to avoid overreliance on one or a small number of companies.

TMP12 CORPORATE GOVERNANCE

The Revised CIPFA Treasury Management Code of Practice 2017 recommends that public service organisations state their commitment to embracing the principles of corporate governance in their treasury management activities, notably openness and transparency.

It is CIPFA's view that:

- adoption of the principles and policies promoted in the Revised CIPFA Treasury Management Code of Practice 2017 and in these guidance notes will in itself deliver the framework for demonstrating openness and transparency in an organisation's treasury management function
- publication of and free access to information about an organisation's treasury management transactions and other public documents connected with its treasury management activities will further assist in achieving this end
- establishing clear treasury management policies, the separation of roles in treasury management and the proper management of relationships both within and outside the organisation will establish the integrity of the function

- robust treasury management organisational structures, together with well-defined treasury management responsibilities and job specifications, will enhance accountability
- equality in treasury management dealings, absence of business favouritism and the creation of keen competition in treasury management will lay the groundwork for fairness.

The following paragraphs further emphasise the practices that CIPFA believes an organisation should employ to ensure the principles of corporate governance are successfully implemented.

Procedural responses

The policies, strategies of treasury management should link clearly to the organisation's other key policies and strategies. In the management of risk, in particular, treasury risk management should be an integral part of its overall risk management processes, culminating in a well-defined, organisation-wide strategy for the control of risk and contingency planning.

The management and administration of treasury management should be robust, rigorous and disciplined. Over the years, some of the most significant examples of treasury mismanagement, in both the public services and the private sector, have resulted from procedural indiscipline. This has frequently been as a result of a failure to apply otherwise well-documented management and administration systems, or through failures in transmission, documentation or deal recording processes.

Reporting arrangements should be applied so as to ensure that those charged with responsibility for the treasury management policy have all the information necessary to enable them to fulfil openly their obligations; and that all stakeholders are fully appraised of and consulted on the organisation's treasury management activities on a regular basis.

The procedures for monitoring treasury management activities through audit, scrutiny and inspection should be sound and rigorously applied, with an openness of access to information and well-defined arrangements for the review and implementation of recommendations for change.

The application and interpretation of performance data should be clear, concise and relevant to the organisation's treasury management activities.

Stewardship responsibilities

The Director of Corporate Services should ensure that systems exist to deliver proper financial administration and control, and a framework for overseeing and reviewing the treasury management function.

As regards a control framework, an organisation's formal policy documents should define clearly procedures for monitoring, control and internal check.

With regard to delegation it is vitally important that those involved in the implementation of treasury management policies and the execution of transactions are unambiguously empowered to undertake their tasks, and that reporting lines are well-defined.

An organisation's adoption of and adherence to the Revised CIPFA Treasury Management Code of Practice 2017 should be widely broadcast, as should the principles of the Revised CIPFA Treasury Management Code of Practice 2017 and the method of its application in the organisation.

The organisation's procedures for reviewing the value of the treasury management function, and the implementation of opportunities for improvement, should be both continuous and open to examination.

The governance of others

In respect of the organisation's dealings with counterparties, external service providers and other interested parties, clear procedures should exist to enable the organisation, as far as is practicable, to monitor their adherence to the legal or regulatory regimes under which they operate.

Council Action

This Council is committed to the pursuit of proper corporate governance throughout its businesses and services, and to establishing the principles and practices by which this can be achieved. Accordingly, the treasury management function and its activities will be undertaken with openness and transparency, honesty, integrity and accountability.

In respect of external service providers appropriate financial review procedures will be undertaken, including Profit and Loss, Balance Sheet and cash flow monitoring.

This Council has adopted and has implemented the key recommendations of the Revised CIPFA Treasury Management Code of Practice 2017. This is considered vital to the achievement of proper corporate governance in treasury management, and the Director of Corporate Services will monitor and, if and when necessary, report upon the effectiveness of these arrangements.

Mae'r dudalen hon yn wag yn fwriadol

SECURITY, LIQUIDITY AND YIELD BENCHMARKING

Member reporting on the consideration and approval of security and liquidity benchmarks will continue. These benchmarks are targets and so may be breached from time to time. Any breach will be reported, with supporting reasons in the Annual Treasury Management Report.

1. Security

In context of benchmarking, assessing security is a subjective area to assess. Security is currently evidenced by the application of minimum credit quality criteria to investment counterparties, primarily through the use of credit ratings supplied by the three main credit rating agencies (Fitch, Moody's and Standard and Poor's). Whilst this approach embodies security considerations, benchmarking levels of risk is more problematic. One method to benchmark security risk is to assess the historic level of default against the minimum criteria used in the Council's investment strategy.

The table below shows average defaults for differing periods of investment grade products for each Fitch/Moody's and Standard & Poor's long term rating category.

Long term rating	1 year	2 years	3 years
AAA	0.04%	0.10%	0.17%
AA (includes AA- and AA+)	0.02%	0.04%	0.09%
A	0.05%	0.15%	0.27%
BBB	0.15%	0.40%	0.70%
BB	0.65%	1.84%	3.22%
B	2.76%	6.66%	10.26%
CCC	18.96%	26.64%	31.60%

The Council's minimum long term rating criteria is currently "AA-" for any investment greater than one year and "A" for any investment up to one year. The average expectation of default for a one, two or three year investment in a counterparty with a "AA-" long term rating would be approximately 0.02% of the total investment and the average expectation of default for a one year investment in a counterparty with a "A" long term rating would be 0.05% of the total investment. This is only an average, any specific counterparty loss is likely to be higher, but these figures do act as a proxy benchmark for risk across the portfolio.

The Council's maximum security risk benchmark for the whole portfolio, when compared to these historic default tables, is:

- **0.17% historic risk of default when compared to the whole portfolio.**

In addition, the security benchmark for each individual year is:

	1 year	2 years	3 years
Maximum	0.04%	0.10%	0.17%

These benchmarks are embodied in the criteria for selecting cash investment counterparties and these will be monitored and reported to Members in the Annual Treasury Management Report. As this data is collated, trends and analysis will be collected and reported. Where a counterparty is not credit rated a proxy rating will be applied in conjunction with our advisers, considering the regulatory environment and financial standing of an organisation.

2. **Liquidity**

This is defined as “having adequate, though not excessive cash resources, borrowing arrangements, overdrafts or standby facilities to enable it at all times to have the level of funds available to it which are necessary for the achievement of its business/service objectives” (Revised CIPFA Treasury Management Code of Practice). In respect of this area the Council seeks to maintain:

- Bank overdraft facility - £0.5m
- Liquid short term deposits of at least £10m available immediately on call

The availability of liquidity and the term risk in the portfolio can be benchmarked by the monitoring of the Weighted Average Life (WAL) of the portfolio – shorter WAL would generally embody less liquidity risk. In this respect the proposed benchmark is to be used:

- **WAL benchmark is expected to be 0.5 years, with a maximum of 1 year.**

3. **Yield**

Benchmarks are currently widely used to assess investment performance. The local measure of the yield benchmark is:

- Investments – Return on Investments to outperform the average 7 day LIBID rate

Security and liquidity benchmarks are intrinsic to the approved treasury strategy through the counterparty selection criteria and some of the prudential indicators. Proposed benchmarks for the cash type investments are shown above and form the basis of reporting in this area.

**Schedule of Approved Counterparties for Lending 2021-2022
(as at 12th January 2021)**

	<u>Fitch</u>		<u>Moody's</u>		<u>Standard and Poors</u>		<u>Money</u>	<u>Time</u>
	<u>Short</u>	<u>Long</u>	<u>Short</u>	<u>Long</u>	<u>Short</u>	<u>Long</u>	<u>Limit</u>	<u>Limit</u>
	<u>Term</u>	<u>Term</u>	<u>Term</u>	<u>Term</u>	<u>Term</u>	<u>Term</u>	<u>£'m</u>	<u>years</u>
<u>Upper Limit</u>	<u>F1</u>	<u>AA-</u>	<u>P-1</u>	<u>Aa3</u>	<u>A-1</u>	<u>AA-</u>	<u>10</u>	<u>3</u>
UK Banks								
<u>Middle Limit</u>	<u>F1</u>	<u>A</u>	<u>P-1</u>	<u>A2</u>	<u>A-1</u>	<u>A</u>	<u>7</u>	<u>1</u>
UK Banks								
Banco Santander Central Hispano Group - Santander UK Plc	F1	A+	P-1	A1	A-1	A	7	1
Barclays Bank	F1	A+	P-1	A1	A-1	A	7	1
HSBC Bank Plc	F1+	AA-	P-1	A1	A-1	A+	7	1
Lloyds Banking Group - Bank of Scotland Plc	F1	A+	P-1	A1	A-1	A+	7	1
- Lloyds Bank	F1	A+	P-1	A1	A-1	A+	7	1
Standard Chartered Bank	F1	A+	P-1	A1	A-1	A	7	1
UK Building Societies								
Nationwide	F1	A	P-1	A1	A-1	A	7	1
<u>UK Banks Part Nationalised</u>								
Royal Bank of Scotland Group - National Westminster Bank Plc							7	1
- Royal Bank of Scotland Plc							7	1
<u>Council's Banker</u>							3	1 day
<u>Other Institution Limits</u>								
<u>Local Authorities</u>								
Any One Local Authority (including police and fire authority)							5	1
<u>Money Market Funds</u>								
Any AAA Rated Money Market Fund CNAV							5	1
<u>Money Market Funds</u>								
Any AAA Rated Money Market Fund LVNAV							5	1
Debt Management Office							70	6 months (max term specified by DMO)

Mae'r dudalen hon yn wag yn fwriadol

1. THE PRUDENTIAL INDICATORS

The following indicators are based on the figures put forward within the Capital and Revenue Plans set out in this report.

1.1. Affordability

1.1.1. Estimates of ratio of financing costs to net revenue stream

This indicator identifies the trend in the cost of capital (borrowing and other long term obligation costs net of investment income) against the net revenue stream.

	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
Non-HRA	4.50%	4.57%	4.75%
HRA (inclusive of settlement figure)	32.71%	34.00%	34.36%

The estimates of financing costs include current commitments and the proposals in this budget report. These figures are however subject to change as and when the final funding approvals come through from the Welsh Government. Updates will be provided if these figures require amendment.

The indicators show the proportion of income taken up by capital financing costs. Indicative Aggregate External Finance (AEF) for 2022/2023 is a 2% increase on 2021/2022 and for 2023/24 a 2% estimated increase on 2022/2023.

1.2. Prudence

1.2.1. The Capital Financing Requirement (CFR)

This prudential indicator is the Council's Capital Financing Requirement (CFR). The CFR is the total outstanding capital expenditure which has not yet been paid for from either revenue or capital resources. It is essentially a measure of the Council's underlying borrowing need. The capital expenditure above, which has not immediately been paid for, will increase the CFR.

The Council is asked to approve the CFR projections below:

£m	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
Non-HRA	284	296	290*
HRA	182	198	207
HRAS**	70	69	67
TOTAL	536	563	564

*The Non-HRA CFR decreases in 2023/24 as the Minimum Revenue Provision exceeds the net capital funding requirement.

**Housing Revenue Account Subsidy Buyout of £79m in April 2015.

1.2.2. The Gross Borrowing and Capital Financing Requirement indicator

The control mechanism to limit external debt.

Estimated gross borrowing for the four years starting with the last full year (2019/20) must not exceed the CFR in the medium term but can in the short term due to cash flows.

Ensures borrowing is only for approved capital purposes.

The Director of Corporate Services reports that the authority complied with this requirement in 2019/20 and does not envisage difficulties for the future. This view takes into account current commitments, existing plans, and the proposals in the budget report.

Details of Gross Borrowing:

£m	2019/20 Actual	2020/21 Estimate	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
Debt at 1 st April	413	433	433	479	532
Expected Change in Debt	20	0	46	53	32
Gross debt at 31st March	433	433	479	532	564
CFR	501	509	536	563	564
Under / (Over) borrowing	68	76	57	31	0

1.2.3. External Debt

The Authorised Limit and the Operational Boundary:

The Authorised Limit prudential indicator represents a control on the overall level of borrowing. This represents a limit beyond which external debt is prohibited, and this limit needs to be set or revised by full Council. It reflects the level of external debt which, while not desired, could be afforded in the short term, but is not sustainable in the longer term. This is the statutory limit determined under section 3(1) of the Local Government Act 2003. The Government retains an option to control either the total of all councils' plans, or those of a specific council, although no control has yet been exercised.

£m	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
Borrowing	589.0	618.4	619.7
Other Long- Term Liabilities	0.5	0.5	0.5
Total	589.5	618.9	620.2

The Operational Boundary for external debt is based on the same estimates as the authorised limit, but without the additional headroom for unusual and unexpected cash movements and equates to the level of projected external debt. This is clearly subject to the timing of borrowing decisions.

£m	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
Borrowing	535.8	562.6	563.7
Other Long-Term Liabilities	0.1	0.1	0.1
Total	535.9	562.7	563.8

The Council is asked to approve both the Authorised Limit and the Operational Boundary shown above.

1.2.4. Actual External Debt

The Council's actual external debt at 31st March 2020 was £433m. The actual external debt is not directly comparable to the authorised limit and operational boundary because the actual external debt reflects the position at a point in time.

It is recommended that the above Prudential Indicators are adopted and that the Director of Corporate Services is given delegated authority by Council to change the balance between borrowing and other long-term liabilities.

Other long-term liabilities are other credit arrangements, which are, in the main, finance leases. These indicators may be affected once information is collated for the new lease requirements under IFRS 16 from 2022/23.

Mae'r dudalen hon yn wag yn fwriadol

MINIMUM REVENUE PROVISION (MRP) STATEMENT

The Council is required to pay off an element of the accumulated General Fund capital spend each year (the CFR) through a revenue charge (the minimum revenue provision – MRP), although it is also allowed to undertake additional voluntary payments if required (voluntary revenue provision – VRP). It is also required to charge an element of the accumulated HRA capital spend in line with Welsh Government requirements.

Welsh Government regulations were issued with effect from 1st April 2010 which require the full Council to approve a Minimum Revenue Provision (MRP) Statement in advance each year. A variety of options were provided to councils to replace the existing Regulations, as long as there is a prudent provision.

Council have already approved the following approaches for calculating MRP:

Supported Borrowing and Capital Expenditure

Based on CFR

The calculation is based on 2.5% of the opening Capital Financing Requirement (CFR) each year on a straight-line basis.

Unsupported Borrowing

Asset Life Method

The future borrowing value of unsupported borrowing is to be charged to revenue over 40 years or by the estimated economic life of the asset if shorter, please see below.

Capital Expenditure on the Modernising Education Programme (MEP) and Local Government Borrowing Initiative (LGBI) 21st Century Schools is classed as Unsupported Borrowing. It is estimated that asset lives within these programmes are 30 years and this is the period that will be used to determine the MRP.

Asset lives within the Fleet Programme range from 1 to 10 years, these are the periods that will be used to determine the MRP.

Local Government Borrowing Initiative (LGBI) Highways. It is estimated that asset lives within this programme are 20 years and this is the period that will be used to determine the MRP.

Housing Revenue Account

The calculation is based on 2% of the opening Capital Financing Requirement (CFR) each year.

Loans to Third Parties

The Council occasionally lends capital loans to third parties which forms part of the Capital Financing Requirement. The Council's policy is not to apply Minimum Revenue Provision (MRP) to the loans but apply the loans repayments from the third party (which are capital receipts), in lieu of MRP. In the event of any likely default, the Council will calculate an Expected Credit Loss and apply MRP accordingly.

Council is recommended to approve the following MRP Statement for 2021/2022:

For Supported and Unsupported Capital Expenditure, the MRP policy will continue to follow the approaches above.

PWYLLGOR CRAFFU POLISI AC ADNODDAU 3 CHWERFOR 2021

Y Pwnc:

**ADRODDIAD PERFFORMIAD HANNER BLWYDDYN CORFFORAETHOL
2020/21 (1 EBRILL I 30 MEDI 2020) SY’N RHYCHWANTU’R HOLL
ADRANNAU .**

Y Pwrpas:

Archwilio'r adroddiad at ddibenion monitor

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

Dylid ystyried gwybodaeth a gynhwysir yn yr adroddiad

Y Rhesymau:

- Mae dyletswydd gyffredinol ar awdurdodau i wneud trefniadau o ran monitro perfformiad
- Mae angen inni ddangos i ddinasyddion, aelodau a rheoleiddwyr sut mae perfformiad yn cael ei reoli a sut mae ymyriadau priodol yn cael eu rhoi ar waith

**Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad:
NAC OES**

Yr aelod o'r bwrdd gweithredol sy'n gyfrifol am y portffolio:-
Holl aelodau'r Bwrdd Gweithredol

Y Gyfarwyddiaeth: Prif Weithredwr	Swyddi:	Rhifau ffôn: / Cyfeiriadau E-bost:
Enw Pennaeth y Gwasanaeth:		
Noelwyn Daniel	Rheolwr TGCh a Pholisi Corfforaethol	01267 246270 NDaniel@sirgar.gov.uk
Awdur yr Adroddiad: Robert James	Swyddog Cynllunio Busnes a Pherfformiad	01267 224479 rnjames@sirgar.gov.uk

EXECUTIVE SUMMARY

POLICY & RESOURCES SCRUTINY COMMITTEE

3rd February 2021

2020/21 Half year Performance Report (1st April to 30th September 2020) that spans across all departments.

BRIEF SUMMARY OF PURPOSE OF REPORT

Note impact of COVID-19

1. This report is focused on the performance measures included in the Corporate Strategy.
2. The Departmental Business Plan Actions plans set for 2020/21 were blindsided due to COVID-19 and are currently not being monitored to allow services to focus on dealing with emergencies.
3. Instead of monitoring actions set before the pandemic we produced a half year COVID-19 Community Impact Assessment.
4. We will produce an Annual Report for 2020/21 on our Corporate Strategy Well-being Objectives.

Measures being reported for 2020/21: -

- Target setting has been discretionary, 10 of the 73 measures have no targets set.
- Many have set reduced targets due to disruption to services
- We are monitoring 73 out of 83 local and PAM measures included in the Corporate Strategy, but the following will not be monitored: -

WBO1	The % of child attendance at an allocated Flying Start free childcare placement	Data not collected by Welsh Government for end of 2019/20 with no indication to the collection of 2020/21.
	The % of unauthorised absence at an allocated Flying Start free childcare placement	
WBO3	% of schools graded as "Good" or "Satisfactory" for school building condition.	No condition surveys done this year
	% of pupil attendance in primary schools	Welsh Government are not publishing data for these measures for 2020-21 (Academic Year 2019-20), as they cover the period that schools were closed due to COVID-19.
	% of authorised absence in primary schools	
	% of pupil attendance in secondary schools	
WBO4	% of Year 13 Leavers not in education, employment or training (NEETS)	Welsh Government /Careers Wales did not publish 2019/20 and is unlikely to be published for 2020/21.
	% of Year 11 Leavers not in education, employment or training (NEETS)	
WBO10	Rate of people kept in hospital while waiting for social care per 1,000 population aged 75+	Feb & March 2020 data was not published by Welsh Government and there is no indication of any information being published for 2020/21

Below is an overview of progress against measures in the Corporate Strategy 2018-2023 to deliver the 2020/21 Well-being Objectives, as at 30th September 2020 and broken down by Scrutiny.

Breakdown as per Scrutiny	Total	On target	Off target	Annual	No Target set	Overall % on Target
Community Scrutiny	20	14	3	3	7	70%
Education & Children's Services Scrutiny	11	4	1	6	0	36%
Environmental & Public Protection Scrutiny	14	4	3	7	3	29%
Social Care & Health Scrutiny	3	0	2	1	0	0%
Policy & Resources Scrutiny	15	12	3	0	0	80%
Overall Performance	63	34	12	17	10	54%

The 'off target' measures should be discussed at their appropriate Scrutiny.

DETAILED REPORT ATTACHED?

NO

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed Noelwyn Daniel - Head of ICT & Corporate Policy

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	YES	NO	NO	NO	NO	NO

1. Policy, Crime & Disorder and Equalities

The Well-being of Future Generations (Wales) Act 2015 requires public bodies *to take all reasonable steps to meet their Well-being Objectives*.

2. Legal

In our published Well-being Statement, we are committed to monitor our Well-being Objective action plans.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Noelwyn Daniel - Head of ICT & Corporate Policy

1. Scrutiny Committee – N/A

2. Local Member(s) – N/A	
3. Community / Town Council – N/A	
4. Relevant Partners – N/A	
5. Staff Side Representatives and other Organisations – All Departments have been consulted and have had the opportunity to provide comments on their performance and progress.	
EXECUTIVE BOARD PORTFOLIO HOLDER(S) AWARE/CONSULTED -YES	Include any observations here

Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:	
Title of Document	Locations that the papers are available for public inspection
Corporate Strategy 2018-2023 (refreshed June 2019)	Corporate Strategy 2018-2023

PWYLLGOR CRAFFU POLISI AC ADNODDAU 3 CHWERFOR 2021

Y Pwnc:

ADRODDIAD PERFFORMIAD HANNER BLWYDDYN ADRANNOL 2020/21 (1 EBRILL I 30 MEDI 2020) YN ARBENNIG I'R PWYLLGOR CRAFFU HWN.

Y Pwrpas:

Archwilio'r adroddiad at ddibenion monitor

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

Dylid ystyried gwybodaeth a gynhwysir yn yr adroddiad

Y Rhesymau:

- Mae dyletswydd gyffredinol ar awdurdodau i wneud trefniadau o ran monitro perfformiad
- Mae angen inni ddangos i ddinasyddion, aelodau a rheoleiddwyr sut mae perfformiad yn cael ei reoli a sut mae ymyriadau priodol yn cael eu rhoi ar waith

**Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad:
NAC OES**

Yr aelod o'r bwrdd gweithredol sy'n gyfrifol am y portffolio:-

Cyng. Mair Stephens (Dirprwy Arweinydd) a Cyng. David Jenkins (Adnoddau)

Y Gyfarwyddiaeth: Prif Weithredwr	Swyddi:	Rhifau ffôn: / Cyfeiriadau E-bost:
Enw Pennaeth y Gwasanaeth:		
Paul Thomas	Prif Weithredwr Cynorthwyol (Rheoli Pobl)	01267 246123 PRThomas@sirgar.gov.uk
Noelwyn Daniel	Rheolwr TGCh a Pholisi Corfforaethol	01267 246270 NDaniel@sirgar.gov.uk
Jason Jones	Pennaeth Adfywiad	JaJones@sirgar.gov.uk
Helen Pugh	Pennaeth Refeniw a Chydymffurfiaeth Ariannol	01267 246223 HLPugh@sirgar.gov.uk
Awdur yr Adroddiad: Robert James	Swyddog Cynllunio Busnes a Pherfformiad	01267 224479 rnjames@sirgar.gov.uk

EXECUTIVE SUMMARY

POLICY & RESOURCES SCRUTINY COMMITTEE

3rd February 2021

**2020/21 Half year Performance Report (1st April to 30th September 2020)
relevant to this Scrutiny**

BRIEF SUMMARY OF PURPOSE OF REPORT

Note impact of COVID-19

1. This report is focused on the performance measures included in the Corporate Strategy.
2. The Departmental Business Plan Actions plans set for 2020/21 were blindsided due to COVID-19 and are currently not being monitored to allow services to focus on dealing with emergencies.
3. Instead of monitoring actions set before the pandemic we produced a half year COVID-19 Community Impact Assessment.
4. We will produce an Annual Report for 2020/21 on our Corporate Strategy Well-being Objectives.

DETAILED REPORT ATTACHED?

YES – See Dashboard

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed

Paul Thomas - Assistant Chief Executive (People Management and Performance)

Noelwyn Daniel - Head of ICT & Corporate Policy

Jason Jones - Head of Regeneration

Helen Pugh - Head of Revenues and Financial Compliance

Policy, Crime &
Disorder and
Equalities

Legal

Finance

ICT

Risk
Management
Issues

Staffing
Implications

Physical
Assets

YES

YES

NO

NO

NO

NO

NO

1. Policy, Crime & Disorder and Equalities

The Well-being of Future Generations (Wales) Act 2015 requires public bodies *to take all reasonable steps to meet their Well-being Objectives.*

2. Legal

In our published Well-being Statement, we are committed to monitor our Well-being Objective action plans.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed:

Paul Thomas - Assistant Chief Executive (People Management and Performance)

Noelwyn Daniel - Head of ICT & Corporate Policy

Jason Jones - Head of Regeneration

Helen Pugh - Head of Revenues and Financial Compliance

1. Scrutiny Committee – N/A

2. Local Member(s) – N/A

3. Community / Town Council – N/A

4. Relevant Partners – N/A

5. Staff Side Representatives and other Organisations – All Departments have been consulted and have had the opportunity to provide comments on their performance and progress.

**EXECUTIVE BOARD PORTFOLIO
HOLDER(S) AWARE/CONSULTED -YES**

Include any observations here

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

Title of Document	Locations that the papers are available for public inspection
Corporate Strategy 2018-2023 (refreshed June 2019)	Corporate Strategy 2018-2023

Mae'r dudalen hon yn wag yn fwriadol

Scrutiny measures monitoring report

Policy and Resources scrutiny - at Half Year 2020/21

Filtered by:

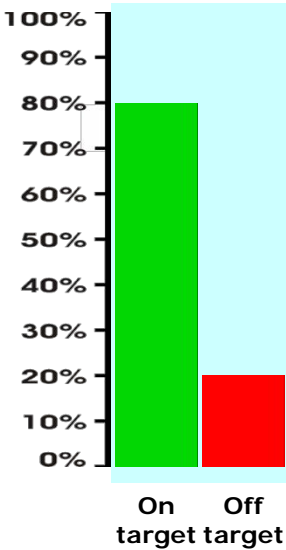
Organisation - Carmarthenshire County Council

Source document - Corporate Strategy 2020-21 - Measures Only




The table below provides a summary progress against target for the Measures contained within the selected document

		Total	On target	Off target	Not reported	Not available	Annual / Not started	% on target	Overall % on target
5. Tackle poverty by doing all we can to prevent it, help people into work and improve the lives of	Measures	3	3	0	0	0	0	100%	100%
6. Create more jobs and growth throughout the county	Measures	1	1	0	0	0	0	100%	100%
15. Building a Better Council and Making Better Use of Resources	Measures	11	8	3	0	0	0	73%	73%
Overall Performance	Actions and Measures	15	12	3	0	0	0	80%	

Performance against Target



OFF TARGET

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: B6. Managing risks, performance and finance							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Percentage performance against target to generate capital receipts to support the capital program 2.1.2.12	Not applicable		Q2: 10.61 End Of Year: 87.22	Target: 0.50 Result: 0.57	Target: 7.50 Result: 7.28 Calculation: (152890÷2100000) × 100	Target: 12.50	Target: 100.00
Comment	Transactions have been delayed due to the COVID pandemic						
Remedial Action	Proactively chase outstanding disposals						
Service Head: Jason Jones			Performance status: Off target				
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
The percentage of council tax due for the financial year which was received by the authority CFH/007	Not applicable		Q2: 57.81 End Of Year: 97.78	Target: 31.00 Result: 28.42	Target: 56.00 Result: 55.44 Calculation: (60804415.08÷109679456.46) × 100	Target: 84.00	Target: 97.50
Comment	Due to the current pandemic we were unable to commence recovery action until late August.						
Remedial Action	we will continue to take a pro active approach to recovery ensuring that we provide relevant support and advice to those falling into arrears to ensure the best possible way forward with regard to repayment arrangements.						
Service Head: Helen Pugh			Performance status: Off target				
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
The percentage of non-domestic rates due for the financial year which were received by the authority CFH/008	Not applicable		Q2: 62.65 End Of Year: 97.22	Target: 33.00 Result: 37.57	Target: 60.00 Result: 59.95 Calculation: (19166230.04÷31969159.53) × 100	Target: 85.00	Target: 98.10
Comment	The economic climate as a result of the pandameic has had a significant effect on businesses.						
Remedial Action	We will continue to take a pro active approach to recovery and ensure that we support businesses in the best possible way as regards to repayment arrangements.						
Service Head: Helen Pugh			Performance status: Off target				Tudalen 182 

ON TARGET ETC.

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: A. Transforming, Innovating and Changing (TIC) the way we work and deliver services							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Number of Transactional Council Services available to the public online	Not applicable		Q2: 27	Target: 31	Target: 33	Target: 34	Target: 35
ICT/003			End Of Year: 30	Result: 33	Result: 36		
Comment	Due to the COVID-19 pandemic, many DTSG Projects and projects identified within the Departmental Business Plan for 20/21 have had to be put on hold for Q1 and Q2; and our focus / priorities have had to shift to responding / reactive to COVID-19 demand. For this quarter additional services include: Libraries Click and Collect Service, Pembrey County Park - Online Purchase of Parking Permits, 1 and 7 Day Tickets and a new online Booking and Payment System for services at the County Park (mini-golf, bike hire, ski school etc.)						
Service Head: Noelwyn Daniel			Performance status: On target				
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Number of user sessions to the County Council website	Not applicable		End Of Year: 2050954	Target: 625000	Target: 1250000	Target: 1875000	Target: 2500000
ICT/005				Result: 700621	Result: 1531512		
Service Head: Deina Hockenhill			Performance status: On target				

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: B2. Openness and engagement							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
% of Freedom of Information Act request responded to in 20 working days	Not applicable		Q2: 98.82	Target: 90.00	Target: 90.00	Target: 90.00	Target: 90.00
2.1.1.17			End Of Year: 98.46	Result: 98.23	Result: 97.37		
				Calculation: (296÷304) × 100			
Service Head: Noelwyn Daniel			Performance status: On target				

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: B3. Making a difference							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
% of households have Internet access in Carmarthenshire	Not applicable		End Of Year: 87	--	--	--	Target: 89
ICT/006							Result: 89
Comment	According to the 2019/20 National Survey for Wales, 89% of households in Carmarthenshire have internet access. This is an improvement on the 2018/19 survey result of 87%. This is the 9th highest in Wales, we were previously 10th.						
Service Head: Noelwyn Daniel			Performance status: On target				

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: B4. Making sure we achieve what we set out to do							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
% use of the ICT Self Service helpdesk	Not applicable		Q2: 45.3	Target: 56.0	Target: 57.0	Target: 57.0	Target: 58.0
ICT/002			End Of Year: 46.5	Result: 57.0	Result: 57.1		
					Calculation: (1772÷3104) × 100		
Service Head: Noelwyn Daniel			Performance status: On target				

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: B5. Valuing our people; engaging, leading and supporting							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
% of laptops used in the council ICT/004	Not applicable		Q2: 80 End Of Year: 87	Target: 87 Result: 87	Target: 89 Result: 90 Calculation: (2664÷2954) × 100	Target: 90	Target: 91
Service Head: Noelwyn Daniel			Performance status: On target				
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
The number of working days lost to sickness absence per employee PAM/001	Not applicable		Q2: 4.6 End Of Year: 10.7	Target: 2.0 Result: 1.8	Target: 4.0 Result: 3.4 Calculation: 20501.5÷6084.1	Target: 6.8	Target: 9.6
Comment	Sickness absence continues to reduce. The impact of covid and new ways of working is being monitored, as many staff are now working at home, and those services which have recovered also have new ways of working. New guidance and supportive wellbeing measures are in place to support the workforce.						
Remedial Action	Continue to ensure attendance management through the pandemic to ensure all staff are supported.						
Service Head: Paul R Thomas			Performance status: On target				

Theme: 15. Building a Better Council and Making Better Use of Resources							
Sub-theme: B7. Good transparency and accountability							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Actual achievement against Annual Audit Plan 6.4.1.3	Not applicable		Q2: 30 End Of Year: 72	Target: 10 Result: 10	Target: 35 Result: 36 Calculation: (399÷1100) × 100	Target: 60	Target: 90
Comment	Audit plan is progressing well.						
Remedial Action	Continue to work on progressing the Audit plan.						
Service Head: Helen Pugh			Performance status: On target				

Theme: 5. Tackle poverty by doing all we can to prevent it, help people into work and improve the lives of
Sub-theme: C. Improving the lives of those living in poverty

Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Average time for processing new Housing/Council Tax Benefit claims 6.6.1.2	Not applicable		Q2: 13.69 End Of Year: 12.48	Target: 26.00 Result: 13.88	Target: 26.00 Result: 11.83 Calculation: 75958÷6423	Target: 24.00	Target: 22.50

Service Head: Helen Pugh Performance status: On target

Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Average time for processing Housing/Council Tax Benefit notifications of changes of circumstances (days) 6.6.1.3	Not applicable		Q2: 3.63 End Of Year: 3.14	Target: 7.00 Result: 2.69	Target: 7.00 Result: 3.06 Calculation: 137607÷45035	Target: 7.00	Target: 5.50

Service Head: Helen Pugh Performance status: On target

Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
% of recently calculated Housing/Council Tax Benefit claims that have been calculated accurately based on a sample check 6.6.1.9	Not applicable		Q2: 96.91 End Of Year: 97.66	Target: 92.50 Result: 97.47	Target: 92.50 Result: 96.24 Calculation: (256÷266) × 100	Target: 93.00	Target: 95.00

Service Head: Helen Pugh Performance status: On target

Theme: 6. Create more jobs and growth throughout the county							
Sub-theme: D. By developing learning, skills, employability and encouraging a spirit of entrepreneurship...							
Measure Description	2019/20 Comparative Data			2020/21 Target and Results			
	Best Quartile	Welsh Median	Our Actual	Quarter 1	Quarter 2	Quarter 3	End of Year
Number of apprentices on formal recognised apprenticeship schemes per 1,000 employees PAM/044	Not applicable		Q2: 29.1 End Of Year: 34.4	Target: 20.0 Result: 22.6	Target: 20.0 Result: 23.8 Calculation: (148÷6210) × 1000	Target: 20.0	Target: 20.0
Comment	Figures less due to Corona Virus						
Service Head: Paul R Thomas			Performance status: On target				

Mae'r dudalen hon yn wag yn fwriadol

Pwyllgor Craffu Polisi ac Adnoddau 3 Chwefror 2021

COFNODION BWRDD GWASANAETHAU CYHOEDDUS (PSB) SIR GÂR – MEDI 2020		
Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen: 1. I ystyried a chraffu ar gynnwys cofnodion y BGC o'i rhithiol gyfarfod a gynhaliwyd ar y 29 Medi 2020.		
Y Rhesymau: Mae Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015 yn nodi gofyniad i bwyllgor craffu llywodraeth leol gael ei benodi i graffu gwaith y BGC. Yn Sir Gâr, mae'r Pwyllgor Craffu Polisi ac Adnoddau wedi ei benodi fel y pwyllgor craffu priodol.		
Angen i'r Bwrdd Gweithredol wneud penderfyniad NAC OES Angen i'r Cyngor wneud penderfyniad NAC OES		
YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:- Cyng. Emlyn Dole, Arweinydd		
Y Gyfarwyddiaeth: Prif Weithredwr Enw Pennaeth y Gwasanaeth: Noelwyn Daniel Awdur yr Adroddiad: Gwyneth Ayers	Swyddi: Pennaeth Gwasanaeth TGCh a Pholisi Corfforaethol Rheolwr Polisi Corfforaethol a Phartneriaeth	Rhifau ffôn: 01267 224659 Cyfeiriadau E-bost: GAyers@sirgar.gov.uk

EXECUTIVE SUMMARY

POLICY & RESOURCES SCRUTINY COMMITTEE

3 February 2021

Carmarthenshire Public Services Board (PSB) Minutes – September 2020

In order to ensure PSBs are democratically accountable, the Well-being of Future Generations (Wales) Act 2015 places a requirement on councils to designate an overview and scrutiny committee to scrutinise the work of the PSB. In the 'Guidance for Local Authority Scrutiny Committees on the scrutiny of Public Services Boards' issued by Welsh Government in August 2017 it notes:

'Under the provisions contained in the Act, overview and scrutiny committees have extensive powers to review the PSB's governance arrangements as well as any decisions made or actions taken by the PSB. In addition, overview and scrutiny committees are provided with considerable reporting powers as they are required to share copies of any reports or recommendations made in connection with the board's function or governance arrangement with the Welsh Ministers, the Future Generations Commissioner for Wales and the Auditor General for Wales.'

In Carmarthenshire, the Council's Policy & Resources Scrutiny Committee has been designated as the relevant scrutiny.

The minutes of the September 2020 Carmarthenshire PSB meeting were approved by the PSB at its 15 December 2020 meeting.

The minutes are presented to the Council's Policy & Resources Scrutiny for further consideration and scrutiny.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Noelwyn Daniel, Head of ICT & Corporate Policy

Policy, Crime &
Disorder and
Equalities

Legal

Finance

ICT

Risk
Management
Issues

Staffing
Implications

Physical
Assets

YES

NONE

NONE

NONE

NONE

NONE

NONE

1. Policy, Crime & Disorder and Equalities

The presentation of PSB minutes to a designated overview and scrutiny committee is a requirement of the Well-being of Future Generations (Wales) Act 2015. Paragraph 181 of Statutory Guidance SPSF 3: Collective role notes:

'The (scrutiny) committee must send a copy of any report or recommendation it makes to the Welsh Ministers, the (Future Generations) Commissioner and the Auditor General for Wales.'

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Noelwyn Daniel, Head of ICT & Corporate Policy

1. Scrutiny Committee Policy & Resources Scrutiny Committee – 3 February 2021

2. Local Member(s) N/A

3. Community / Town Council

Representatives from one of the seven Carmarthenshire Town & Community Councils subject to the Well-being of Future Generations Act in their own right, attend PSB meetings on a rota basis.

4. Relevant Partners Through the Public Services Board meeting

5. Staff Side Representatives and other Organisations N/A

**EXECUTIVE BOARD PORTFOLIO
HOLDER(S) AWARE/CONSULTED**

YES

Include any observations here

Cllr Emlyn Dole attends PSB meetings on behalf of the Council

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
SPSF 3 – Guidance on the collective role through public services boards		Cymraeg http://gov.wales/docs/desh/publications/161111-spsf-3-collective-role-cy.pdf English http://gov.wales/docs/desh/publications/161111-spsf-3-collective-role-en.pdf
Guidance for Local Authority Scrutiny Committees on the scrutiny of Public Services Boards		Cymraeg http://gov.wales/docs/dpsp/publications/170817-public-services-boards-guidance-cy.pdf English http://gov.wales/docs/dpsp/publications/170817-public-services-boards-guidance-en.pdf

Mae'r dudalen hon yn wag yn fwriadol



2.00pm, dydd Mawrth 29 Medi 2020
Cyfarfod Microsoft Teams

COFNODION

Presennol

Enw	Sefydliad
Barry Liles (Cadeirydd)	Prifysgol Cymru y Drindod Dewi Sant
Y Cynghorydd Emlyn Dole	Cyngor Sir Caerfyrddin
Huwel Manley	Cyfoeth Naturiol Cymru
Yr Athro Jean White	Llywodraeth Cymru
Ruth Mullen	Cyngor Sir Caerfyrddin
Julian Atkins	Awdurdod Parc Cenedlaethol Bannau Brycheiniog
Kevin Jones	Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru
Yr Uwcharolygydd Ifan Charles	Heddlu Dyfed-Powys
Marie Mitchell	Cymdeithas Gwasanaethau Gwirfoddol Sir Gaerfyrddin
Menna Davies	Adran Gwaith a Phensiynau
Anna Bird	Bwrdd Iechyd Prifysgol Hywel Dda
Maria Battle	Bwrdd Iechyd Prifysgol Hywel Dda
Carys Morgans	Swyddfa'r Comisiynydd Heddlu a Throseddau

Yn bresennol

Enw	Sefydliad
Gwyneth Ayers	Cyngor Sir Caerfyrddin
Kate Harrop	Cyngor Sir Caerfyrddin
Wendy Phillips	Cyngor Sir Caerfyrddin
Jane Lewis	Cyngor Sir Caerfyrddin
Kris Kingsley	Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru
Beth Cossins	Iechyd Cyhoeddus Cymru
Delyth Jones	Cyngor Tref Llanelli
Gary Jones	Cyngor Tref Llanelli
Arfon Davies	Cyngor Tref Llanelli
Y Cynghorydd Michael Theodoulou	Cyngor Tref Pen-bre a Phorth Tywyn
Louise Robinson	Cyngor Tref Pen-bre a Phorth Tywyn
Huw Thomas	Cyngor Tref Pen-bre a Phorth Tywyn

1. Croeso ac Ymddiheuriadau

Croesawodd Barry Liles, Cadeirydd y BGC, bawb i'r cyfarfod rhithwir a chyflwynwyd y gwesteion.

Ymddiheuriadau	
Enw	Sefydliad
Ros Jervis	Bwrdd Iechyd Prifysgol Hywel Dda
Christine Harley	Y Gwasanaeth Prawf Cenedlaethol
Y Cynghorydd Jan Curtice	Awdurdod Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru
Andrew Cornish	Coleg Sir Gâr
Martyn Palfreman	Bwrdd Partneriaeth Ranbarthol Gorllewin Cymru
Ros Jervis	Bwrdd Iechyd Prifysgol Hywel Dda

2. Adroddiadau Blynyddol Cyngor Tref a Chymuned

Cyngor Tref Llanelli

Dywedodd Gary Jones, Clerc Cyngor Tref Llanelli, eu bod wedi ymgorffori'r agwedd ar Ddeddf Llesiant Cenedlaethau'r Dyfodol a'r pum ffordd o weithio yn eu gwaith o ddydd i ddydd. Mae Swyddog Datblygu Cymunedol wedi'i gyflogi ers dros 15 mlynedd ac mae'n cydweithio'n agos â nifer o sefydliadau eraill yn yr ardal. Mae amcanion y Cyngor yn cael eu llywio gan anghenion cymunedol ac mae'n broses sy'n dechrau o'r gwaelod i fyny.

Cyflwynodd Delyth Jones, Swyddog Datblygu Cymunedol, yr adroddiad a thynnodd sylw at y pwyntiau canlynol:

- Mae rhaglen waith y cytunir arni yn cael ei datblygu'n flynyddol ac yn cael ei hadolygu bob chwe mis. Mae'r rhain wedi'u cynnwys fel atodiadau i'r adroddiad. Ystyrir pob agwedd ar y rhaglen waith er mwyn sicrhau ei bod yn cefnogi blaenoriaethau'r ddeddf.
- Darparwyd enghreifftiau o waith ymgysylltu sy'n cynnwys Grŵp Llywio Adfywio Tyisha, Ardal Gwella Busnes, Grŵp y Tasglu, Partneriaeth Gymunedol Sir Gaerfyrddin a Threftadaeth Nas Cerir (Gwasanaethau Cymorth Ieuenctid, Ysgol Coedcae ac Ymddiriedolaeth Archaeolegol Dyfed)
- Mae Covid-19 wedi effeithio ar y ffordd y mae ymgysylltu cymunedol yn cael ei wneud.

Canmolodd Barry Liles waith y prosiect Treftadaeth Nas Cerir a dywedodd fod gan Brifysgol Cymru y Drindod Dewi Sant gysylltiadau cryf â threftadaeth ac archaeoleg ac y byddai'n hapus i ddarparu manylion cyswllt unigolion priodol. Yn ogystal, cynigiodd Ruth Mullen gysylltiadau â Chanolfan Treftadaeth Dyffryn Tywi sy'n darparu hyfforddiant ymarferol.

Dywedodd Huwel Manley fod y Cyngorau Tref a Chymuned yn cydweithio'n dda, yn enwedig o ran y rhaglen plannu coed. Ychwanegodd Delyth fod Cyngor Tref Llanelli wedi sefydlu gardd ar gyfer glöynnod byw a bod ganddynt dri phrosiect coed ar y gweill.

Cyngor Tref Pen-bre a Phorth Tywyn

Cyflwynodd Louise Robinson, Swyddog Datblygu Cymunedol, yr adroddiad:

- Amcan y Cyngor yw gwella ansawdd bywyd y trigolion yn ogystal â'r dyletswyddau statudol.

- Yn ystod 2019/20, rhoddodd Un Llais Cymru y wobwr 'Y Gorau yng Nghymru' am Ymgysylltu â'r Gymuned i'r Cyngor.
- Mae'r Cyngor wedi datblygu perthnasoedd cadarn yn sgil gwneud gwaith amlasiantaeth.

Estynnodd Barry Liles ei longyfarchiadau i'r Cyngor am y wobwr gan Un Llais Cymru. Canmolodd Ruth Mullen y fenter Prynu'n Lleol y dros y Nadolig.

Holodd Huwel Manley a ellid cydweithio mwy â Chyfoeth Naturiol Cymru fel perchnogion Coedwig Pen-bre. Ymatebodd Michael Theodoulou drwy ddweud y byddai hyn yn cael ei groesawu.

Dywedodd Delyth Jones fod y gwaith cydweithredu rhwng y saith Cyngor Tref a Chymuned a Chyngor Sir Caerfyrddin yn unigryw ledled Cymru a'i fod yn cael ei ystyried yn esiampl. Diolchodd Gwyneth Ayers i'r ddau Gyngor am eu cyflwyniad a bod yr amser sy'n cael ei fuddsoddi o ran ymgysylltu o fudd i bawb.

Y CAM GWEITHREDU

Sicrhau cysylltiad rhwng Louise Robinson a Huwel Manley

Gwyneth Ayers

3. Cofnodion a Materion yn Codi:

6 Gorffennaf 2020

Derbyniwyd bod y cofnodion yn gofnod gwir a chywir.

Cofnod o'r Camau Gweithredu

Darparodd Gwyneth Ayers y wybodaeth ddiweddaraf am y camau gweithredu blaenorol. Cam Gweithredu 14 – Dywedodd Marie Mitchell y bydd GamCare yn cysylltu â'r Tîm Cymorth yn uniongyrchol gyda gwybodaeth am hyfforddiant a chymorth.

Holodd Ruth Mullen a ddylid ymestyn Cam Gweithredu 7 i gynnwys cysylltiadau â'r Fforwm Lleol Cymru Gydnerth – cytunwyd ynghylch hyn.

Holodd Julian Atkins sut mae'r Cyngor Sir a Chyngor Tref Pen-bre a Phorth Tywyn yn cydweithio ar deithio llesol a thrafnidiaeth gynaliadwy â Pharc Arfordirol y Mileniwm, sy'n ased allweddol. Dywedodd Ruth Mullen y bydd yn rhoi manylion cyswllt Simon Charles, sy'n arwain ar y rhaglen teithio llesol a thrafnidiaeth gynaliadwy, i Julian. Dywedodd Huwel Manley fod y Fargen Ddinesig yn edrych ar gyfleoedd ar gyfer Cerbydau Trydan ar draws y rhanbarth, gan gynnwys anghenion seilwaith. Ychwanegodd Julian fod Parc Cenedlaethol Bannau Brycheiniog wedi gwneud cryn dipyn mewn perthynas â gosodiadau pwyntiau gwefru Cerbydau Trydan ar ei ystâd ei hun.

Y CAM GWEITHREDU

Cysylltu â Simon Charles ynghylch Gwaith Teithio Llesol y Cyngor

Ruth Mullen a Julian
Atkins

4. Dadansoddiad o Sgiliau o Effeithiau Covid-19 ar Sir Gaerfyrddin

Rhoddodd Jane Lewis gyflwyniad ar yr adroddiad a gyflwynwyd i Lywodraeth Cymru ym mis Gorffennaf.

- Cysylltwyd â 574 o fusnesau'n uniongyrchol a bu 422 o fusnesau eraill yn cymryd rhan mewn arolwg ar-lein. Darparodd hyn lawer o wybodaeth am effaith Covid ar fusnesau.
- Y prif ganfyddiad oedd yr angen i wella sgiliau digidol a TGCh ar draws y rhan fwyaf o sectorau.
- Ceir darlun cymysg o ran y galw am brentisiaethau yn y dyfodol.
- Mae angen cymorth ychwanegol ar fusnesau o ran ymholiadau am faterion digidol/TG, Adnoddau Dynol, a materion cyfreithiol, ariannol a rhai sy'n ymwneud ag yswiriant. Mae Busnes Cymru yn ceisio mynd i'r afael â hyn.
- Sir Gaerfyrddin sydd â'r drydedd lefel uchaf o hunangyflogaeth yng Nghymru.
- Roedd 75% wedi manteisio ar y Cynllun Cymorth Incwm i'r Hunangyflogedig yn Sir Gaerfyrddin. Mae hyn yn is na chyfartaledd Cymru, sef 78%.
- 30% yw'r gyfradd ffyrlo yn Sir Gaerfyrddin. Mae hyn 1% yn uwch na chyfartaledd Cymru. Nid ydym yn gwybod pa effaith y bydd diwedd y cynllun ffyrlo yn ei chael ar ddileu swyddi.
- 5.7% yw'r gyfradd o ran y rhai sy'n hawlio yn Sir Gaerfyrddin o'i gymharu â 6.3% a 6.5% ar gyfer Cymru a'r DU, yn y drefn honno.
- Roedd nifer yr hysbysebion swyddi ym mis Awst yn uwch na'r rhai a welwyd yn ystod yr un cyfnod yn y pedair blynedd diwethaf.
- Disgwylir i adroddiad arall gael ei gyflwyno i Lywodraeth Cymru erbyn diwedd mis Hydref a fydd yn cynnwys manylion am gyfraddau o ran dileu swyddi, effaith ffyrlo, anghenion brys o ran hyfforddiant sgiliau, meysydd sy'n tyfu ac yn dirywio ac effaith ar y diwydiant lletygarwch.

Dywedodd Barry Liles ei fod yn siomedig ynghylch yr effaith ar brentisiaethau ac mai'r grŵp oedran 16-24 oed yw'r un a effeithir arno waethaf mewn dirwasgiadau.

Ychwanegodd Menna Davies ei fod yn beth cadarnhaol bod mwy o swyddi'n cael eu hysbysebu ym mis Awst eleni o'i gymharu â'r blynyddoedd blaenorol, gyda chynnydd penodol mewn cyflogaeth ym maes manwerthu a gyrwyr dosbarthu. Fodd bynnag, roedd cynnydd o 286 o aelwydydd yn hawlio Credyd Cynhwysol rhwng Gorffennaf ac Awst.

Dywedodd Julian Atkins fod Bwrdd Gwasanaethau Cyhoeddus Powys wedi llunio adroddiad tebyg ynghylch yr effaith ar iechyd a gofal cymdeithasol. Mae'r adroddiadau hyn gyda'i gilydd yn rhoi darlun da o'r effaith ar gymunedau gwledig. Dywedodd Jane Lewis fod adroddiad tebyg wedi'i lunio ar gyfer Powys oherwydd mai partneriaeth ranbarthol ydyw.

Y CAM GWEITHREDU

Rhoi'r wybodaeth ddiweddaraf am Sgiliau yn y cyfarfod nesaf

Jane Lewis

5. Y Rhaglen Cychwyn Arni

Rhoddodd Menna Davies gyflwyniad ar y Rhaglen Cychwyn Arni.

- Mae Cynllun Creu Swyddi Cychwyn Arni yn gynllun sy'n werth £2,000,000 a bydd yn creu cannoedd o filoedd o swyddi newydd â chymhorthdal llawn i bobl ifanc ledled y wlad. Lansiwyd y cynllun ar 2 Medi ac roedd ceisiadau'n agor ar gyfer cyflogwyr oedd am gynnig

lleoliadau. Yn ne-orllewin Cymru, ymrwymodd 352 o gyflogwyr â 7,000 o swyddi dros y pythefnos diwethaf.

- 16-24 oed yw'r grŵp oedran yr effeithiwyd arno fwyaf, sydd â phedair gwaith yn fwy o'r grŵp oedran hwn ar Gredyd Cynhwysol ar hyn o bryd o'i gymharu â'r adeg hon y llynedd.
- Mae'r cynllun yn darparu cyfleoedd i gynnig lleoliad gwaith i bobl ifanc 16-24 oed sydd ar Gredyd Cynhwysol ac mewn perygl o fod yn ddi-waith yn yr hirdymor. Byddant yn cael cynnig lleoliad gwaith am 6 mis am 25 awr yr wythnos ar isafswm cyflog cenedlaethol. Mae canllawiau ar gael ar y wefan Gov.uk.
- Y meini prawf ar gyfer cyflogwyr yw eu bod yn recriwtio mwy na 30 o bobl dros oes y fenter sydd ar hyn o bryd yn dod i ben ym mis Rhagfyr 2021. Gellir cyflwyno ceisiadau'n uniongyrchol ar-lein. Gall busnesau sydd am recriwtio llai na 30 o bobl bartneru â busnesau eraill i gyrraedd y swm o 30 o bobl sy'n ofynnol.
- Darperir grant ymlaen llaw o £1,500 ar gyfer pob person a gyflogir i dalu am hyfforddiant ac ati.
- Bydd lleoliadau swyddi Cychwyn Arni yn cael eu llwytho ar blatfform y cynllun Credyd Cynhwysol. Bydd Anogwyr Gwaith hefyd yn cyfeirio ymgeiswyr addas at gyflogwyr.
- Gellir gweld rhestr o sefydliadau/busnesau sydd wedi mynegi diddordeb ar y wefan Gov.uk.

Y CAM GWEITHREDU

Dosbarthu dolen i dudalen Cychwyn Arni

<https://www.gov.uk/government/collections/kickstart-scheme>

Menna Davies

6. Cyfoeth Naturiol Cymru - dyraniad cyllid ar gyfer prosiectau'r Bwrdd Gwasanaethau Cyhoeddus

Dywedodd Huwel Manley fod Cyfoeth Naturiol Cymru wedi sicrhau bod £25,000 ar gael i bob BGC yng Nghymru i'w ddefnyddio tuag at yr amcanion llesiant, yn enwedig ar gyfer cyflawni prosiectau amgylcheddol. Y gobaith yw mai hwn fydd y cyntaf o dair blynedd o gyllid.

Yn eu cyfarfod diwethaf trafododd y Grŵp Cyflawni Amgylchedd lach ynghylch prosiectau posibl a oedd yn cynnwys:

1. Man gwyrdd amlswyddogaethol Lôn Jackson - astudiaeth sy'n edrych ar Seilwaith Gwyrdd yn yr ardal ac sy'n cynnwys gwelliannau i ansawdd aer a'r gwaith o blannu coed.
2. Lliniaru newid yn yr hinsawdd Castellnewydd Emlyn – adfywio'r dref, a gafodd ei tharo'n wael gan storm Callum, mewn ffordd gynaliadwy.
3. Rhaglen plannu coed gan weithio gyda'r saith Cyngor Tref a Chymuned.

Bydd cynigion sy'n cael eu cymeradwyo gan yr aelodau'n cael eu cyflwyno i Gyfoeth Naturiol Cymru. Gellir cyflwyno cynigion eraill fel prosiectau wrth gefn.

Gofynnodd Anna Bird a ellid cyfuno'r cyllid ar gyfer y flwyddyn wedyn yn rhanbarthol er mwyn rhoi hwb cychwynnol i'r gwaith ar atebion gwyrdd a chymdeithasol ar gyfer ieuchyd, sy'n flaenoriaeth i'r tri BGC, sydd hefyd yn gweithio gyda'r Bwrdd Partneriaeth Ranbarthol. Cytunodd Huwel fod hwn yn awgrym ardderchog ar gyfer y flwyddyn ariannol nesaf ac ychwanegodd y gallai fod arian arall ar gael i wneud y gwaith. Ychwanegodd Beth Cossins y gellid defnyddio'r chwe mis nesaf i ddatblygu fframwaith comisiynu cryfach ar gyfer y cyllid.

Gofynnodd Huwel hefyd am gael gwybod am flociau o'r tir sydd ar gael, sydd dros 5 erw, y tu allan i ardaloedd cynghorau cymuned ar gyfer rhaglenni ar raddfa fwy.

Y CAM GWEITHREDU	
Cysylltu â Huwel Manley ynghylch awgrymiadau ar gyfer tir (ac eithrio eiddo'r cyngor cymuned) i ddatblygu coetir ar raddfa fawr.	Pawb
Datblygu prosiectau y cytunwyd arnynt i'w cyflwyno i CNC.	Tîm Cynorthwyo BGC

7. Y Wybodaeth Ddiweddaraf am y Bwrdd Partneriaeth Ranbarthol

Rhoddodd Anna Bird y wybodaeth ddiweddaraf ganlynol am waith y Bwrdd:

- Cyhoeddodd Llywodraeth Cymru ddatganiad ar 24 Awst yn cadarnhau bod y Gronfa Gofal Integredig a'r Gronfa Drawsnewid yn cael eu hystyngi tan fis Ebrill 2022. Ers hynny, maent wedi cadarnhau y bydd rhaglenni presennol y Gronfa Drawsnewid yn cael eu cefnogi ar y lefelau presennol ar gyfer y cynnod ariannu estynedig. Mae'r manylion ynghylch prosiectau'r Gronfa Gofal Integredig a'u dyraniad eto i ddod.
- Comisiynwyd adolygiad o'r Rhaglen Drawsnewid a disgwylir adroddiad yn ystod yr wythnosau nesaf. Bydd hwn yn cael ei rannu ag aelodau maes o law.
- Anfonwyd cyfarwyddbau at y Byrddau Partneriaeth Ranbarthol ddiwedd mis Awst yn nodi ystod o ofynion ynghylch cynllunio ac adrodd. Disgwylir i adroddiadau blynyddol y Byrddau Partneriaeth Rhanbarthol gael eu cyhoeddi erbyn 15 Hydref a chafwyd cais i roi'r wybodaeth ddiweddaraf am yr asesiad o anghenion y boblogaeth a gynhaliwyd yn 2017, gan nodi unrhyw newidiadau neu wahaniaethau sylweddol o ran anghenion a ragwelir ar gyfer gofal a chymorth.

Ychwanegodd Gwyneth y bydd angen i'r BGC ddiweddarau ei asesiad llesiant a chyda chymeradwyaeth y BGC hoffai i'r broses gyd-fynd â'r wybodaeth ddiweddaraf am boblogaeth y Byrddau Partneriaeth Rhanbarthol gymaint â phosibl. Cytunwyd y dylid gwneud hynny.

Y CAM GWEITHREDU	
Cysylltu â'r Bwrdd Partneriaeth Ranbarthol i sicrhau dull cydgysylltiedig o asesu anghenion y boblogaeth ac asesu anghenion llesiant	Tîm Cynorthwyo BGC

8. Cynllun Llesiant Cyngor Sir Caerfyrddin - blaenoriaethau'r dyfodol

Dywedodd Gwyneth Ayers fod blaenoriaethau'r BGC yn y dyfodol wedi cael eu trafod mewn cyfarfodydd blaenorol ynghyd ag archwiliad o effeithiau Covid a sut y bydd y sir yn gwella ar ei ôl. Cyflwynwyd tabl yn y cyfarfod olaf ynghylch yr hyn y mae'r grwpiau cyflawni wedi'i ystyried a'r blaenoriaethau a nodwyd mewn trafodaethau. Mae colofn wedi'i hychwanegu at y tabl hwnnw sy'n nodi meysydd ffocws arfaethedig wrth gamu ymlaen. Darparwyd crynodeb.

Dywedodd Julian Atkins fod angen cryfhau'r llinyn ynghylch argyfwng hinsawdd sydd â dwy is-elfen:

- Datgarboneiddio – mae Powys yn gwneud gwaith i nodi maint y dasg o ddatgarboneiddio cyngor sir gwledig ac mae wedi gwneud rhywfaint o waith o ran cyfran yr allyriadau o amaethyddiaeth, diwydiant, tai a thrafnidiaeth. Mae heriau mawr yn bodoli o ran trafndiaeth.

- Adfer natur a cholledion bioamrywiaeth – byddai'n ddefnyddiol goruchwyllo'r holl waith sy'n cael ei wneud.

Soniodd Ruth Mullen am y flaenoriaeth o ran canolfannau cyd-ddefnyddio yng nghanol trefi sydd wedi bod ar yr agenda ers tro. Mae Covid wedi ein hannog i edrych ar hyn o ddifrif, yn enwedig eiddo sy'n dod yn wag yng nghanol ein trefi ac sy'n rhoi cyfle gwirioneddol i rannu eiddo. Ychwanegodd ei bod yn ymwybodol bod Dirprwy Weinidog wedi gwneud datganiad cryf am gefnogi'r canolfannau cyd-ddefnyddio yng nghanol trefi am wahanol resymau sy'n cyd-fynd â'n blaenoriaethau eraill. Bydd hyn hefyd yn arwain at lai o deithio ac yn cyd-fynd â'r agenda o ran lleihau carbon.

Y CAM GWEITHREDU	
Dosbarthu'r Adroddiad Cynhadledd ynghylch Atebion Cymdeithasol a Gwyrdd ar gyfer Iechyd	Beth Cossins
Trafod rhagor o fanylion yn y cyfarfod nesaf ynghylch Blaenoriaethau'r Dyfodol y cytunwyd arnynt	Tîm Cynorthwyo BGC

9. Cyfarfod y Bwrdd Gwasanaethau Cyhoeddus a'r Bwrdd Partneriaeth Ranbarthol - 4 Tachwedd 2020

Dywedodd Kate Harrop fod ein cyfarfod nesaf i'w gynnal ar 4 Tachwedd ond y bydd bellach yn Gyfarfod y BGC Rhanbarthol a'r BPR, a gynhelir gan Geredigion.

Mae'r agenda ddrafft ganlynol wedi'i datblygu a chyflwynwyd cais i ystyried cynnwys yr eitemau ychwanegol:

- Cyflwyniad gan y Comisiynydd Heddlu a Throseddau - Ysgrifennodd y Comisiynydd Heddlu a Throseddau at y Byrddau Gwasanaethau Cyhoeddus yn gofyn am gael bod yn bresennol mewn cyfarfod i roi'r wybodaeth ddiweddaraf am brosiectau a chyflawniadau yn ystod ei gyfnod yn y swydd a nodi cyfleoedd pellach i gydweithio.
- Y wybodaeth ddiweddaraf am y platfform digidol – llwyfannau rhannu data rhanbarthol a gyllidir gan Lywodraeth Cymru ac mae'r Bwrdd Partneriaeth Ranbarthol wedi cyfrannu atynt. Bydd WriteMedia yn rhoi arddangosiad byr i ddangos sut y bydd yn helpu â'r cynlluniau asesu llesiant nesaf, ymgysylltu, asesu ein perfformiad a sut rydym yn rhannu ac yn casglu data. Yn ogystal, mae'n bosibl y bydd gwybodaeth ddiweddaraf ar gael am y System Ddigidol o ran Ymgysylltu gan y Bwrdd Iechyd.
- Cyflwyniad ar wytnwch lleol wrth ymateb i Covid
- Ffrydiau cyllido'r Bwrdd Partneriaeth Ranbarthol

Dylid anfon unrhyw awgrymiadau am eitemau ychwanegol ar yr agenda at Dîm Cymorth y BGC.

Awgrymodd Anna Bird ystafelloedd cyfarfod lle y gall aelodau ddewis pa gyflwyniad i'w weld. Bydd hyn yn cael ei gyflwyno i Dîm Cymorth y BGC.

Dywedodd Huwel Manley mai un pwnc sydd ar goll o'r agenda yw rhagnodi cymdeithasol a gwyrdd.

Y CAM GWEITHREDU

Hysbysu Tîm Cymorth y BGC am unrhyw awgrymiadau ychwanegol ar gyfer eitemau ar yr agenda ar gyfer cyfarfod y Bwrdd Gwasanaethau Cyhoeddus a'r Bwrdd Partneriaeth Ranbarthol ar 4 Tachwedd

Pawb

Aildrefnu cyfarfod BGC Sir Gaerfyrddin a oedd i'w gynnal ar 4 Tachwedd tan ddiwedd Tachwedd/dechrau Rhagfyr

Tîm Cynorthwyo BGC

10. Unrhyw Fater Arall

Nid oedd unrhyw fater arall wedi cael ei godi.

Pwyllgor Craffu – Polisi ac Adnoddau 03.02.21

ADRODDIAD MONITRO CHWARTEROL BARGEN DDINESIG BAE ABERTAWE

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

Adroddiad er gwybodaeth yn unig yw hwn.

Y rhesymau:

Rhoi'r wybodaeth ddiweddaraf i'r Aelodau Craffu am Fargen Ddinesig Bae Abertawe.

**Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad:
NAC OES**

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:- Y Cyngorydd Emlyn Dole (Arweinydd)

**Y Gyfarwyddiaeth: Adran y Prif
Weithredwr**

**Enw'r Cyfarwyddwr:
Wendy Walters**

**Awdur yr Adroddiad: Jonathan
Burnes**

**Swydd:
Prif Weithredwr**

**Cyfarwyddwr Rhaglen Bargaen
Ddinesig Bae Abertawe**

**Cyfeiriad e-bost:
jburnes@sirgar.gov.uk**

EXECUTIVE SUMMARY
POLICY AND RESOURCES SCRUTINY COMMITTEE
03.02.21

Swansea Bay City Deal Quarterly Monitoring Report

1. Purpose

Swansea Bay City Deal (SBCD) Quarterly Monitoring Report for the SBCD Portfolio and its constituent programmes / projects provides the Policy & Resources Scrutiny Committee with an update on the current status of the City Deal, a summary of programme / project key activity for the last 3 months and current quarter planned activity.

2. Background

Annex A: The SBCD Quarterly Monitoring Report is made up of 2 levels with several components

- Portfolio
 - Communications and Marketing
- Programmes / Project
 - Scorecard with status summary
 - Previous quarter achievements and current quarter planned activities
 - Outputs

DETAILED REPORT ATTACHED

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Jonathan Burnes

Programme Director, Swansea Bay City Deal

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance NONE	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
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EXECUTIVE BOARD PORTFOLIO HOLDER(S) AWARE/CONSULTED YES	Include any observations here NA
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CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below
Signed: Jonathan Burns, Programme Director, Swansea Bay City Deal

- 1. Scrutiny Committee – for information purposes only**
- 2. Local Member(s) – N/A**
- 3. Community / Town Council – N/A**
- 4. Relevant Partners – N/A**
- 5. Staff Side Representatives and other Organisations – N/A**

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:

THERE ARE NONE

Mae'r dudalen hon yn wag yn fwriadol

Swansea Bay City Deal Portfolio Quarterly Monitoring Report January 2021

Programme / Project Scorecard

Prog / Proj	Status Update	Delivery	Scope	Staffing Resource	Finance	Stakeholder Engagement	Overall
Digital Infrastructure	The programme has been assessed as Amber / Green via a very recently conducted and thorough external peer stage gate review. Scorecard remains Amber whilst the recommendations from the review are considered and implemented.						
Pembroke Dock Marine	Overall status Red due to awaiting sign off of revised scope and funding agreements. These are impacting all other elements of the programme and the ability to secure and deploy resources to meeting the requirements of the programme						
Pentre Awel	<u>Delivery</u> - Commencement of procurement exercise for zone 1 subject to timely approval of Business Case. Business Case submitted to UK and WG November 2020 following all CCC and City Deal approvals. MoUs signed with academic institutions HoT under development – aligned with business case outputs. <u>Staffing</u> - resources are drawn in from CCC departments/partner organisations as appropriate <u>Finance</u> - City Deal investment decision awaited; institutional investors and funding model to be finalised (n.b. institutional investment not required for Zone 1)						
Homes As Power Stations	Project not yet approved. OBC submitted to PoMO December 2020. Project Team revising OBC. Limited formal activity PAR review - June 2020. Project Board established, stakeholder mapping ongoing.						
Supporting Innovation & Low Carbon Growth	OBC in development - due to be formally submitted end January 2021. Project governance in place (SILCG Programme Board) PAR June 2020. CFR Oct 2020 - recommendations being addressed. 2 projects in delivery. Programme Manager to be appointed						
Yr Egin	Economic uncertainty and increasing Covid implications impacting on levels of demand and investment interest. Following Phase 1 Lessons Learned exercise, currently undertaking analysis of future scope and type of facilities required to maintain delivery of project outcomes in development of Phase 2 Strategic Outline Case. Creative Sector demand study commissioned January 2021.						
Swansea City & Waterfront Digital District	Funding agreement discussions are on-going between Swansea Council & UWTSD about the delivery of the box village element of the project. Further City Deal funding drawdown is needed as soon as possible, given spend at risk on the indoor arena element of the project, in particular. The impact of Covid-19 on delivery timeframes, construction costs and tenancy interest in 71/72 The Kingsway (Digital Village) is being continuously monitored. Covid 19 could impact on outputs on the project which is being continually monitored.						
Skills & Talent	Delay in implementing the Skills and Talent programme could impact on the delivery of the skills required by the approved projects. This could lead to projects implementing their own skills plans, which could affect the Skills and Talent initiative, while creating duplication. The project will also not be fully staffed until it has been approved, which is currently a resource challenge						
Life Science, Wellbeing & Sport Campus	Conflicting priorities continue, (i.e. COVID) which may impact progress on delivery, although sufficient engagement from stakeholders continue via working group structures. Project teams have limited resource, existing teams continue efforts to progress project. Outline Business Case has now been informally submitted pending review/feedback from SBCD and consultants (Grant Thornton). A review of resource will take place over coming months. Original scope has been successfully maintained in line with the monies available.						

Title	Portfolio Management Office (Communications & Marketing)	Reporting Period	Q3 2020/2021
Officer	Greg Jones (SBCD Communications & Marketing Officer)		

Summary of last 3 months

- Continuation of City Deal communications and marketing via press releases, website, social media content, media liaison and e-newsletters to the business community
- Representation on project/programme boards including Homes as Power Stations, Supporting Innovation & Low Carbon Growth, and Life Science & Wellbeing Campuses
- Support for governance documentation and funding agreement discussions between stakeholders
- Video production support for projects
- Content support for regional prospectus

Key achievements in Q3

- 51 positive mentions in the local, regional, national and specialist media for the SBCD portfolio and its programmes/projects. This included coverage on Wales Online, the South Wales Evening Post, Wales Business Insider, Business News Wales, Wales 247, Inside Media, the Llanelli Star, the Western Telegraph, and Invest Monitor. Topics covered included the latest £18m funding award, the regional approval of the Pentre Awel and Digital Infrastructure projects, as well as a City Deal update with the Portfolio Director, the start of works at the Swansea Bay Technology Centre, indoor arena progress, a scheme that could inform the detail of the Homes as Power Stations project, and the submission of a planning application for the Pembroke Port infrastructure element of the Pembroke Dock Marine project.
- Distribution of e-newsletter to hundreds of businesses and business representative groups throughout the City Region
- From November 3 to January 15, an average of 512 impressions were achieved from the City Deal's Twitter account – that's the number of times a Tweet has been seen. Tweets in this period linking to the City Deal website, news stories, project pages and content from partners generated 169 likes, 77 retweets and 126 link clicks
- From November 3 to January 15, posts on the City Deal's Facebook page generated a reach of more than 20,000 – that's the number of Facebook users who saw these posts. These posts also generated 341 likes/shares and 475 links/clicks. Videos on the SBCD Facebook page during this period generated a combined 2,204 views.

Key Activities planned Q4

- SBCD website update
- Continuation of City Deal communications via press releases, media liaison and social media content on Facebook and Twitter. These activities will be anchored to SBCD portfolio and programme/project updates
- Strengthening of SBCD LinkedIn account
- Strengthening of internal communications
- Development of e-marketing brochure for the SBCD portfolio and its programmes/projects
- Communications updates to feature in monthly portfolio/projects highlight reports

Programme / Project Title	Digital Infrastructure	RAG Status	A
Local Authority Lead	Carmarthenshire County Council	Programme / Project Lead	Gareth Jones
Prog / Project Delivery Lead	Carmarthenshire County Council	Reporting Period	Jan 21
SRO	Jason Jones		

Budget	
Total Budget	£55m
City Deal	£25m
Public	£16.5m
Private	£13.5m
Description	
To significantly improve digital connectivity throughout the City Region for the benefit of businesses and residents, also helping to attract inward investment. The project is made up of three themes:	
<ul style="list-style-type: none"> Connected Places Rural connectivity Next generation wireless (5G and IOT networks) 	

Key achievements
<ul style="list-style-type: none"> Digital Programme Board expanded and re-established. External stage gate peer review of the programme conducted by independent experts. Amber/Green status given. Business Case amended based on local, regional, and national stakeholder feedback and recommendations. Business Case authorised by Joint Committee, Programme Board, Economic Strategy Board and Local Authorities. Business Case and supporting Programme documentation submitted to Government for approval. Regional agreement obtained to partially recruit Programme resource at risk. Programme risk mitigation ongoing. Supplier engagement ongoing.
Key Activities planned
<ul style="list-style-type: none"> Ongoing implementation of stakeholder feedback and recommendations. Ongoing implementation of external stage gate review recommendations. Recruitment of Programme resources. Recruitment of external expert consultants to advise individual Projects. Funding bid to Welsh Governments Local Broadband Fund to supplement existing Programme budget. Preparation and plans for transitioning to delivery. Support Local Authorities with ongoing Digital Infrastructure activity and investment. Programme risk mitigation ongoing. Supplier engagement ongoing.

Programme / Project Title		RAG Status	
Pembroke Dock Marine		R	
Local Authority Lead	Pembrokeshire County Council Pembrokeshire County Council	Programme / Project Lead	Tim James Tim James
Prog / Project Delivery Lead	Milford Haven Port Authority	Reporting Period	Jan21
SRO	Steven Jones		

Budget	
Total Budget	£60.47m
City Deal	£28m
Public	£16.35m
Private	£16.12m
Description	
<p>This programme will place Pembrokeshire at the heart of UK and global zero carbon, marine and offshore energy innovation, building on the expertise of a marine energy cluster in Pembroke Dock. Facilities will be provided for marine energy innovators to build, test and commercialise their technologies. Project features include:</p> <ul style="list-style-type: none"> Pembroke Dock Infrastructure (PDI) improvements A Marine Energy Engineering Centre of Excellence (MEECE) Marine Energy Test Area (META) developments The Pembrokeshire Demonstration Zone (PDZ) 	
Key Achievements (Overall Objective ID shown in brackets)	
<p>Pembroke Dock infrastructure</p> <ul style="list-style-type: none"> PDI and TCPA and Marine Licence applications both submitted and registered (IP1). 2x FTE Project Managers in post focusing on PDI Delivery (IP6a target) <p>Marine Energy and Engineering Centre of Excellence (MEECE)</p> <ul style="list-style-type: none"> MEECE headcount at 6.2 FTE's (IP6b) ORE Catapult leading on Pan Wales Port and grid Study for Floating Offshore Wind funded by Welsh Government. (OP15) Supported successful bid for £112k Offshore Wind Growth Partnership (OWGP) grant for Ledwood Mechanical Engineering to diversify into offshore wind. MEECE will provide person for Ledwood's Steering Group. (IP3, OP11, OP14). Supported successful Expression of Interest by Seawind for OWGP funding and are supporting a full bid for a £500k project to be based in Pembroke Dock. (IP3, OP11, OP14). <p>Marine Energy Test Area (META)</p> <ul style="list-style-type: none"> META Operations Manager role commenced Q1 2021 (IP6c) META phase 2 Marine Licence has been secured (IP5) META Phase 2 planning applications have been submitted (IP5b). PCF partner in South Wales Industrial Cluster Phase 2 project, which secured approval 04.01.21 (IP7) 	

Pembrokeshire Demonstration Zone (PDZ)

- All third-party approvals and co-funding in place to progress (IP4)

Collaborative Achievements

- MHPA, MEW and ORE Catapult all presented at Renewable UK's Floating Wind Conference 2020 on 7th of October. (OP11/16)
- Partners all submitted supporting evidence to BEIS for CFD support for FLOW and Marine Energy revenue support (OP15)
- MHPA and MEW submitted evidence to BEIS for Offshore Wind Manufacturing Support Scheme (30.10.20 (OP8/15)
- MHPA have engaged with ORE catapult on the Welsh Government's FLOW Port's Study. (OP15)
- MEW & MHPA hosted stand and session at WG Regional roadshow (OP11/12)
- MHPA partner in application under the South Wales Industrial Cluster Deployment project. If successful, the project will leverage £37m of added value work across South Wales (IP3/OP5/6/8)
- MHPA and PCC presented the MH:EK and PDM projects to the Institute of Chartered Engineers 13.01.2021 (OP16)

Key Activities planned

Collaborative Activities

- Funding agreements targeted for exchange end of January 2021 (IP2)
- Establishment of formal project governance to commence post funding agreement with the support of PMO / PCC.
- Collaboration Agreement between partners to be agreed within 3 months of funding agreement execution.

Annual Conference 2021 | Marine Energy Wales (OP11 & OP166)

- ORE Catapult and MHPA are both sponsoring Marine Energy Wales's 2021 Annual Conference, which PDM will feature in. If interested parties would like to attend, please contact bethan.simes@marineenergywales.co.uk

Pembroke Dock infrastructure

- Procurement of Construction Contract ready pending funding approval (IP1)
- Listed Building and Conservation Area consent applications to be submitted (IP1)
- Consent management ongoing throughout Q1 and Q2 2021 (IP1)
- Grant Compliance role to be advertised (IP6a) post funding award.

Marine Energy and Engineering Centre of Excellence (MEECE)

- 1 FTE new Innovation Manager to start January 25th. Potential for 1FTE new engineer to start, but held up by post-Brexit ambiguity on employment eligibility. (IP6b)
- £250k project with Swansea University, Natural Power and Siemens Gamesa, to demonstrate and verify the benefits of adding vortex generators to large wind turbine blades. On hold due to uncertainty of City Deal funding which is essential to fund Swansea University activities. There is a risk that the commercial partners will lose interest if we cannot commence this project soon. (IP3, OP11, OP14).
- £75k of procurement imminent to refurbish and deploy our 4m diameter buoy in META, to enable delivery of at least three innovation projects. It is expected that the majority of this will go to local supply chain companies, EU rules permitting. (OP11, OP14).

Marine Energy Test Area (META)

- Marine and Town and Country planning act Condition discharge
- Develop operational procedures and documents.
- Agree lease agreements with Crown Estate.
- PCF State of the Sector Report being produced ready for April in collaboration with ORE Catapult. (OP16)
- Highlight report of Marine Energy Wales activity to be issued imminently (OP1, OP2, OP16)

- MEW providing evidence to Welsh Affairs Committee call of evidence on marine energy opportunity for UK plc. (OP1, OP2, OP16).
- Marketing Manager role being advertised currently (IP6c)

Pembrokeshire Demonstration Zone (PDZ)

- Taking funding agreement to Wavehub Board end of Jan (IP4)

Project Title	Pentre Awel	RAG Status	A
Local Authority Lead	Carmarthenshire County Council	Programme / Project Lead	Sharon Burford
Project Delivery Lead	Carmarthenshire County Council	Reporting Period	Jan 21
SRO	Chris Moore		

Budget	
Total Budget	£199.19m
City Deal	£40m
Public	£51
Private	£108.19
Description	
<p>Proposed for an 83-acre site at Delta Lakes in Llanelli, Pentre Awel will be the first development of its kind in Wales. The project will include the co-location of academic, public, business and health facilities to boost employment, education, leisure provision, health research and delivery, and skills and training.</p> <p>City Deal will provide the requisite investment for business incubation and acceleration facilities, laboratory space, testbed capabilities, a well-being skills centre, clinical research centre and a clinical delivery centre to deliver multi-disciplinary care closer to home. The design for Zone 1 will create an 'ecosystem' by facilitating joint working across traditional boundaries, integrating education and training programmes within a clinical setting and fostering interface between health and leisure for the benefit of population health.</p> <p>Pentre Awel will include state-of-the-art leisure centre funded by Carmarthenshire County Council.</p> <p>A network of integrated care and rehabilitation facilities will also be provided on site to enable the testing and piloting of life science technologies aimed at enhancing independent and assisted living.</p> <p>Assisted living accommodation will also feature, along with a nursing home, a hotel, expansion space for businesses, and elements of both open market and social and affordable housing.</p>	

Key achievements
Business Case Development <ul style="list-style-type: none"> Commissioning of external legal consultants to support procurement – October 9, 2020 Business case approval in Full Council at Carmarthenshire County Council – October 22, 2020 Implementation of external Stage Gate review recommendations – October & November 2020 Business case approval at Joint Committee – November 12, 2020 Business case submitted to UKG/WG for approval – November 13, 2020 Project development <ul style="list-style-type: none"> MoUs signed with tenants – October, November and December 2020 Rolling programme of Heads of Term Agreements with tenants – From October, ongoing 2020 Early Market Engagement with construction contractors, including presentation and 1:1 feedback sessions with each contractor – November and December 2020 Updated procurement strategy for Zone 1 to ensure responsiveness with market intelligence – December 2020 Procurement documents in preparation to secure a main contractor for Zone One – December 2020 Detailed Community Benefits Requirement documents prepared in partnership with RLSP, Communities for Work – November and December 2020
Key Activities planned
<ul style="list-style-type: none"> Place Zone 1 tender via two stage procurement methodology using South West Wales Regional Contractors Framework – January 2021 Feedback on Business Case – January 2021 Secure institutional funding – March 2021 Sign Head of Terms partners – March 2021 Agreement to draw down Hydrotherapy Pool charity funding – March 2021 Assisted living specification – March 2021

Outputs
Due to the status of the business case approval process, outputs identified are yet to be monitored as construction is not yet underway.

Programme / Project Title	Homes as Power Stations	RAG Status	A
Local Authority Lead	Neath Port Talbot County Borough Council	Programme / Project Lead	Lisa Willis
Prog / Project Delivery Lead	Neath Port Talbot County Borough Council	Reporting Period	Jan 21
SRO	Nicola Pearce		

Budget	
Total Budget	£505.5m
City Deal	£15m
Public	£114.6m
Private	£375.9m

Description

A co-ordinated project across the City Region, Homes as Power Stations will see energy-saving technologies introduced to thousands of homes as part of a smart, low carbon new-build and retrofit programme.

The project will:

- Tackle fuel poverty
- Further decarbonise the regional economy
- Improve residents' health and well-being
- Reduce burden on regional health and social services
- Benefit regional supply chain businesses

Potentially develop a UK-wide industry in the City Region, with global export opportunities

Key achievements

Business Case Development

- OBC submitted to PoMO December 2020

Project Development

- Established formal governance incl. HAPS project board
- Formalised stakeholder engagement plan
- Formalised project delivery / implementation plan
- Progress PAR action plan

Key Activities planned

Business Case Development

- Formal submission to UKG/WG January 2021

Project Development

- Appoint project manager / formalise team – April 2021
- Establish Technical Advisory Group – April 2021
- Establish lessons learned group – January 2021
- Establish knowledge sharing hub – April – June 2021
- Establish regional supply chain fund & financial incentives scheme – July 2021
- Establish monitoring and evaluation process – July 2021
- Progress PAR Action Plan - ongoing

Outputs

- Facilitate the adoption of renewable technologies in 10,300 properties
- Develop a regional supply chain of HAPS related renewable technologies
- Monitoring and Evaluation - determine the efficacy and impacts of renewable technologies on new build and existing housing stock
- Establish knowledge sharing hub for all sectors
- Dissemination of key findings via a 'HAPS design manual'

Programme / Project Title	Supporting Innovation and Low Carbon Growth	RAG Status	A
Local Authority Lead	Neath Port Talbot County Borough Council	Programme / Project Lead	Lisa Willis
Programme / Project Delivery Lead	Neath Port Talbot County Borough Council	Reporting Period	Jan 21
SRO	Nicola Pearce		

Budget	
Total Budget	£61.5m
City Deal	£47.7m
Public	£7.7m
Private	£6.11m
Description	
<p>The Supporting Innovation and Low Carbon Growth programme has been developed as a response to a number of key priority areas to deliver sustainable jobs and growth in the Swansea Bay region. It aims to create the right environment for a decarbonised and innovative economy.</p> <p>The vision of 'delivering low carbon, sustainable and inclusive growth for the region' will be achieved through This project will help further decarbonise the Neath Port Talbot economy, while safeguarding the regional steel industry and providing high-quality space for the innovation, ICT and research and development sectors.</p> <p>Project features include:</p> <ul style="list-style-type: none"> • A National Steel Innovation Centre • Electric vehicle infrastructure and mapping • Real-time air quality modelling • Hydrogen production to power hydrogen vehicles • Cutting-edge business spaces to meet evidenced demand. 	

Key achievements
<p>Business Case Development</p> <ul style="list-style-type: none"> • Revised OBC development • Progressed PAR action plan • Progressed CFR Action Plan <p>Project Development</p> <ul style="list-style-type: none"> • Programme Board formalised • Formalise stakeholder engagement plan – in development • Project delivery / implementation plan – live document <p>Swansea Bay Technology Centre</p> <ul style="list-style-type: none"> • Construction commenced <p>National Steel Innovation Centre</p> <ul style="list-style-type: none"> • Working group to develop building specification – February 2021 <p>Decarbonisation</p> <ul style="list-style-type: none"> • Low emission vehicle regional public sector group established <p>Industrial Futures</p> <ul style="list-style-type: none"> • Working group established to develop Advanced Manufacturing Production Facility – including Industry Wales

Key Activities planned

Business Case Development

- UKG/WG approval of the release of Government funding to the project
- Progress actions plans for PAR and Critical Review recommendations

Project Development

- Appoint project manager / formalise team – April 2021

Swansea Bay Technology Centre

- Construction ongoing

SWITCH

- Commence D&B Process

Decarbonisation

- Air Quality Monitoring devices to be installed – April 2021

Industrial Futures

- Finalise Advanced Manufacturing Production Facility scope

Outputs

- Being finalised in revised OBC

Project Title	Yr Egin - Creative Digital Cluster	RAG Status	A
Local Authority Lead	Carmarthenshire County Council	Programme / Project Lead	Geraint Flowers
Programme / Project Delivery Lead	University of Wales Trinity Saint David	Reporting Period	Jan 21
SRO	Ray Selby		

Budget

Total Budget	£25.17m
City Deal	£5m
Public	£18.67m
Private	£1.5m

Description

To support and further develop the region's creative industry sector and Welsh language culture. The two-phased programme, led by University of Wales Trinity Saint David campus in Carmarthen, features:

- National creative sector anchor tenants
- World class office space for local and regional creative sector SMEs, with opportunities for expansion
- Facilities for the community and business networking

Facilitating engagement between businesses and students

Key achievements
Project Development <ul style="list-style-type: none"> Phase 2 – Q4
Key Activities planned
<ul style="list-style-type: none"> Sector demand study commissioned January 2021. Undertaking fundamental review of industry infrastructure and business support requirements, in light of Covid and economic threats..

Programme / Project Title	Swansea City & Waterfront Digital District	RAG Status	A
Local Authority Lead	Swansea Council	Programme / Project Lead	Huw Mowbray
Programme / Project Delivery Lead	Swansea Council	Reporting Period	Q3
SRO	Martin Nicholls		

Budget	
Total Budget	£175.35m
City Deal	£50m
Public	£85.38m
Private	£39.97m
Description	
<p>To boost Swansea city centre's economic well-being at the heart of the City Region's economy, while retaining local tech, digital and entrepreneurial talent. This project includes:</p> <ul style="list-style-type: none"> A digitally enabled indoor arena in the city centre for concerts, exhibitions, conferences and other events A 'digital village' development in the city centre to accommodate the city's growing tech and digital business sector <p>A 'box village' and innovation precinct development at the University of Wales Trinity Saint David's new Swansea waterfront campus to enable start-up company support and growth</p>	

Key achievements in
Business Case & Project Development <ul style="list-style-type: none"> Arena structural steelwork and concrete now largely complete. Arena watertight and internal fitting commenced. Bridge construction onsite ready for moving into position in February. Hotel design has commenced through the contractors. 71-72 construction tendering commenced and tenant discussions progressing.
Key Activities planned
<ul style="list-style-type: none"> Lettings discussions on-going for 71/72 The Kingsway – On going Cabinet decision on 71/72 The Kingsway - March 2021 Award of construction contract for 71/72 The Kingsway – March 2021

- Outline planning application for Box Village at UWTSD SA1 Waterfront – February 2021

Outputs

Physical delivery of arena (circa 80,000 square feet with a 3,500-capacity), along with digital square and hotel is on track.

Cabinet decision due in March 2021 on 71/72 The Kingsway, which will comprise circa 100,000 square feet of office space.

Discussions on-going with UWTSD about their element of the project (Innovation Matrix).

Caveat: Covid 19 could have an impact on jobs and GVA.

Project Title	Skills and Talent	RAG Status	A
Local Authority Lead	Carmarthenshire County Council	Programme / Project Lead	Jane Lewis
Prog /Project Delivery Lead	South West Wales Regional & Skills Partnership	Reporting Period	Jan 21
SRO	Barry Liles		

Budget

Total Budget	£30m
City Deal	£10m
Public	£16m
Private	£4m

Description

To develop a sustainable pipeline of regional talent to benefit from the high-value jobs City Deal projects will generate in growth sectors for the region. This includes the potential to develop skills through courses and training and apprenticeship opportunities aligned to City Deal projects and regional priorities, as well as a partnership approach involving schools, universities, businesses and training providers across the region to identify need and resolve skills development gaps.

Key achievements

Business Case Development

- Workshop on long-list options appraisal with stakeholders - September 2020
- Structural changes to the Team
- Strategic Case reviewed by PoMO

Key Activities planned

- PoMO review of business case -January 2021
- ESB business case review -February 2021
- Programme Board review of business case -February 2021
- Local Authority approval of business plan – March 2021
- External Stage Gate Review of business case – March/April 2021
- Joint Committee approval of business case – April 2021
- Business case submitted to UKG/WG for approval -May 2021
- Workshop to be scheduled with Joe Flanagan, Advisor to Welsh Government to strengthen Economic Case

Key achievements
<ul style="list-style-type: none"> Outline Business Case defined and submitted to PMO for review in advance of submission - Q4 2020 Feasibility study revised to align with the monies available and original scope agreed – Q4 2020 Procured consultancy to support review of business case, partner development and communication strategy and to support development of commercial plan – Q4 2020 Key letters of support received from partners to evidence commitment – Q4 2020 Development of initial teaser video for private sector engagement – Q4 2020 Positive initial meeting with Welsh & UK Government to introduce the project – Q4 2020
Key Activities planned
<ul style="list-style-type: none"> Business case submitted to sponsor local authority, Economic Strategy Board, Programme Board and Joint Committee for approval of the project submission to the UKG/WG – Q1 2021 Structured private sector engagement activities to be undertaken with further more detailed content to be defined to support initial teaser video – Q1 2021 Further letters of to be obtained to evidence engagement/commitment to the project – Q1 2021

Outputs
Due to the status of the business case development, project outputs are yet to be identified and monitored.

Programme /Project Title	Life Science, Well-being & Sport Campuses	RAG Status	G
Local Authority Lead	City and County of Swansea	Programme / Project Lead	Naomi Joyce
Prog / Project Delivery Lead	Swansea University	Reporting Period	Q2
SRO	Keith Lloyd		

Budget	
Total Budget	£45m
City Deal	£15m
Public	£20m
Private	£10m
Description	
To develop digital health and platform technologies and clinical innovation to help prevent ill-health, develop better treatments and improve patient care. Advanced research and development facilities will be created, building on the success of the Institute of Life Sciences at Swansea University and expanding on regional expertise in sport and exercise science. This project will be located at Morriston Hospital and Swansea University’s Singleton Campus	

Key achievements

- Outline Business Case defined and submitted to PMO for review in advance of submission - Q4 2020
- Feasibility study revised to align with the monies available and original scope agreed – Q4 2020
- Procured consultancy to support review of business case, partner development and communication strategy and to support development of commercial plan – Q4 2020
- Key letters of support received from partners to evidence commitment – Q4 2020
- Development of initial teaser video for private sector engagement – Q4 2020
- Positive initial meeting with Welsh & UK Government to introduce the project – Q4 2020

Key Activities planned

- Business case submitted to sponsor local authority, Economic Strategy Board, Programme Board and Joint Committee for approval of the project submission to the UKG/WG – Q1 2021
- Structured private sector engagement activities to be undertaken with further more detailed content to be defined to support initial teaser video – Q1 2021
- Further letters of to be obtained to evidence engagement/commitment to the project – Q1 2021

ANNEX 1

RAG Status	
R	<p>Major problems identified which mean the programme / project is unlikely to deliver the agreed scope to the required standard on time or on budget, or to deliver the expected benefits.</p> <p>Remedial plans are not proving effective.</p> <p>Escalate to programme / project sponsor for support to resolve.</p>
A	<p>Some problems identified which may put the programme / project's scope, time, cost, and/or benefits at risk. Remedial plans are in place and are being monitored to ensure that risk is mitigated.</p> <p>Highlight to programme / project sponsor for visibility and awareness.</p>
G	<p>Programme / Project is proceeding according to plan. Risks/issues are being managed within the programme / project.</p> <p>No need to escalate to next level.</p>

POLICY & RESOURCES SCRUTINY COMMITTEE

3RD FEBRUARY 2021

FORTHCOMING ITEMS FOR NEXT MEETING TO BE HELD ON 2ND MARCH 2021

In order to ensure effective Scrutiny, Members need to be clear as to the purpose of requesting specific information and the outcome they are hoping to achieve as a consequence of examining a report. Limiting the number of agenda items may help to keep meetings focused and easier to manage.

Proposed Agenda Item	Background	Reason for report <i>What is Scrutiny being asked to do? e.g. undertake a full review of the subject? Investigate different policy options? Be consulted on final proposals before decision making? Monitor outcomes /implementation?</i> <i>If the item is for information or for noting, can the information be provided in an alternative format i.e. via email?</i>
Corporate Strategy 2018-23 and Wellbeing objectives 2020/21	In January 2018, the new Administration published its plan – <i>Moving Forward in Carmarthenshire: the next 5 Years</i> . It required that a new Corporate Strategy should be developed to reflect its plans and programmes, in line with the Well-being Future Generations Act (WbFG).	The Draft New Corporate Strategy document together with the relevant Well-being Objectives detailed action plans for Policy & Resources Scrutiny Committee.
Complaints Policy	The Council is required to adopt a new policy in line with a model and guidance issued by the Complaints Standards Agency under powers contained within Section 36 of the Public Services Ombudsman (Wales) Act 2019.	The implementation of a new Complaints Policy in accordance with the requirements of the Complaints Standards Agency / Public Services Ombudsman for Wales.
Strategic Equality Plan Annual Report	To report on the implementation of the Council's Strategic Equality Plan and Equality Objectives	To consider, comment and approve the Strategic Equality Plan Annual Report for 2019-20
Welsh Language Annual report	To receive the annual report in relation to the Welsh language and compliance with the Welsh language Standards during 2019-20.	It is a statutory responsibility for the Authority to implement the Welsh language Standards. As part of the Standards, we must publish an Annual Report which outlines the implementation work.

<p>BUSINESS PLANS for the following departments:</p> <p>Chief Executives Corporate Services Environment</p>	<p>This item will enable the Committee to consider and comment on the Business Plans 2021-22 relevant to its remit.</p>	<p>To enable the committee to undertake its monitoring role of the Chief Executive's &, Corporate Services Departments and Environment which fall within its remit.</p>
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When choosing a topic a Scrutiny Committee should consider whether:-

- **scrutiny could have an impact and add value**
- **the topic is of high local importance and reflects the concerns of local people**
- **the resources are available that would be required to conduct the review, in terms of resources and budget**
- **it avoids work duplication elsewhere**
- **the issue is one that the committee can realistically influence**
- **the issue is related to an area where the council, or one of its partners, is not performing well**
- **the issue is relevant to all or large parts of the local area**
- **the review would be in the council's interests.**

Topics are not suitable for scrutiny when:

- **the issue is already being addressed elsewhere and change is imminent**
- **the topic would be better addressed elsewhere (and will be referred there)**
- **scrutiny involvement would have limited or no impact upon outcomes**
- **the topic may be sub-judice or prejudicial to the council's interest**
- **the topic is too broad to make a review realistic**
- **new legislation or guidance relating to the topic is expected within the next year**
- **the topic area is currently subject to inspection or has recently undergone substantial change.**

Policy & Resources Scrutiny Committee

Forward Work Programme for remainder of 2020/21

3 rd February 2021	2 nd March 2021	29 th March 2021	30 th April 2021
Revenue Budget Strategy Consultation 2020/21 to 2023/24	Corporate Strategy	Quarterly Treasury Management and Prudential Indicator Report 1st April 2020 to 31st December 2020	Policy & Resources Scrutiny Committee Forward Work Programme for 2021/22
Five Year Capital Programme 2021/22 - 2025/26	Complaints Policy	Revenue & Capital Budget Monitoring Report 2020/21	
Treasury Management Policy & Strategy 2021/22	Strategic Equality Plan Annual Report	Policy & Resources Scrutiny Committee Actions and Referrals Update	
Revenue and Capital Budget Monitoring Report 2020/21	Welsh Language Annual report		
November 2020 PSB minutes	Chief Executive's Departmental Business Plan 2020/2023		
Annual Performance Report – Half Yearly.	Corporate Services Departmental Business Plan 2020/2023		
Swansea City Deal Update			

Other issues of interest to the Committee raised at the FWP Development Session on the 19th November 2020 included climate change, digital infrastructure/transformation, Local Authority companies and Members' enquiry system.

Exec. Board Meetings: 21st December; 11th January 2021; 25th January; 8th February; 1st March; 22nd March; 12th April; 26th April.

Council Meetings: 9th December; 13th January 2021; 10th February; 10th March; 14th April.

Mae'r dudalen hon yn wag yn fwiadol

EXECUTIVE BOARD FORWARD WORK PROGRAMME 20/21
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Introduction

This plan is published to encourage and enable greater understanding between the Executive, all Councillors, the public and other stakeholders. It assists the Scrutiny Committees in planning their contribution to policy development and holding the executive to account.

The plan gives the public and stakeholders a chance to see the forthcoming major decisions to be made by the Executive Board over the next 12 months. It is reviewed and published quarterly to take account of changes and additional key decisions.

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CHIEF EXECUTIVES				
Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
CROSS HANDS EMPLOYMENT SITE	Jason Jones – Head of Regeneration	Leader	N/A	30/11/2020
TARGETED REGENERATION INITIATIVE	Jason Jones – Head of Regeneration	Leader	N/A	30/11/2020-
INTEGRATED IMPACT ASSESSMENT AND COVER SHEET	Wendy Walters, Chief Executive/Gwyneth Ayres	Deputy Leader	No	To be confirmed
WELSH LANGUAGE ANNUAL REPORT	Wendy Walters, Chief Executive/Gwyneth Ayres	Culture, Sport & Tourism	June	July
WELLBEING OBJECTIVES	Wendy Walters Chief Executive	Communities and Rural Affairs		
WELSH GOVERNMENT CONSULTATION DOCUMENTS	Wendy Walters Chief Executive	Deputy Leader	If applicable	If applicable
REVIEW OF COMMUNITY COUNCIL BOUNDARIES & ELECTORAL ARRANGEMENTS	Wendy Walters, Chief Executive	Resources		As and when required
REVIEW OF THE CONSTITUTION (LEGISLATION CHANGES) - CRWG	Linda Rees Jones Head of Administration & Law	N/A CRWG - FEB	N/A	As And When Required
CITY DEAL UPDATE (INCLUDING PENTRE AWEL)	Wendy Walters Chief Executive	Leader		As & When Required
ANNUAL REPORT	Noelwyn Daniel -Head of ICT & Corporate Policy	Deputy Leader	Date to be confirmed	Date to be confirmed
NET ZERO ACTION PLAN - REVIEW	Jason Jones, Head of Regeneration	Communities and Rural Affairs	Date to be confirmed	Date to be confirmed
BREXIT	Jason Jones, Head of Regeneration	Leader	Not applicable	16.11.20

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COMMUNITY SERVICES				
Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
SOCIAL CARE FUNDING – COVID-19 RESPONSE	Jake Morgan - Director of Communities	Social Care & Health		
PPE - CURRENT POSITION & FUTURE NEED/UPDATE REPORT	Jonathan Morgan – Head of Homes and Safer Communities / Adele Lodwig	Housing		
FOOD & FEED SERVICE DELIVERY PLAN	Jonathan Morgan – Head of Homes and Safer /Sue Watts	Culture, Sports & Tourism		21/12/20
OLDER PEOPLE’S DAY SERVICES RECOVERY PLAN	Jonathan Morgan – Head of Homes and Safer / Alex Williams	Social Care & Health		NOV/DEC 2020
HOMELESSNESS AND TEMPORARY ACCOMMODATION REPORT	Jonathan Morgan – Head of Homes and Safer /Jonathan Willis	Housing		21/11/20
TYISHA	Robin Staines	Housing		
FUTURE PRESSURES IN ADULT SOCIAL CARE	Avril Bracey – Head of Adult Social Care	Social Care & Health		
COMMUNITY COHESION UPDATE	Jonathan Morgan – Head of Homes and SC/Les James	Housing		11/01/21
FUTURE OF HOUSING SERVICES ADVISORY PANEL	Jonathan Morgan – Head of Homes and SCr/Les James	Housing		11/01/21
UNIVERSAL CREDIT AND RENTS UPDATE RENT ARREARS POSITION STATEMENT	Jonathan Morgan – Head of Homes and Safer Communities/ Jonathan Willis	Housing		11/01/21
ANTI SOCIAL BEHAVIOUR POLICY	Jonathan Morgan – Head of Homes and Safer Communities /Les James / Sue Watts/Robert David Williams	Culture, Sports & Tourism	E&PP Scrutiny 21/01/21	08/02/21
LD STRATEGY	Chris Harrison Head of Strategic Joint Commissioning/ Avril Bracey - Head of Adult Social Care	Social Care & Health	SC&H 27/01/21	08/02/21
CHS+ DELIVERING WHAT MATTERS BUSINESS PLAN	Jonathan Morgan – Head of Homes and Safer Communities/ Rachel Davies/ Gareth Williams	Housing	18/01/21 Community Scrutiny	08/02/21 (Budget)
DOG BREEDERS LICENCE UPDATE (Change of policy/legislation)	Jonathan Morgan – Head of Homes and Safer Communities/ Roger Edmunds	Public Protection		24/05/21

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Pending				
DIRECTOR OF SOCIAL SERVICES ANNUAL REPORT 2019/20	Jake Morgan – Director of Communities/Silvana Sauro	Social Care & Health		TBC
2020 REVIEW OF LICENSING POLICY	Jonathan Morgan – Head of Homes and Safer Communities/ Emyr Jones	Culture, Sports & Tourism		TBC

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CORPORATE SERVICES				
Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
BI-MONTHLY REVENUE AND CAPITAL BUDGET MONITORING REPORTS	Chris Moore Director of Corporate Services	Resources	N/A	SEPT NOV JAN MARCH
QUARTERLY TREASURY MANAGEMENT AND PRUDENTIAL INDICATOR REPORT	Chris Moore Director of Corporate Services	Resources	N/A	SEPT/OCT JAN APR
ANNUAL TREASURY MANAGEMENT & PRUDENTIAL INDICATOR REPORT	Chris Moore Director of Corporate Services	Resources	N/A	JULY
5 YEAR CAPITAL PROGRAMME	Chris Moore Director of Corporate Services	Resources	ALL JAN/ FEB	JAN
COUNCIL TAX BASE	Chris Moore / Helen Pugh	Resources	N/A	DEC
Council Tax Reduction Scheme	Chris Moore / Helen Pugh	Resources	N/A	FEB
BUDGET STRATEGY (Revenue and Capital)	Chris Moore Director of Corporate Services	Resources	ALL JAN/ FEB	JAN
HIGH STREET RATE RELIEF	Chris Moore Director of Corporate Services /Helen Pugh	Resources	N/A	March
CORPORATE RISK REGISTER	Chris Moore Director of Corporate Services / Helen Pugh	Resources	– Audit Committee March & SEPT	

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TREASURY MANAGEMENT POLICY AND STRATEGY	Chris Moore Director of Corporate Services	Resources	N/A	22 ND FEBRUARY – BUDGET MEETING
FINAL BUDGET Revenue & Capital	Chris Moore Director of Corporate Services	Resources	N/A	22 ND FEBRUARY – BUDGET MEETING
HOUSING REVENUE ACCOUNT BUDGET AND RENT SETTING REPORT	Chris Moore Director of Corporate Services	Resources	HOUSING	22 ND FEBRUARY BUDGET MEETING
BUDGET OUTLOOK	Chris Moore Director of Corporate Services	Resources	N/A	NOV

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EDUCATION & CHILDREN				
Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
SCHOOL UPDATE REPORT- COVID 19, SCHOOLS CAUSING CONCERN AND FINANCE	Gareth Morgans – Director of Education and Children	Education & Children	N/A	
LA EDUCATION SERVICES SELF EVALUATION	Aneirin Thomas – Head of Education and Inclusion	Education & Children	tbc	Tachwedd 2020
UPDATE ON NEW CURRICULUM DEVELOPMENTS	Aeron Rees – Head of Curriculum and Wellbeing	Education & Children	tbc	October 2020
POST 16 EDUCATION	Aeron Rees – Head of Curriculum and Wellbeing	Education & Children	tbc	TBC
RESULTS OF 2020 EXAMINATIONS	Aneirin Thomas – Head of Education and Inclusion	Education & Children	N/A	TBC
PROPOSAL TO DISCONTINUE YSGOL GYNRADD BLAENAU AND TO INCREASE THE CAPACITY AND CHANGE THE NATURE OF PROVISION AT YSGOL GYNRADD LLANDYBIE (STAGE 1, 2 AND 3)	Simon Davies – Head of Access to Education	Education & Children	YES	21/12/20 (Stage 1) 10/05/21 (Stage 2) 05/07/21 (Stage 3)
ALN TRANSFORMATION ACTION PLAN	Aneirin Thomas – Head of Education and Inclusion	Education & Children	28/01/20	tbc
CHILDREN’S SERVICES PAPER- TBC	Stefan Smith - Head of Children’s Services	Education & Children	N/A	tbc
CARMARTHENSHIRE 10 YEAR STRATEGY FOR EDUCATION	Gareth Morgans – Director of Education and Children	Education & Children	23/11/20	October 2020
PROPOSAL TO RELOCATE YSGOL HEOL GOFFA AND TO INCREASE ITS CAPACITY (STAGE 2 AND 3)	Simon Davies – Head of Access to Education	Education & Children	YES)	21st December

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Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
PROPOSAL TO DISCONTINUE YSGOL RHYDYGORS (STAGE 1, 2 AND 3)	Simon Davies – Head of Access to Education	Education & Children	YES	21/12/20 (Stage 1) 10/05/21 (Stage 2) 05/07/21 (Stage 3)
PROPOSAL TO CHANGE THE AGE RANGE AT YSGOL SWISS VALLEY (TBC) (STAGE 1, 2 AND 3)	Simon Davies – Head of Access to Education	Education & Children	YES	21/12/20 (Stage 1) 10/05/21 (Stage 2) 05/07/21 (Stage 3)
PROPOSAL TO DISCONTINUE YSGOL GYNRADD MYNYDD Y GARREG (STAGE 1, 2 AND 3)	Simon Davies – Head of Access to Education	Education & Children	YES	21/12/20 (Stage 1) 10/05/21 (Stage 2) 05/07/21 (Stage 3)

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ENVIRONMENT				
Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
LOCAL DEVELOPMENT ORDERS	Llinos Quelch – Head of Planning/Ian R Llewellyn – Forward Planning Manager	Deputy Leader	TBC	2 nd November 2020
HIGHWAYS MAINTENANCE MANUAL (HAMP)	Steve Pilliner - Head of Transportation & Highways/ Chris Nelson/ Richard Waters	Environment	2/11/2020	21 st December 2020
HAMP ANNUAL PROGRESS REPORT	Steve Pilliner - Head of Transportation & Highways /Richard Waters	Environment	TBC	21 st December 2020
EQUESTRIAN STRATEGY	Steve Pilliner - Head of Transportation & Highways /Caroline Ferguson	Environment	January 2021	TBC
LAND OWNER CHARGING POLICY (FURNTIURE)	Steve Pilliner - Head of Transportation & Highways /Caroline Ferguson	Environment	TBC	TBC
ELECTRIC VEHICLE STRATEGY	Steve Pilliner - Head of Transportation & Highways/ Thomas Evans	Environment	TBC	TBC
AMR PLANNING	Llinos Quelch- Head of Planning	Deputy Leader		TBC
PROPERTY CONTRACTORS FRAMEWORK	Jonathan Fearn - Head of Property	TBC	TBC	TBC
APR	Llinos Quelch- Head of Planning	Deputy Leader	TBC	TBC
CARMARTHENSHIRE NATURE RECOVERY ACTION PLAN	Llinos Quelch- Head of Planning /Rosie Carmichael	TBC	TBC	TBC
CARMARTHENSHIRE POLLINATOR ACTION PLAN	Llinos Quelch- Head of Planning /Rosie Carmichael	TBC	TBC	TBC

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Subject area and brief description of nature of report	Responsible Officer	Executive Portfolio	Scrutiny Committee to be consulted	Date of expected decision by Executive Board
REGIONAL TECHNICAL STATEMENT	Llinos Quelch- Head of Planning /Hugh Towns	Planning	TBC	TBC
HWRC NORTH	Ainsley Williams. Head of Waste & Environmental Services	Environment	TBC	TBC
PUBLIC CONVENIENCES	Ainsley Williams. Head of Waste & Environmental Services Rhys Davies	Environment	TBC	TBC
BURRY PORT HUMPS	Steve Pilliner - Head of Transportation & Highways/ Adrian Harries	Environment	TBC	TBC
SECTION 278 RAINSCAPE	Steve Pilliner - Head of Transportation & Highways/ Adrian Harries	Environment	TBC	TBC
WASTE COLLECTION STRATEGY REVIEW UPDATE	Ainsley Williams. Head of Waste & Environmental Services Dan John /Geinor Lewis	Environment	TBC	TBC
CLEANSING REVIEW	Ainsley Williams. Head of Waste & Environmental Services Dan John	Environment	TBC	TBC
NAPPY AHP COLLECTION	Ainsley Williams. Head of Waste & Environmental Services Dan John / Geinor Lewis	Environment	TBC	TBC

Dydd Mercher, 2 Rhagfyr 2020

YN BRESENNOL: Y Cynghorydd A.G. Morgan (Cadeirydd);

Y Cynghorwyr:

S.M. Allen, K.V. Broom, D.M. Cundy, H.L. Davies, G.H. John, J.K. Howell, C. Jones, K. Madge, A.G. Morgan a D.E. Williams;

Hefyd yn bresennol:

Y Cynghorydd E. Dole, Arweinydd y Cyngor;

Cynghorydd C.A. Campbell, Aelod y Bwrdd Gweithredol dros Gymunedau a Materion Gwledig;

Y Cynghorydd D.M. Jenkins, Aelod y Bwrdd Gweithredol dros Adnoddau;

Y Cynghorydd L.M. Stephens, Dirprwy Arweinydd y Cyngor;

Yr oedd y swyddogion canlynol yn gwasanaethu yn y cyfarfod:

N. Daniel, Pennaeth TGCh a Pholisi Corfforaethol

J. Fearn, Pennaeth Eiddo Corfforaethol

R. Hemingway, Pennaeth Gwasanaethau Ariannol

L.R. Jones, Pennaeth Gweinyddiaeth a'r Gyfraith

P.R. Thomas, Prif Weithredwr Cynorthwyol (Rheoli Pobl a Pherfformiad)

G. Ayers, Rheolwr Polisi Corfforaethol a Phartneriaeth

D. Hockenhull, Rheolwr y Cyfryngau a Marchnata

S. Rees, Cyfieithydd Ar Y Pryd

J. Corner, Swyddog Technegol

M. Evans Thomas, Prif Swyddog Gwasanaethau Democrataidd

E. Bryer, Swyddog Gwasanaethau Democrataidd

R. Lloyd, Swyddog Gwasanaethau Democrataidd

M.S. Davies, Swyddog Gwasanaethau Democrataidd

Rhith-Gyfarfod: 10.00 yb - 1.30 yp

1. YMDDIHEURIADAU AM ABSENOLDEB

Derbyniwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr T.A.J. Davies, J.S. Edmunds, J.G. Prosser a H.E. Evans [Yr Aelod o'r Bwrdd Gweithredol dros yr Amgylchedd].

2. DATGANIADAU O FUDDIANNAU PERSONOL GAN GYNNWYS UNRHYW CHWIPIAU PLEIDIAU A RODDIR MEWN YMATEB I UNRHYW EITEM AR YR AGENDA

Y Cyngorydd	Rhif y Cofnod	Y Math o Fuddiant
K. Madge	6 - Effaith Covid-19 ar y Gwasanaeth Rheoli Pobl	Ei ferch yn gweithio i'r Gwasanaethau Cymdeithasol.

3. CWESTIYNAU GAN Y CYHOEDD

Nid oedd cwestiynau gan y cyhoedd wedi dod i law.

4. EFFAITH COVID-19 AR WAITH CYNNAL A CHADW NAD YW'N YMWNEUD Â THAI

Cafodd y Pwyllgor adroddiad am effaith pandemig Covid-19 ar yr Is-adran Eiddo o safbwynt Craffu - Polisi ac Adnoddau h.y: Cynnal a Chadw nad yw'n ymwneud â Thai. Wrth asesu effaith y pandemig ar y gwasanaeth hwn, helpodd yr adroddiad hefyd i lywio sut y gellid ei ailosod a gwella rhagor ar y ffordd câi ei ddarparu yn y dyfodol.

Roedd y canlynol ymhlith y materion a godwyd ynghylch yr adroddiad:-

- O ran y gwersi a ddysgwyd dros y misoedd diwethaf, roedd y defnydd o TGCh a systemau i reoli data yn yr is-adran wedi cynyddu ynghyd â chyfleoedd i ddefnyddio data amser real i reoli contractwyr a'r gweithlu mewnol er mwyn sicrhau bod gwaith yn cael ei gyflawni'n effeithiol ac yn effeithlon. Wrth symud ymlaen, roedd yr Is-adran yn edrych ar sut y gallai adeiladu ar hyn er mwyn cyflwyno gweithio mwy symudol a gwella gweithio cartref ymhellach ynghyd â datblygu aml-swyddogaethau gydag Adran yr Amgylchedd;
- Byddai adolygiad o eiddo'r Awdurdod i nodi eiddo nad oedd eu hangen mwyach er mwyn darparu gwasanaethau, fel y gellid lleihau'r baich o ran y cyllid cyfyngedig oedd ar gael ar gyfer gwaith cynnal a chadw;
- Cyfeiriwyd at y ffaith fod llawer o weithio ar draws adrannau wedi bod yn ystod y pandemig, a barnwyd y dylid cadw cyfleoedd o'r fath lle roeddent wedi bod yn fuddiol o ran darparu gwasanaethau; roedd hyn yn destun adolygiad TIC ar hyn o bryd. Cydnabuwyd hefyd fod angen i'r Awdurdod sicrhau bod defnydd llawn yn cael ei wneud o'r holl offer a chyfarpar oedd yn eiddo i'r Cyngor.

PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad.

5. EFFAITH COVID AR GWASANAETH TECHNOLEG GWBODAETH, CHYFATHREBU A POLISI CORFFORAETHOL

Cafodd y Pwyllgor adroddiad, a gyflwynwyd gan yr Aelod o'r Bwrdd Gweithredol – y Dirprwy Arweinydd, am effaith pandemig Covid-19 ar yr Is-adran Polisi Corfforaethol a TGCh, a'r gwaith oedd wedi digwydd i gefnogi'r Awdurdod a thrigolion Sir Gaerfyrddin.

Roedd y canlynol ymhlith y materion a godwyd ynghylch yr adroddiad:-

- Cyfeiriwyd at yr adborth cadarnhaol gan y cyhoedd yn dilyn cyflwyno'r system apwyntiadau ar-lein ar gyfer ymweld â'r depos gwastraff cartref o ganlyniad i'r pandemig. Oherwydd ei llwyddiant, roedd yn bosibl y byddai'r system yn cael ei gwneud yn un barhaol;
- Atgoffwyd yr Aelodau o 'Dull Cwmwl yn Gyntaf' yr Awdurdod y cyfeiriwyd ato yn y Strategaeth Trawsnewid Digidol a lansiwyd yn 2017, a oedd wedi ei alluogi i ymdopi â heriau pandemig Covid. Roedd nifer sylweddol o systemau TG cefn swyddfa'r Awdurdod eisoes 'yn y cwmwl' a oedd yn eu gwneud yn fwy cynaliadwy a chydnerth wrth symud ymlaen. Roedd yr Awdurdod yn awyddus i sicrhau y gallai'r cyhoedd gyrchu cynifer o wasanaethau a data ar-lein ag oedd yn bosibl megis y system apwyntiadau ar gyfer gwastraff cartref y cyfeirir ato uchod;
- Rhagwelid y byddai'r polisi dod â'ch dyfais eich hun yn cael ei ymestyn i'r Aelodau maes o law;

- Cyfeiriwyd at yr 80 o ipads a ddarparwyd i gartrefi gofal ar ddechrau'r pandemig a hysbyswyd yr Aelodau mai'r bwriad oedd cynyddu'r nifer hwn, gwella wi-fi i breswylwyr lle bo'n bosibl, a darparu setiau teledu clyfar. Roedd yr Awdurdod yn dal i weithio'n agos gyda Llesiant Delta;
- Ailadroddwyd bod angen mynd i'r afael â'r ardaloedd hynny yn Sir Gaerfyrddin lle roedd cysylltedd â'r rhwyngwyd yn wael. Cyfeiriwyd at fenter gan Lywodraeth Cymru lle gallai trigolion a busnesau gwledig yng Nghymru â chyflymder band eang o lai na 30 Mbps fod yn gymwys i gael arian ychwanegol tuag at y gost o osod band eang a all ymdopi â gigabit yn eu hadeiladau pan fyddant yn rhan o brosiect grŵp;
- Cyfeiriwyd at bwysigrwydd canolfannau Hwb yng nghanol y dref, yn enwedig i bobl oedd heb fynediad i wasanaethau ar-lein. Mynegwyd y farn y dylid ymestyn cyfleusterau tebyg i'r ardaloedd gwledig;
- Cynghorwyd yr Aelodau i gysylltu â'r is-adran TGCh os oeddent yn ymwybodol o unrhyw achosion lle nad oedd gan blant ysgol fynediad i gyfrifiadur at ddibenion gweithio gartref;
- Rhagwelid y byddai'r cynnydd sylweddol yn nifer y bobl oedd yn ymuno â gwasanaethau'r cyngor ar-lein drwy 'Fy Nghyfrif' yn arwain at werthusiad o'r arbedion effeithlonrwydd y gellid eu gwneud o ganlyniad i hynny, ynghyd â phatrymau gwaith;
- Mewn ymateb i gwestiwn, y gred oedd bod partneriaid y Cyngor, fel y Bwrdd Iechyd a'r Heddlu, heb fuddsoddi mewn seilwaith TG i'r un graddau ac roedd llawer o'u staff wedi methu gweithio gartref yn ystod y pandemig;
- Dywedwyd wrth yr Aelodau fod gwe-lywio gwefan y Cyngor yn cael ei fonitro'n barhaus gyda golwg ar wella profiad y cwsmer ac roedd cyflwyno cyfleuster 'Ffeyrnau' yn cael ei ystyried.

PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad.

6. EFFAITH COVID-19 AR GWASANAETH RHEOLI POBL

Cafodd y Pwyllgor adroddiad gan yr Aelod o'r Bwrdd Gweithredol – Dirprwy Arweinydd ar effaith pandemig Covid-19 ar y Gwasanaeth Rheoli Pobl a'r gwaith a oedd wedi'i wneud i gefnogi staff a thrigolion Sir Gaerfyrddin. Wrth asesu effaith y pandemig ar y gwasanaeth hwn, helpodd yr adroddiad hefyd i lywio sut y gellid ei ailosod a gwella rhagor ar y ffordd câi ei ddarparu yn y dyfodol.

Roedd y canlynol ymhlith y materion a godwyd ynghylch yr adroddiad:-

- Mewn ymateb i gwestiwn, eglurwyd bod gwaith tîm TIC wedi'i atal er mwyn i'r staff gael eu had-leoli i gynorthwyo mewn meysydd eraill fel trefnu parseli bwyd yn ystod y pandemig;
- O ganlyniad i newid patrymau gwaith oherwydd y pandemig, gan gynnwys gweithio gartref, byddai gofynion lle swyddfa yn y dyfodol yn cael eu hasesu gan ystyried hefyd y rhaglen gweithio ystwyth. Cyfeiriwyd at ddisgwyliad Llywodraeth Cymru bod o leiaf 30% o dimau gweinyddol y Cyngor yn gweithio yn eu cartref neu'n agos ato, ac roedd yn amlwg bod disgwyl i'r dulliau newydd o weithio yn sgil y pandemig barhau lle y bo'n bosibl, gan ystyried menter y 10 Tref hefyd;
- O ran y canolfannau Hwb yn y 3 prif dref, dywedwyd bod canolfannau symudol ar waith ar hyn o bryd, fel rhan o'r fenter 10 Tref, a oedd ar y cyfan yr un peth â llwybrau'r llyfrgelloedd teithiol yn yr ardaloedd gwledig;
- O ran absenoldeb salwch, roedd llai o'i gymharu â'r un adeg y llynedd a byddai adroddiad yn cael ei gyflwyno maes o law. Ynghyd â'r is-adran TG

roedd ffrwd covid byw wedi'i ddatblygu a oedd yn cael ei ddiweddarau'n barhaus gyda manylion salwch staff. Mantis hyn oedd bod staff yn gallu gweithio mewn meysydd eraill a allai fod dan bwysau oherwydd salwch staff;

- Sicrhawyd yr Aelodau bod mecanweithiau a pholisïau ar waith, gan gynnwys creu hyrwyddwyr llesiant, i sicrhau bod pob gweithiwr yn cael ei gefnogi yn ei rôl p'un a oedd yn gweithio gartref neu mewn swyddfa;
- Mewn ymateb i ymholiad, dywedodd y Prif Weithredwr Cynorthwyol [Rheoli Pobl] y byddai'n anfon y wybodaeth i'r aelodau pan geid gwybod beth oedd y rhesymau dros yr amrywiadau mewn gwariant ar weithwyr asiantaeth a gweithwyr achlysurol ar draws yr awdurdod. Cytunodd hefyd i gyflwyno, yn un o gyfarfodydd y dyfodol, adroddiad yr oedd wedi'i gwblhau a oedd yn canolbwyntio ar weithwyr achlysurol a gweithwyr asiantaeth;
- Yn unol â'r dulliau newydd o weithio, roedd strategaeth gyfathrebu fewnol newydd hefyd yn cael ei datblygu, a'r gobaith oedd y byddai ar waith cyn bo hir;
- Nodwyd bod 91 o staff ar ffyrlo ar hyn o bryd, yn bennaf yn y gwasanaethau hamdden;

PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad.

7. DIWEDDARIAD AM Y GWASANAETH COVID-19 IS-ADRAN GWEINYDDIAETH A'R GYFRAITH

Cafodd y Pwyllgor adroddiad gan yr Aelod o'r Bwrdd Gweithredol – yr Arweinydd, y gwasanaethau cyfreithiol a phridiannau tir, a'r Aelod o'r Bwrdd Gweithredol – Dirprwy Arweinydd, gwasanaethau democrataidd, ar effaith pandemig Covid-19 ar yr Is-adran Gweinyddiaeth a'r Gyfraith a'r heriau a wynebir. Wrth asesu effaith y pandemig ar y gwasanaeth hwn, helpodd yr adroddiad hefyd i lywio sut y gellid ei ailosod a gwella rhagor ar y ffordd câi ei ddarparu yn y dyfodol.

Roedd y canlynol ymhlith y materion a godwyd ynghylch yr adroddiad:-

- Nodwyd y byddai'r Awdurdod yn ceisio ymestyn y defnydd o ddronau pan fyddai materion preifatrwydd wedi'u datrys;
- Roedd y ffaith nad oedd y canllawiau a gyhoeddwyd gan Lywodraeth Cymru yn ystod y pandemig bob amser wedi dilyn y rheoliadau, a oeddynt eu hunain yn cael eu cyhoeddi ar fyr rybudd yn aml a chyda diwygiadau pellach, wedi cyflwyno heriau o ran dehongli gan adran y gyfraith ar ran yr Awdurdod;
- O ran y gwersi a ddysgwyd yn ystod y pandemig o ran dulliau o weithio yn y dyfodol, roedd yn amlwg bod y tîm wedi dangos ei fod yn gallu darparu gwasanaeth tra bo staff yn gweithio gartref, er bod angen gweithio o swyddfa i ddelio â rhai materion;
- Er bod cyfarfodydd wedi'u canslo ar ddechrau'r pandemig, roedd y Pwyllgorau bellach yn cyfarfod yn rheolaidd yn rhithwir, a rhagwelid y gallai fod yn bosibl cynnal cyfarfodydd hybrid yn y dyfodol lle gallai aelodau a swyddogion fynychu naill ai'n bersonol neu drwy'r rhyngwyd.

PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad.

8. EFFAITH COVID AR MARCHNATA A'R CYFRYNGAU

Cafodd y Pwyllgor adroddiad a gyflwynwyd gan yr Aelod o'r Bwrdd Gweithredol – Arweinydd, marchnata a'r cyfryngau, a'r Aelod o'r Bwrdd Gweithredol – Adnoddau, gwasanaethau cwsmeriaid, ar effaith pandemig Covid-19 ar yr Is-adran Marchnata a'r Cyfryngau a'r gwaith a wnaed gan y tîm i ddosbarthu gwybodaeth ddwyieithog i holl drigolion a busnesau Sir Gaerfyrddin. Wrth asesu effaith y pandemig ar y gwasanaeth hwn, helpodd yr adroddiad hefyd i lywio sut y gellid ei ailosod a gwella rhagor ar y ffordd câi ei ddarparu yn y dyfodol.

PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad.

9. ADRODDIAD MONITRO CYLLIDEB CYFALAF A REFENIW 2020/21

[HYD Y CYFARFOD

Am 1:00pm wrth ystyried yr eitem hon, tynnwyd sylw'r Pwyllgor at Reol Sefydlog 9 'Hyd Cyfarfod', ac at y ffaith bod y cyfarfod wedi bod yn mynd rhagddo ers 3 awr. Felly

PENDERFYNWYD bod y Rheolau Sefydlog yn cael eu rhoi o'r neilltu dros dro er mwyn gallu ystyried yr eitemau oedd yn weddill ar yr agenda.]

Cyflwynodd yr Aelod o'r Bwrdd Gweithredol dros Adnoddau Adroddiad Monitro Cyllideb Gorfforaethol yr Awdurdod ac adroddiadau adrannol y Prif Weithredwr a'r Gwasanaethau Gorfforaethol fel yr oeddent ar 31 Awst 2020 mewn perthynas â blwyddyn ariannol 2020/21. Roedd yr adroddiad hefyd yn cynnwys atodiad a oedd yn rhoi manylion Monitro Arbedion 2020-21.

Cyfeiriwyd at anghysondeb yn ymwneud â'r Adran Cymunedau oedd yn deillio o ddau drosglwyddiad hwyr nad oeddent wedi'u trosglwyddo i'r prif amrywiannau. Roedd y rhain yn ymwneud â symud £100k rhwng taliadau uniongyrchol yng nghyllidebau pobl hŷn a thaliadau uniongyrchol mewn cyllidebau anableddau corfforol a throsglwyddo £59k o grant byw'n annibynnol Cymru i daliadau uniongyrchol anableddau corfforol. Er na fyddai hyn yn newid y gwariant a ragwelwyd, byddai rheolaeth ychwanegol, o ganlyniad, yn cael ei chynnwys yn y templed monitro.

PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad.

10. COFNODION BWRDD GWASANAETHAU CYHOEDDUS (PSB) SIR GÂR - GORFFENNAF 2020

Cyflwynodd yr Aelod o'r Bwrdd Gweithredol - Arweinydd gofnodion cyfarfod Bwrdd Gwasanaethau Cyhoeddus Sir Gaerfyrddin a gynhaliwyd ar 6 Gorffennaf 2020. Roedd yn ofynnol o dan Ddeddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015 fod Pwyllgor Craffu - Llywodraeth Leol penodol yn cael ei benodi i graffu ar waith y Bwrdd Gwasanaethau Cyhoeddus. Yn Sir Gaerfyrddin, penodwyd Pwyllgor Craffu – Polisi ac Adnoddau y Cyngor fel y Pwyllgor Craffu perthnasol.

Cytunodd y Swyddog Polisi Gorfforaethol a Phartneriaeth y byddai'n canfod pwy oedd yr aelodau ar Gyngor Partneriaeth Cymru Gyfan, a sefydlwyd gan Lywodraeth Cymru, yn eu cynrychioli.

PENDERFYNWYD YN UNFRYDOL dderbyn cofnodion cyfarfod y Bwrdd Gwasanaethau Cyhoeddus a gynhaliwyd ar 6ed Gorffennaf 2020.

11. ADRODDIAD BLYNYDDOL Y PWYLLGOR CRAFFU POLISI AC ADNODDAU 2019/20

Bu'r Pwyllgor yn ystyried Adroddiad Blynyddol y Pwyllgor Craffu - Polisi ac Adnoddau am flwyddyn y cyngor 2019/20 a oedd yn rhoi golwg gyffredinol ar waith y Pwyllgor gan gynnwys:-

- Trosolwg ar y Rhaglenni Gwaith Craffu
- Y materion allweddol a ystyriwyd
- Materion oedd wedi'u cyfeirio at y Bwrdd Gweithredol neu Bwyllgorau Craffu Eraill neu ganddynt
- Presenoldeb yr Aelodau yn y cyfarfodydd

PENDERFYNWYD YN UNFRYDOL gymeradwyo'r adroddiad.

12. BLAENRAGLEN WAITH Y PWYLLGOR POLISI AC ADNODDAU AR GYFER 2020/21

Bu'r Pwyllgor yn ystyried ei Flaenraglen Waith ar gyfer gweddill 2020/21 a baratowyd yn unol â Chyfansoddiad y Cyngor sy'n ei gwneud yn ofynnol i Bwyllgorau Craffu ddatblygu a chyhoeddi blaenraglen waith bob blwyddyn gan glustnodi materion ac adroddiadau sydd i'w hystyried mewn cyfarfodydd yn ystod blwyddyn y cyngor.

PENDERFYNWYD YN UNFRYDOL gymeradwyo Blaenraglen Waith y Pwyllgor Craffu - Polisi ac Adnoddau ar gyfer gweddill 2020/21.

13. COFNODION - 27AIN IONAWR 2020

PENDERFYNWYD YN UNFRYDOL lofnodi cofnodion y cyfarfod a gynhaliwyd ar 27 Ionawr 2020 yn gofnod cywir.

CADEIRYDD

DYDDIAD